



Indian Clearing Corporation Limited
The Power of Vibrance

MASTER CIRCULAR FOR TRANSACTIONS ON BSE StAR MF Platform

(Updated Till March 31, 2025)

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Part A

Summary of important Circulars issued till March 31, 2025

Sr. No.	Circular No.	Date of Circular	Circular details
1	20101216-22	16-12-2010	Phase II of Mutual Fund Segment (BSE StAR MF Platform)
2	20150416-53	16-04-2015	Introduction Of Overnight (Liquid Fund) Product on BSE StAR MF platform
3	20150417-25	17-04-2015	Settlement of Overnight (Liquid Fund) Product introduced on BSE StAR MF
4	20160603-13	03-06-2016	Facility to transfer funds in ICCL's HDFC bank account for Clients of Mutual fund Distributor
5	20171208-5	08-12-2017	Facility to transfer funds in ICCL's HDFC Bank account for Clients of Mutual Fund Distributors"- Additional information
6	20180511-23	11-05-2018	Cheque Collection facility through Axis Bank
7	20190621-27	21-06-2019	Cheque Collection Facility through Axis Bank- Additional information
8	20230608-7	08-06-2023	Compliance with SEBI Circular dated March 15, 2022 and the requirement to conduct an audit thereof
9	20230620-66	20-06-2023	Execution of Purchase Transactions on BSE StAR MF Platform
10	20230620-67	20-06-2023	Non-updation of correct bank details as part of Unique Client Code ("UCC") for settlement of redemption payments on StAR MF Platform
11	20230721-49	21-06-2023	Implementation of Standard Operating Procedure in cases of non-updation of correct bank details as part of Unique Client Code ("UCC") for settlement of redemption payments on StAR MF Platform.
12	20231214-5	14-12-2023	Facility to transfer funds in ICCL's Bank account vide NEFT/RTGS for Clients placing Mutual Fund orders on Bse Star MF platform.
13	20240613-52	13 Jun 2024	Discontinuation of usage of pool accounts for transactions in units of mutual funds on BSE StAR MF Platform – Update w.r.t. Non-Demat Redemption / SWP Transactions.
14	20240726-44	26 Jul 2024	Redemption Funds payout for Non-Demat Redemption Orders on BseStar MF
15	20240702-34	02 Jul 2024	Operating Guidelines w.r.t. Purchase Fund Reconciliation pursuant to discontinuation of pool accounts for transactions in units of Mutual Funds on the BSE StAR MF Platform

Sr. No.	Circular No.	Date of Circular	Circular details
16	20240814-63	14 Aug 2024	Discontinuation of KFinTech Cheque Collection Facility
17	20250306-1	6th March 2025	Addendum to notice no. 20240702-34 dated 2nd July 2024 with respect to revision in cutoff time related to Mutual Fund subscription.

Part B

Item 1: Settlement Schedule

The settlement for subscription and redemption shall be in accordance with the settlement schedule specified by the Clearing Corporation.

Item 2: Clearing And Settlement

All requests for subscription and redemption will be settled on Individual basis and only to the extent of the funds/units paid in by clients/AMC on the settlement day. Clearing and settlement procedure for various categories in BSE StAR MF is as follows.

Market Time and Settlement Schedule:

Category	Settlement Type	Market Timings	Funds (Cut off Time/Day)	Units (Cut off Time/Day)
LIQUID (L0)*	L0	12:01 am to 01:00 pm	01:00 pm on T-Day	Payout T-day
Other Than Liquid**	L1	12:01 am to 02:30 pm	02:30 pm on T-Day	Payout T+1 day
NORMAL Subscription	T1	12:01 am to 02:30 pm	02:30 pm on T-Day	Payout T+1 day
NORMAL (NFO)	MF	12:01 am to 03:00 pm	06.00 pm till T+5 day	Not Applicable (Post-allotment Units Settled by RTA)
NORMAL (Redemption)	T1 to T10	12:01 am to 03.00 pm	T+1 to T+10 as per Scheme TAT	Pay-in 4:30 pm on T-Day

Note:

**Historical NAV for liquid subscriptions (LIQUID – L0).*

***Subscription amounts greater than or equal to ₹2 lakhs for Other than Liquid schemes.*

In case of change in timelines the Clearing Corporation shall inform Participants by issuing circular.

