



iBBS (Internet based Book Building System)

"NCB-GSec" Module for Non-competitive bidding in Government Securities and Treasury Bills of RBI

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I. INTRODUCTION

This manual explains the mechanism of operating the online “Bidding system” for purchase of Government securities (i.e. long dated G-Sec and T-Bill) whereby members would be able to participate (on behalf of investors) in non-competitive bidding process and view allocation for the bids placed. Bids shall be accepted only in Demat Mode. Depending on whether security is a new issue or re-issue, respective amount would be blocked for respective member. These details have been explained below.

Members to ensure that necessary confirmation from investor is in place before Bid is submitted in Exchange system. In rest of the document members would be denoted as users.

II. TERMINOLOGIES

1. **Bidding Date:** These are the designated days during which bids would be accepted as per defined parameters. Various operations such as modification and cancellation would be permitted.
2. **Bid Start Date & Time :** Date on which issue is open for trading member to place bid to Exchange
3. **Depository processing:** Validating Investor’s Depository details (submitted during bid entry) with the respective depositories (i.e. NSDL/CDSL) by Exchange.
4. **RBI Reporting:** Bids accepted by Exchange are reported to RBI for allotment purpose.
5. **RBI Auction date (T day):** This is the day on which RBI conduct auction and bids accepted (during designated bidding days) by Exchange would be reported to RBI. RBI Auction date is announced by RBI. On same day allocation of bid reported take place and cut-off rate is published under non-competitive bidding by RBI
6. **RBI Settlement Day (T+1):** This is the day on which RBI provides T day allotted units pay-out to Exchange.
7. **Maturity Date:** Date at which security matures.