(I) Funds Settlement for Subscription

Receipt and transfer of funds for subscription of mutual fund units will be done in accordance with the settlement schedule.

Participants shall ensure monies of clients for mutual fund transactions on BSE StAR MF through any mode like NEFT, RTGS, UPI, cheque etc. is transferred directly to the corresponding bank account of ICCL only.

I(A)NACH Mandate:

Further to SEBI circular on Discontinuation of Pool Account mechanism, funds received for payments initiated by the Clients for Mutual Fund transactions shall be credited directly in bank account of "Indian Clearing Corporation Limited (ICCL)". Further, all mandates registered from July 01, 2022 shall be in the name of "Indian Clearing Corporation Limited." Only.

I(B)Existing Mandates:

Members having bank mandates approved prior to July 1, 2022 may continue to use the same for debiting the clients account through Payment aggregator approved by ICCL. ICCL shall engage with the payment aggregators including banks of the Members. Members shall inform ICCL the details of payment aggregator with whom the mandates have been registered as per Notice Number 20220324-52 dated March 24, 2022.

ICCL shall facilitate settlement of existing mandates subject to payment aggregator meeting the requirement/guidelines specified by ICCL and relevant authority from time to time.

Members shall provide clear identification of only mutual fund mandates to their payment aggregator along with the designated Clearing Corporation to which monies collected from clients account shall be credited. Payment aggregators shall transfer the funds in respect of only mutual fund transaction on BSE StAR MF to the approved account of ICCL.

Members shall amend its existing agreements with payment aggregator to ensure that the funds collected in respect of mutual fund transactions on BSE StAR MF Platform from the bank account of the clients are only credited to the approved account of ICCL but not to the pool account of the Members.

Members shall accordingly inform the clients regarding the change of beneficiary for existing mandates.

I(C) New Mandates:

- New mandates registered from July 1, 2022, shall be accepted only in favour of ICCL and those mandates shall exclusively be for mutual fund transactions on BSE StAR MF Platform and not for any other purpose.
- List of eligible payment aggregators for new mandates shall be informed by Clearing Corporation from time to time.
- ICCL shall accept funds only from the client bank accounts registered on BSE StAR MF . Participants shall accordingly ensure valid bank accounts of their client are registered on BSE StAR MF .
- In respect of funds received by ICCL through payment aggregator, the details like member code, UCC, bank account etc. shall be provided by payment aggregator to ICCL. Accordingly, Participants shall ensure the required details are updated with their payment aggregators.
- All requests for subscription will be settled on individual basis and only to the extent of the funds received from the registered account of clients.
- The funds shall be moved from Clearing Corporation bank account to the AMC bank account registered with ICCL.
- Receipt and transfer of funds for subscription of mutual fund units will be done in accordance with the settlement schedule.
- Clear funds should be available by cut off time by 1:00 p.m. (for LIQUID _ L0) and by 02:30 p.m. (for Normal, Debt, SIP orders) in the designated ICCL account for processing the order on Trade Day (T Day). For funds received through payment aggregators, transactions shall be processed subject to funds and its related details made available prior to cut off. For NFO order the cut of funds is up to 06:00 pm till T+5day.
- Wherever the funds collected from the bank account of the client are not from the registered account on BSE StAR MF or falls short of the order value, the order shall be rejected. In such cases, the funds collected, if any, shall be returned to the remitter bank account of the client from where the funds were received by ICCL.
- In case of rejection, RTAs/AMCs shall arrange to provide refund along with details of transactions rejected by them with reason for rejection latest by the end of T + 2 day (where T is day of reporting the transaction to RTA or date of applicable NAV whichever is later). ICCL would arrange to refund the funds to investor immediately on receipt of the same along with proper details from AMC for their rejected orders lately by T+5 day (where “T” is subscription order or date valid Funds confirmation date whichever is later)
- For NFO fund settlement shall be executed on date of order. In case of rejection, RTAs/AMCs shall arrange to credit the refund for such rejected transactions in the designated bank account of clearing corporation within T + 5 day (where T is the date of closure of NFO or allotment which is later). In respect of partial allotment the refund shall be given within “T+5” business days after close of NFO or allotment whichever is later. On case to case basis in case of NFO, RTA / AMC may refund directly to investor also.

I(D) Units Settlement for Subscription

For demat transactions the units shall be credited to the investors' demat account by clearing corporation as per the settlement schedule and based on the allotment information provided by the RTA and the depository pay-in files. For non-demat units shall be credited and debited directly to folio account by RTA/AMC.

Participant shall ensure a valid demat account is registered on BSE StAR MF . ICCL shall credit the units to the investors demat account which is received in the order placed on the BSE StAR MF , as captured from Client Master .

For valid subscription orders, all the units as allotted by the AMC/RTA shall be settled to the client demat account as provided in UCC at the Settlement of purchase Order.

Where the credit to the beneficiary accounts of the client fails, which in turn could be on account of incorrect or inactive account details, the units shall be transferred to AMC Account. On receipt of a refund from AMC for such orders, ICCL shall refund the amount to the clients' bank account. For NFO the unit settlement shall be done directly by the RTA to the clients of Participant.

I(E) Subscription unit shortage

In case where units are not provided/ partial quantity is provided in the AMC Account, the available units shall be allocated to the subscription orders.

The shortages shall be shifted to next immediate settlement type and settlement number. However, such shifted orders shall be settled in next settlement cycle in a First preference i.e. priority shall be given to the shortages of previous settlement in ascending order of the trade date and then to orders received for the present settlement type and settlement number.

A report is available on BSE StAR MF , for information of units delivery and shortage.

The RTA is required to provide the remaining quantity for unallocated orders in AMC Account for settlement in next immediate settlement.

I(F) Units Settlement for Redemption

Receipt and transfer of mutual fund units for redemption will be done on T-day and will be conducted for units in dematerialized form only.

The cut-off time to place DIS with both depositories for receiving units for redemption shall be 4:30 PM. The delivery instructions for crediting the units for redemption should be given by the investor to the following ICCL account in as an on-market transaction:

NSDL

CM DPID: IN001150. Client ID 10000029

The following details should be mentioned in addition to the above:

CM Name: ICCL MF

ISIN:

Market Type:

Settlement No.:

(Note: – Market type will be ‘Liquid Redemption’ for Liquid/Debt schemes and ‘Normal Redemption’ for Non-liquid/Equity schemes)

CDSL

Exchange Name: BSE Limited

ISIN:

Market Type:

Settlement No.:

Counter BO Id : 11000010 00020972

(Note: – Market type will be ‘Liquid Redemption’ for Liquid/Debt schemes and ‘MF Repurchase’ for Non-liquid/Equity schemes)

The delivery instructions for crediting the units for redemption will be between CDSL accounts (CDSL to CDSL transfer).

I(G)Funds Settlement for Redemption

Funds pay-out shall be credited to the bank account of the client of the Participant by the Clearing Corporation. The pay-out shall be given in the bank account received in the order on BSE StAR MF .

The payout of funds shall be released from T+1 to T+10 day as per scheme category and timelines stipulated from time to time by AMCs.

In the event of failure to credit the redemption proceeds to the client’s bank account for whatever reasons, CC shall arrange to refund the amount back to the respective AMCs along with intimation to client via emails on clients registered emails ids in BSE StAR MF Client Master.

I(H) Information to Participants

ICCL shall inform the details of transaction status, allotment details etc. through reports. Participants shall provide the relevant information to their respective clients. For transactions under Non-demat mode, communications will be sent by RTA.