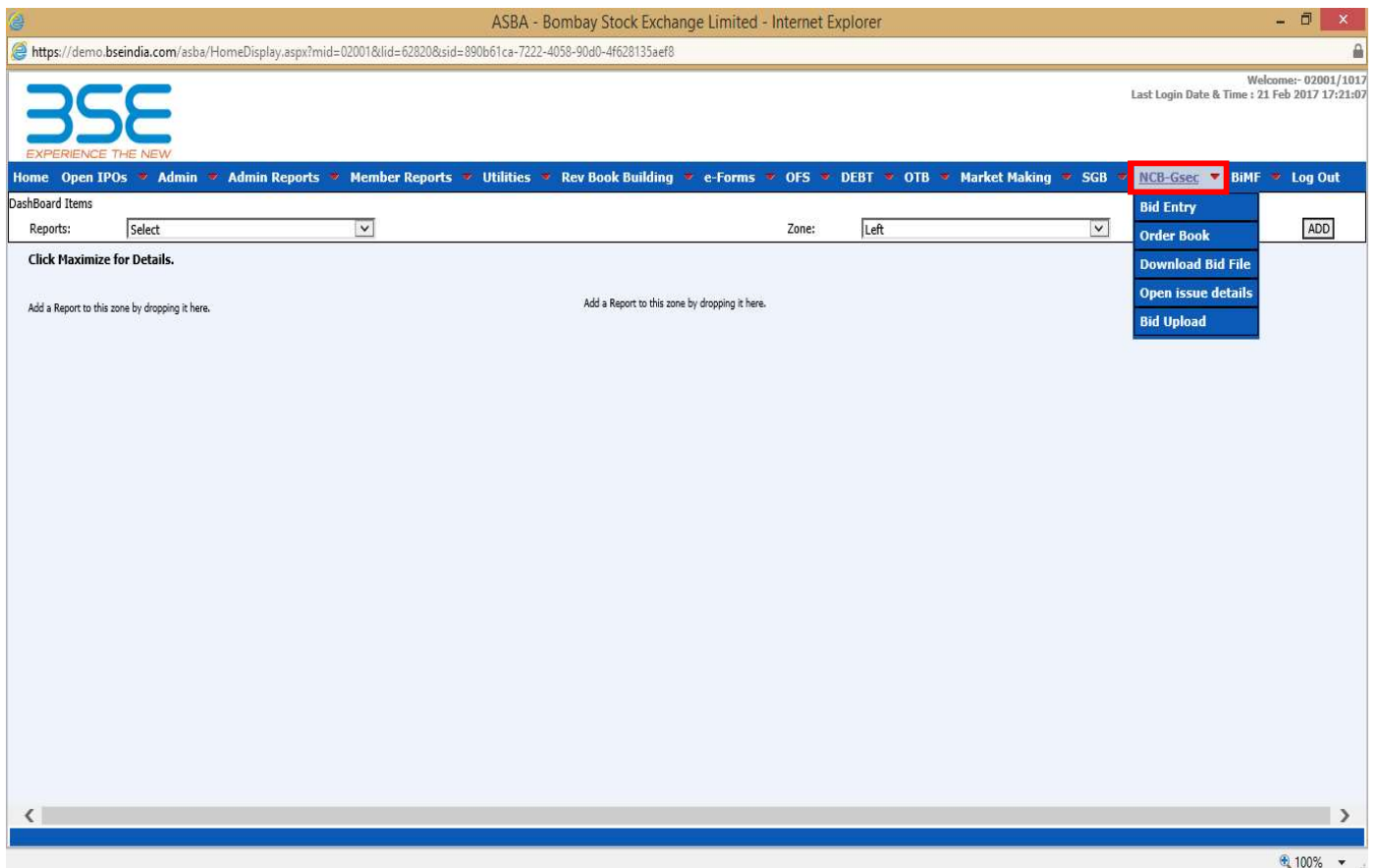
III. FEATURES OF THE SYSTEM

A. LOGIN

In BSE's existing web-based online bidding platform - iBBS (Internet-based Book Building System), bidding related to Govt. securities would be conducted.

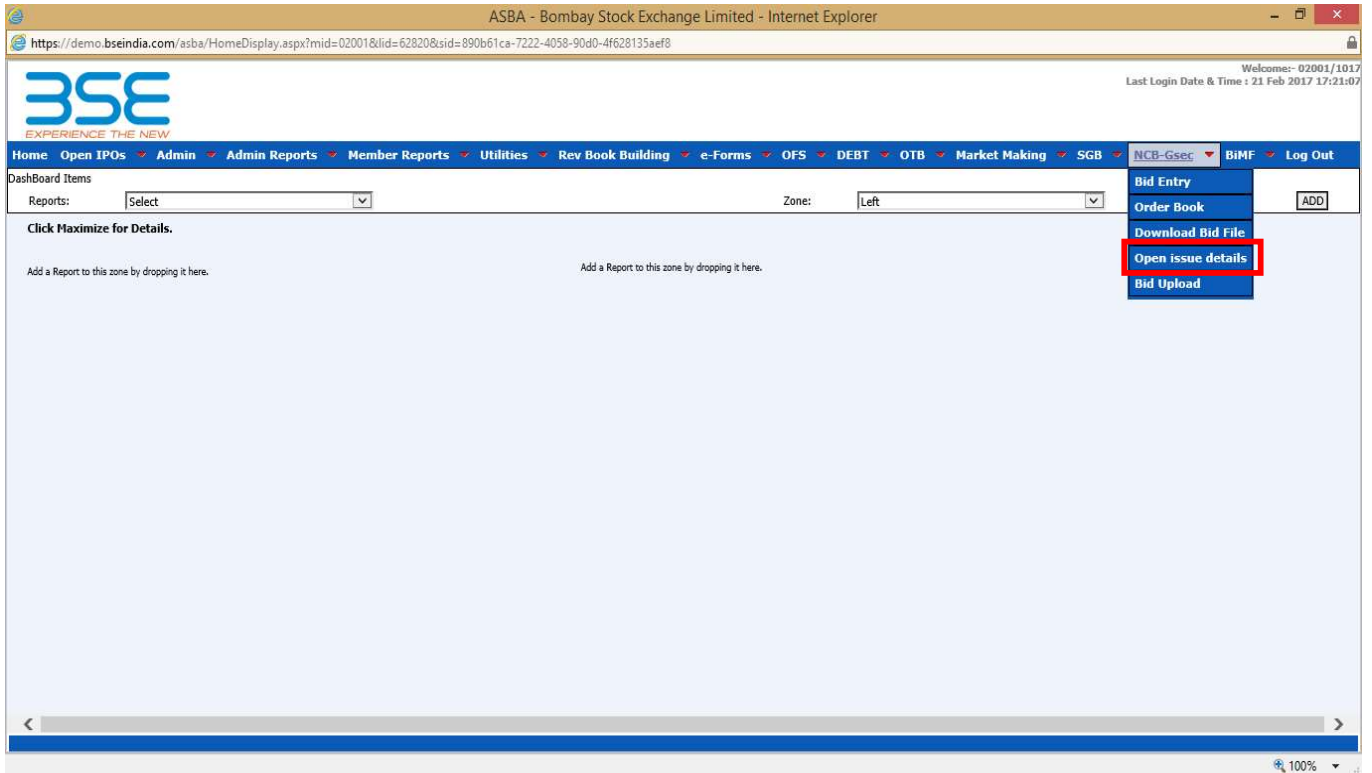
B. NCB G-SEC BIDDING

Post successful login on the main screen, go to menu named as "NCB-Gsec". This menu contains all the sub-links related to G-Sec bidding.



C. VIEW OPEN ISSUE DETAILS

1. Go to sub-menu named as “Open issue details” to view details related to issues which are currently active and available for bidding. Details are as given below:



2. Following screen would be displayed.

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=890b61ca-7222-4058-90d0-4f628135aef8

Welcome:- 02001/1017
Last Login Date & Time : 21 Feb 2017 17:21:07

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BiMF Lo

Open issue details

Symbol ID	Symbol Name	Issue Type	Open Date & Time	Close Date & Time	Issue Year	Issue RBI Reference number	Non-competitive issue Size	ISIN	Security	Security Name	Face Value	Rate for blocking Amount	Minimum subscription Unit	Unit Multiplier (Lot Size)	RBI auction Date	RBI Settlement Date	Maturity Date	Pending DAY:HH:MM:SS
1	073GS2024	G-SEC	08-12-2016 00:00:00	26-12-2017 23:00:00	2017	13395	100	IN3031245455	7.35% GS 2024	7.35 PERCENT GOVT. SECURITY	100.00	100.0000	10000	100	30 Dec 2016	31 Dec 2016	30 Dec 2029	308:4:46:26
19	706GS2046	G-SEC	09-02-2017 00:00:00	21-02-2017 20:14:00	2016	15605	1000000000	IN002016006	15605	7.06% GOVT.STOCK 2046	100.00	106.3500	100	100	16 Feb 2017	17 Feb 2017	10 Oct 2046	00:2:0:26
30	TESTGS1917	G-SEC	18-01-2017 09:00:00	22-02-2017 22:00:00	2017	123456	1000	111111	12478	GSEC TEST17	10.00	10.0000	10	10	23 Jan 2017	24 Jan 2017	19 Jan 2018	1:3:46:26
37	706GS2088	T-BILL	21-02-2017 09:00:00	21-02-2017 17:00:00	2017	15605	100	WERWERWER	wqe	WER	100.00	100.0000	0	0	21 Feb 2017	21 Feb 2017	21 Feb 2017	00:00:00:00

https://demo.bseindia.com/asba/GSEC/Rpt_GSECIPOList.aspx?mid=02001&lid=62820&sid=890b61ca-7222-4058-90d0-4f628135aef8

- This will display important details such as bidding start date and time, bidding end date and time, issue details, RBI Auction date, RBI Settlement date, Securities maturity date etc.
- Bidding shall be available for 24 hours till cut-off time.

D. MANUAL BID ENTRY

- Go to sub-menu named as "BID Entry" for entry and submission of BID(s) in various Govt. securities i.e. G-Sec and T-Bill. Bidding would be allowed during the designated bid entry start and end dates. Only single bid can be entered per PAN.

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=890b61ca-7222-4058-90d0-4f628135aef8

Welcome:- 02001/1017
Last Login Date & Time : 21 Feb 2017 17:21:07

BSE
EXPERIENCE THE NEW

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB **NCB-Bid** BIMF Log Out

DashBoard Items

Reports: Zone:

Click Maximize for Details.

Add a Report to this zone by dropping it here.

Add a Report to this zone by dropping it here.