Item 3: Additional ICCL Notices for F.Y. 2024-2025 with respect to Mutual Fund Operations and Settlement

3.1 Discontinuation of usage of pool accounts for transactions in units of mutual funds on BSE StAR MF Platform – Update w.r.t. Non-Demat Redemption / SWP Transactions¹

AMC / RTA shall arrange to credit the bank account of the ICCL on the day as per settlement days specified in SID of the scheme for all Demat Redemption / SWP transactions of the SB, CM and Mutual fund distributors. ICCL will accordingly transfer the proceeds for such demat transactions in the bank account of respective clients.

For all Non-Demat Redemption Transactions / SWP of the SB, CM and Mutual Fund Distributors, RTAs / AMCs will credit the bank account of Clients.

Further, the Bank account provided at the time of redemption / SWP order entry shall be reported to RTA in the transaction feed file. If the said bank account is registered with RTA for the respective Folio then, RTA / AMC shall be releasing the redemption proceeds to the said bank account, else it shall be released to the default bank account registered with RTA/AMC for the said folio.

3.2 Redemption Funds payout for Non-Demat Redemption Orders on BSE StAR MF²

All Market participants are hereby informed that redemption funds payout for Non-Demat Redemption Transactions/SWP, RTAs/AMCs will directly be credited to the bank account of respective Clients as per scheme TAT.

Market participants can view the redemption payout details from the report available at the below path on BseStar MF: "Daily Downloads-New Reports-Member Reports-Redemption Payout Date Report Physical"

3.3 Operating Guidelines w.r.t. Purchase Fund Reconciliation pursuant to discontinuation of pool accounts for transactions in units of Mutual Funds on the BSE StAR MF Platform³

SEBI vide Circular SEBI/HO/IMD/IMD-I DOFS/P/CIR/2021/635 dated October 04, 2021, discontinued usage of pool accounts for transactions in units of Mutual Funds using Exchange platforms and post July 1, 2022, subscription orders are processed by ICCL only upon receipt of funds directly from the Investor's bank account, and not through Market intermediaries (MFIs/ MFDs).

¹ ICCL Notice No. 20240613-52 dated 13-Jun-2024

² ICCL Notice No. 20240726-44 dated 26-Jul-2024

³ ICCL Notice No. 20240702-34 dated 02-Jul-2024

Members, PAs, PGs and Banks are requested to follow the notices issued by ICCL from time to time on any revised cut-offs / procedures / guidelines and implement the same within the stipulated period to ensure a timely and effective settlement process.

To meet the above regulatory requirement, ICCL has entered into agreements with various Payment Aggregators (“PAs”)/ Payment Gateways (“PGs”) like Billdesk, Razorpay, Tech Process, Banks like HDFC Bank, ICICI Bank, Axis Bank, SBI, etc. for collecting the funds vide various modes of payments from the Investor’s Bank account which is then transferred to ICCL’s Approved bank account directly. ICCL has been receiving files/ MIS of Funds Confirmation from Payment Aggregators and Payment Gateways/ Banks.

➤ **Responsibilities of PAs/ PGs/ Banks:**

(1) PA / PG/ Bank shall make sure that the funds towards subscription of mutual fund units is accepted through only such modes where independent traceability of end investor can be ensured, and source account details are readily available. For each of the amounts transferred to an Approved Account of ICCL, the PA/PG/ Bank shall provide the bank account number, bank name, etc. to the ICCL after the credit of funds in Approved Account in the format specified by ICCL from time to time.

(2) PA / PG/ Bank, for the purpose of facilitation / transfer of funds to the Approved Account of ICCL and upload of files/ MIS of funds credited to the Account of ICCL in STAR MF portal, are advised to follow the timelines hereinbelow:

➤ **For settlement of funds to ICCL’s Approved Account**

- A. Direct Settlement: Funds Settlement will be on a Real-time basis. Modes include UPI & Net banking – Direct Banks, UPIR.
- B. Non-Direct Settlement: Funds Settlement will be on a T+1 day (T Day is the date of debit observed in client’s bank account). Modes include NACH, NODAL, ISIP, SIP, XSIP, OTM, UPIR (collection by PA).

➤ **For the upload of files/MIS of funds credited to ICCL’s Approved Account⁴**

- A. For LO transactions, PAs/ PGs/Banks shall upload the Fund Confirmation MIS along with actual bank credit latest by 01.00 pm.