Bid Entry
Order Book
Download Bid File
Open issue details
Bid Upload

100%

2) The BID Entry screen is as given below

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=890b61ca-7222-4058-90d0-4f628135aef8

Welcome:- 02001/1017
Last Login Date & Time : 21 Feb 2017 17:21:07

BSE
EXPERIENCE THE NEW

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BiMF Log Out

Bid Entry

Open Date: Close Date: Min Subscription Unit : Max Subscription Unit : More Details

DETAILS

Issue Type* SELECT Symbol* SELECT PAN* *
 Depository* NSDL DP ID* IN Client Beneficiary ID*

INVESTMENT DETAILS:

SUBSCRIPTION UNITS: * PRICE: TOTAL AMOUNT OF INVESTMENT(Rs):

Submit Reset

https://demo.bseindia.com/asba/GSEC/GSEC_BidEntry.aspx?mid=02001&lid=62820&sid=890b61ca-7222-4058-90d0-4f628135aef8 100%

- 3) On selection of issue type and symbol, details (i.e. open date, close date, min subscription unit, max subscription unit) related to respective symbol would be displayed. It will be as given below:

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=dc6b9822-fa34-4088-bcff-f597be3eb929

Welcome:- 02001/1017
Last Login Date & Time : 21 Feb 2017 18:54:47

BSE
EXPERIENCE THE NEW

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BIMF Lo

Bid Entry

Open Date: **24 Jan 2017** Close Date: **21 Feb 2017** Min Subscription Unit : **100** Max Subscription Unit : **200000** [More Details](#)

DETAILS					
Issue Type*	G-SEC	Symbol*	773GS2034	PAN	
Depository*	NSDL	DP ID*	IN	Client Beneficiary ID*	

INVESTMENT DETAILS:			
SUBSCRIPTION UNITS: *		PRICE: 100.00	TOTAL AMOUNT OF INVESTMENT(Rs):
<input type="button" value="Submit"/> <input type="button" value="Reset"/>			

https://demo.bseindia.com/asba/GSEC/GSEC_BidEntry.aspx?mid=02001&lid=62820&sid=dc6b9822-fa34-4088-bcff-f597be3eb929

105%

4) On clicking on “More details” detailed description will be visible.

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=dc6b9822-fa34-4088-bcff-f597be3eb929

Welcome:- 02001/1017
Last Login Date & Time : 21 Feb 2017 18:54:47

BSE
EXPERIENCE THE NEW

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BIMF Lo

Bid Entry

Open Date: 24 Jan 2017 Close Date: 21 Feb 2017 Min Subscription Unit : 100 Max Subscription Unit : 200000 More Details

DETAILS

Issue Type*	G-SEC	Symbol*	773GS2034	PAN	*
Depository*	NSDL	DP ID*	IN	Client Beneficiary ID*	

INVESTMENT DETAILS:

SUBSCRIPTION UNITS: *	PRICE: 100.00	TOTAL AMOUNT OF INVESTMENT(Rs):
-----------------------	---------------	---------------------------------

https://demo.bseindia.com/asba/GSEC/GSEC_BidEntry.aspx?mid=02001&lid=62820&sid=dc6b9822-fa34-4088-bcff-f597be3eb929

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=dc6b9822-fa34-4088-bcff-f597be3eb929

Welcome:- 02001/1017
Last Login Date & Time : 21 Feb 2017 18:54:47

BSE
EXPERIENCE THE NEW

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BIMF Lo

Bid Entry

Open Date: 24 Jan 2017 Close Date: 21 Feb 2017 Min Subscription Unit : 100 Max Subscription Unit : 200000 More Details

DETAILS

Issue Type*	G-SEC	Symbol*	773GS2034	PAN	*
Depository*	NSDL	DP ID*	IN	Client Beneficiary ID*	

INVESTMENT DETAILS:

SUBSCRIPTION UNITS: *	PRICE: 100.00	TOTAL AMOUNT OF INVESTMENT(Rs):
-----------------------	---------------	---------------------------------

Issue RBI Reference number: 773203

Security: 773203 **Security Name:** 7.73% GOVT.STOCK 2034

RBI auction Date: 26 Jan 2017 **Settlement Date:** 27 Jan 2017

Notification Amount: 20000000000.00 **Maturity Date:** 19 Dec 2034

https://demo.bseindia.com/asba/GSEC/GSEC_BidEntry.aspx?mid=02001&lid=62820&sid=dc6b9822-fa34-4088-bcff-f597be3eb929

- 5) User should select the issue type, symbol and enter all the mandatorily marked details for bidding purpose. The bidding price would auto-displayed in field Price.