⁴ ICCL Notice No. 20250306-1 dated 06 Mar 2025

- B. For other than L0 transactions, PAs/ PGs / Banks shall upload the Fund Confirmation MIS along with actual bank credit latest by 2:30 pm.
- C. Correct details to be uploaded by PA/PG/ Bank in correct format as specified by ICCL.
- D. PA/ Bank shall submit the audit report to ICCL on a yearly basis aligning with the agreement with ICCL on or before the date communicated by ICCL via email.
- E. PA / PG/ Bank shall maintain reconciliation of funds transferred to ICCL and MIS uploaded and shall communicate and resolve in case of discrepancies.
- F. PA / Bank/ PG (either itself or through participating banks or facility providers) have no authority whatsoever to debit ICCL's Account against any adjustments. PA / PG / Bank shall report all the MIS to ICCL for unreported transactions as requested by ICCL.
- G. PA / PG / Bank shall resolve the queries/discrepancies raised by ICCL within 7 working days of transferring the funds to ICCL Accounts.

➤ **Fund Mapping**

Order Processing remains pending in case of mismatch between Funds Confirmation MIS and respective bank credits or orders received on Star MF platform. Key mismatch reasons for non-mapping of funds are mentioned below:

- i Incorrect data received in the fund confirmation MIS uploaded by the PAs/PGs/ Banks.
- ii Non-updation / delayed uploading of MIS by PAs/PGs/Banks.
- iii Incorrect updation by Members while registering client details on BSE Star MF w.r.t. Bank account number, IFSC code etc.
- iv Mismatch between amounts transferred to ICCL Account as against Funds confirmation MIS uploaded by PAs/ PGs/ Banks.
- v Fund Confirmation MIS uploaded by PAs/ PGs/ Banks has incorrect or insufficient details viz. UTR No, etc. causing mismatch in the corresponding bank credits.
- vi Delayed response by Banks/NPCI regarding fund transferred by PAs/PGs/Banks to ICCL.

Members are requested to note that any MIS uploaded after cut off timings mentioned above/ any record having mismatch between Funds confirmation MIS uploaded by PAs/ PGs/ Banks and credit in ICCL's Accounts will get processed on best effort basis post applicable validations.

3.4 Execution of Purchase Transactions on BSE StAR MF Platform⁵

To meet the above regulatory requirement, ICCL has entered into agreements with various Payment Gateway aggregators like Billdesk, Razorpay, Tech Process, Banks like HDFC Bank, ICICI Bank, Axis Bank, SBI, etc. for collecting the funds via various modes of payments from the Investor's Bank account which is then transferred to ICCL's bank account directly. ICCL has been receiving Funds Confirmation MIS from PA/Agencies/Banks.

Such Funds Confirmation MIS are matched against respective credits received in the bank and Open Orders. The matched orders are then sent to RTAs for processing.

Funds mapping remain pending in case of mismatch between Funds Confirmation MIS and respective bank credits. Key mismatch reasons for non-mapping of funds

- Incorrect data received in the fund confirmation MIS uploaded by the PAs/Agencies / Banks.
- Non-updation / delayed uploading of MIS by PAs/Agencies / Banks.
- Incorrect updations by members while registering client details on BSE StAR MF w.r.t. Bank account number, IFSC code etc.
- Mismatch between amounts transferred as against Funds confirmation MIS uploaded
- Fund Confirmation MIS uploaded with incorrect or insufficient details viz. UTR No, etc. to match the corresponding bank credits
- Delayed response by bank/NPCI regarding fund transferred by PAs/Agencies to ICCL.

3.5 Discontinuation of Kfintech Cheque Collection Facility⁶

Members are hereby requested to note that Cheque collection facility for Mutual Funds transactions received on BSE StAR MF platform through Kfintech serviced branches shall be discontinued with effect from August 31, 2024. No new cheques shall be accepted K Fintech branches from August 31, 2024 after market hours. All orders where the cheques are submitted for settlement till August 31, 2024 shall be processed as per the fund confirmation received.