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=890b61ca-7222-4058-90d0-4f628135aef8

Welcome: 02001/1017
Last Login Date & Time : 21 Feb 2017 17:21:07

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BIMF Log Out

Bid Entry

Open Date: Close Date: Min Subscription Unit : Max Subscription Unit : More Details

DETAILS			
Issue Type*	SELECT	Symbol*	SELECT
Depository*	NSDL	DP ID*	IN
		PAN	*
		Client Beneficiary ID*	

INVESTMENT DETAILS:			
SUBSCRIPTION UNITS: *		PRICE:	
		TOTAL AMOUNT OF INVESTMENT(Rs):	

Submit Reset

https://demo.bseindia.com/asba/GSEC/GSEC_BidEntry.aspx?mid=02001&lid=62820&sid=890b61ca-7222-4058-90d0-4f628135aef8

- 6) On successful submission of a bid, unique Bid ID would be generated as displayed below:

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=628208&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 10:58:20

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BIMF Lo

Bid Entry

Open Date: 09 Feb 2017 Close Date: 27 Feb 2017 Min Subscription Unit : 100 Max Subscription Unit : 10000000 [More Details](#)

DETAILS					
Issue Type*	G-SEC	Symbol*	706GS2046	PAN	
Depository*	NSDL	DP ID*	IN	Client Beneficiary ID*	

INVESTMENT DETAILS:		
SUBSCRIPTION UNITS: *	PRICE: 100.00	TOTAL AMOUNT OF INVESTMENT(Rs):

BID ENTRY ACCEPTED. BID ID 320

[Submit](#) [Reset](#)

https://demo.bseindia.com/asba/GSEC/GSEC_BidEntry.aspx?mid=02001&lid=628208&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

7) If any of the detail entered is incorrect then bid would not be accepted, as displayed below:

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=628208&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 10:58:20

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BIMF Lo

Bid Entry

Open Date: 09 Feb 2017 Close Date: 27 Feb 2017 Min Subscription Unit : 100 Max Subscription Unit : 10000000 [More Details](#)

DETAILS					
Issue Type*	G-SEC	Symbol*	706GS2046	PAN	ASDASF
Depository*	NSDL	DP ID*	IN234111	Client Beneficiary ID*	12333333

INVESTMENT DETAILS:		
SUBSCRIPTION UNITS: *	PRICE: 100.00	TOTAL AMOUNT OF INVESTMENT(Rs):

PAN CARD NO IS INVALID

[Submit](#) [Reset](#)

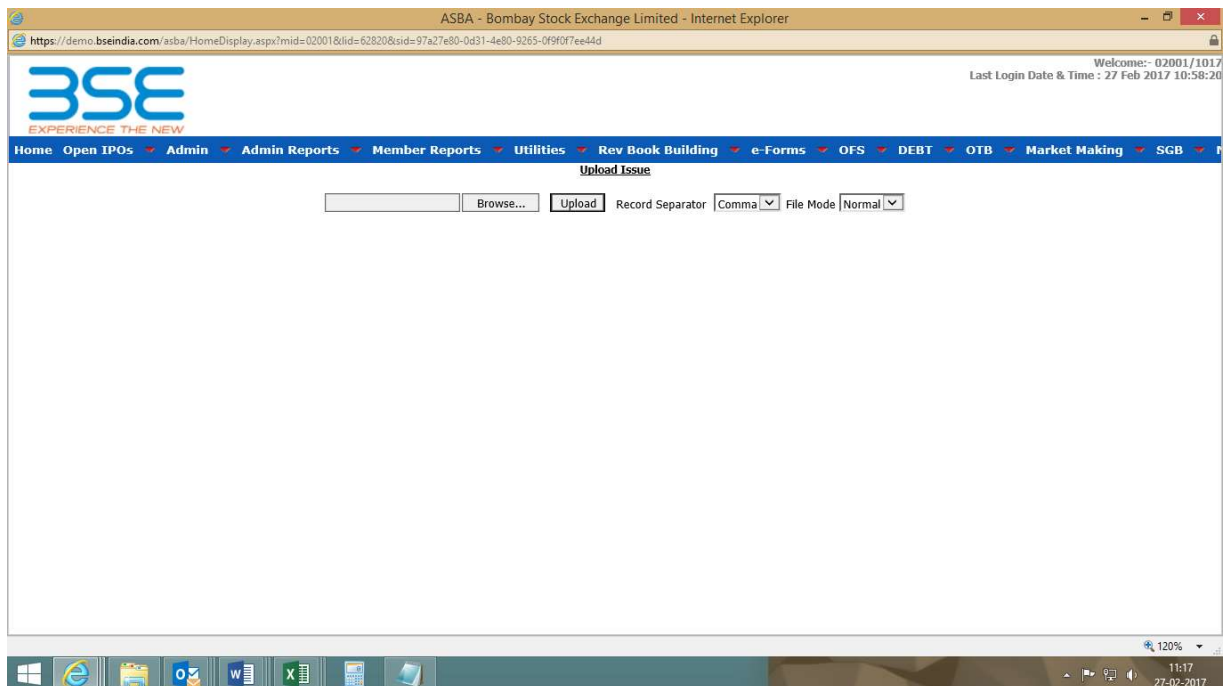
https://demo.bseindia.com/asba/GSEC/GSEC_BidEntry.aspx?mid=02001&lid=628208&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