Members whose Clients want to initiate the payments through Cheque, can use the Axis Bank Collection facility enabled on BSE StAR MF platform.

⁵ ICCL Notice No. 20230620-66 dated 20 Jun 2023

⁶ ICCL Notice No. 20240814-63 dated 14 Aug 2024

Alternatively, Clients can use alternate mode of payments enabled on BSE StAR MF platform to make payments against the purchase orders.

Members are requested to take note of the same and apprise their clients accordingly.

All members using third party vendor solutions and/or in-house developed trading application are hereby requested to take note of the above and arrange to initiate necessary development at their end.

Item 4: Overnight Liquid Transactions

Overnight Liquid transactions is an order entry functionality which allows the participants to place purchase and redemption orders simultaneously in liquid schemes. This facility is available in Physical mode only.

4.1 Subscription order

- Subscription orders for specified schemes (physical mode only) with Historical NAV shall be settled under settlement type L0. These will be traded under category code Liquid- L0 in series L0.
- Funds settlement procedure for subscription orders shall be same as mentioned for Liquid- L0 category subscriptions orders.

4.2 Redemption order

- Redemption orders shall be processed only for the valid corresponding subscription orders.
- In case Participant has opted for redemption of all units, redemption orders shall be processed for equivalent quantity of units allotted by the RTA/AMC for the corresponding subscription order in the Folio allotted/used.
- Redemption order shall not be processed in case the corresponding subscription order is rejected by the RTA/AMC or Clearing Corporation.
- The redemption orders shall be processed with NAV as given by RTA at the end of T day and accordingly the redemption proceeds shall be computed
- The funds pay-out shall be carried out to the client for redemption proceeds by crediting the clients bank account as per Scheme TAT (T+1).

Item 5: Clearing and Settlement Procedure – New Fund Offer (NFO) / Further Fund Offer (FFO) of ETF on BSE StAR MF

BSE STAR MF offers subscription for New Fund Offer (NFO) and/or Further Fund Offer (FFO) of ETF on BSE STAR MF platform. NSE Clearing shall settle the funds pertaining to NFO and FFO.

- Subscription period shall be declared by BSE STAR MF for the NFO / FFO.
- Fund settlement shall be executed on T+1day for funds mapped with corresponding orders.

5.1 Refund

Refund will be processed by AMC/RTA post allotment of units within 5 working days. AMC/ RTA shall provide the refund of subscription amount (if any) to Clearing Corporation in order to refund the same to clients. ICCL Clearing shall refund the amount to the participants Settlement Account maintained for BSE STAR MF segment. The refund amount shall be processed as per the details received from AMC/RTA. ICCL shall not be involved in any disputes or queries related to the refund.

5.2 Unit settlement and allotment

Allotment of units will be done within five days (tentative) after NFO/FFO closure by AMC/RTA. The details of allotment shall be intimated subsequently by Clearing Corporation vide order status and allotment report as per allotment file provided by AMC/RTA. For queries on allotment/refund status the Participants are requested to contact respective AMC/RTA. ICCL shall not be involved in any disputes or queries related to the allotment or refund.

In case of any dispute between the members and the client arising out of their participation in any NFO/FFO subscription on the Platform, AMC / RTA shall provide necessary and suitable administrative support for the speedy redressal of the dispute through such mechanism as may be notified by AMC /RTA from time to time. ICCL shall in no event be made party to such dispute or be liable for any compensation to the participants or the clients.

Item 6: Limitation of Liability

ICCL is only a facilitator and not a counter party for the initial offer /sale /repurchase/redemption of units. ICCL will not guarantee the fulfillment of the settlement obligations. ICCL shall not be liable for obligations arising out of the initial offer / sale / repurchase / redemption of units by Participants and to any losses in connection therefrom.

The Mutual Fund subscription service is provided on a best effort basis. ICCL would not be responsible for any delay / unforeseen circumstances / banking system error / delay, on account of which funds may not reach AMC on time.

Part C

Item 7: Summary of Reports

Report 1. Securities and Delivery Shortage Report

Report 2. Allotment Statement

Report 3. Redemption Statement

Report 4. Purchase Status & Rejection Refund Report

Report 5. Redemption Payout Report

Report 6. Provisional Order Status

Report 7. Order Status Report

Report 1. Securities and Delivery Shortage Report

File Name – Securities Shortage Report

Path - Daily Download -> New Report -> Order Report -> Securities and Delivery Shortage report

File Details-

Type

Member Code

Order Date

Sett No

Order No

ISIN

Amount

Allotted Units

NAV

Depository

DPC

Account No

Units Delivered

Date Of Delivery

Sett Type

CDSL Shortage

NSDL Shortage

Shortage Delivered

Settlement No (Shortage)

Date of Delivery (Shortages)

Remarks

Report 2. Allotment Statement

File Name - <ALSTMT>_<BSELTD>_<ddmmyyyy>.xls

Path - Daily Download -> New Report -> Order Report -> Allotment Statement

File Details –

Report Date

Order No

Sett Type

Sett No

Order Date

Scheme Code

ISIN

Amount

Qty

Member Code

Folio No

RTA Scheme Code

Client Code

Client Name

Beneficiary Id

Allotted Nav

Allotted Unit

Allotted Amt

SIP Regn No

Scheme Name

Report 3. Redemption Statement

File name – < RDMSTST >_<BSELTD>_<ddmmyyyy>.xls

Path – Daily Download -> New Report -> Order Report ->Redemption Statement

File Details-

Report Date

Order No

Sett Type

Sett No

Order Date

Scheme Code

ISIN

Qty

Member Id

Folio No

RTA Scheme Code

Client Code

Client Name

Beneficiary Id

NAV

Unit

Amt

Valid Flag

Sub Order Type

Scheme Name

Exit Load

Report 4. Purchase Status & Rejection Refund Report

File name – Rejection Refund

Path – Daily Download -> New Report -> Order Report -> Purchase Status & Rejection Refund Report

File Details -

Member Code

Transaction Date

Order No

Sett No

Client Code

Client Name

Scheme Code

Scheme Name

ISIN

Buy Sell

Amount

DP Trans

SettType

Order Status

Order Remarks

Allotment Status

Date of Allotment

Rejection Date

Refund Status

Refund Date

Refund Reference

Report 5. Redemption Payout Report

File Name – < RedemptionPayoutReport>- <YYYY-MM-DD>T145102.387.xls

Path – Daily Download -> New Report -> Member Report -> Redemption Payput date Report

File Details-

AMC CODE

MEM CODE

CLIENT CODE

FOLIO NUMBER

BSE SCHEME CODE

SCHEME NAME

INVESTOR NAME

PAN NUMBER

ORDER NUMBER

TRADE DATE

AMOUNT

PAYOUT DATE

SETTLEMENT TYPE

SETTLEMENT NUMBER

ISIN

PAYOUT STATUS

FUNDS TRANSFER DATE

ACCOUNT NUMBER

REASON For REJECTION NO 1

Alternate ACCOUNT NUMBER

REASON For REJECTION NO 2

Final Payout date to client

Date of Amount refunded to AMC

NEFT/RTGS details of Amount refunded

Report 6. Provisional Order Status

File Name – <PORT>_BSELTD_<DDMMYYYY>.xls

Path – Daily Download -> New Report -> Order Report ->Provisional Order Report

File Details –

Member Code

Date

Time

Order No

Sett No

Client Code

Client Name

Scheme Code

Scheme Name

ISIN

Buy/Sell

Amount

DP/Folio No

Order Status

Report 7. Order Status Report

File Name – < ORDSTRPT>_<Member Code >_ <DDMMYYYY>.Xls

Path – Daily Download -> New Report -> Order Report -> Order Status Report

File Details-

Member

Code

Date

Order No

Sett No

Client Code

Client Name

Scheme Code

Scheme

Name

ISIN

Buy/Sell

Amount

DP/Folio No

Order Status

Order

Remark

Sub Order

Type