E. BID ENTRY THROUGH BID UPLOAD

1) Multiple bids can be entered through following menu:

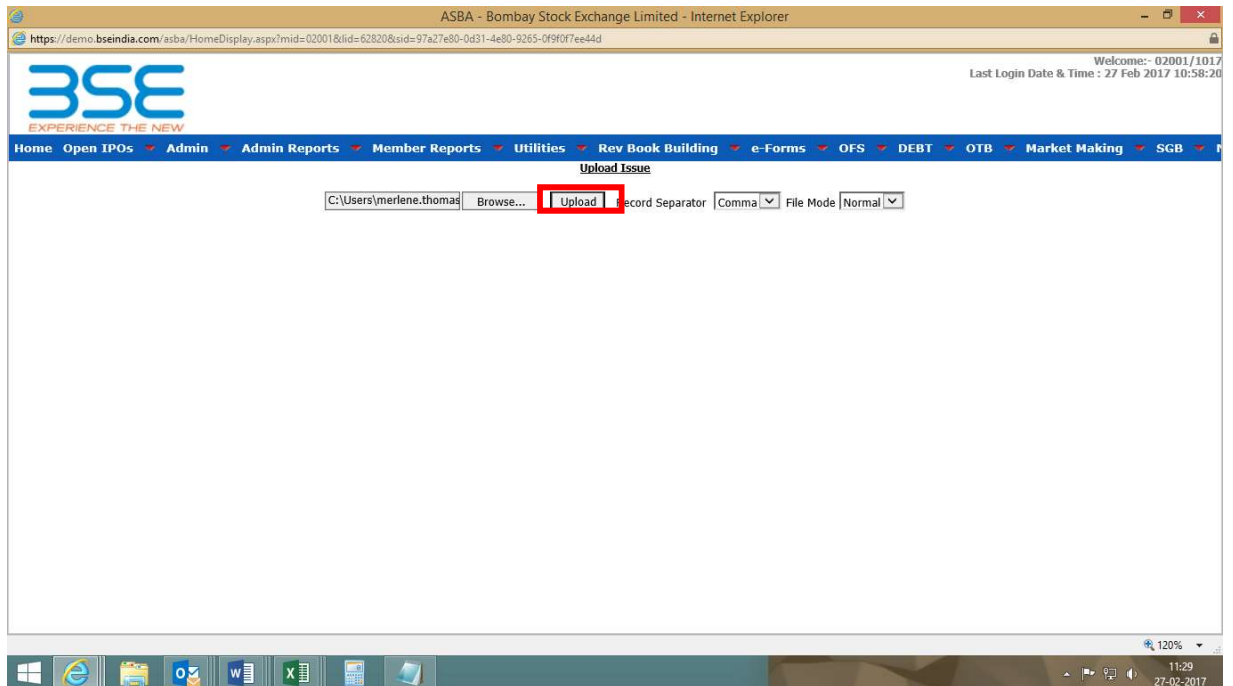


2) Screen is as given below



- 3) Multiple bids can be uploaded through Batch upload only in one symbol at a time. Batch format is attached in Annexure.
- 4) Through bulk upload user would be able to add/modify/delete multiple bids.

- 5) User should select the file created as per prescribed format and click on Upload



ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

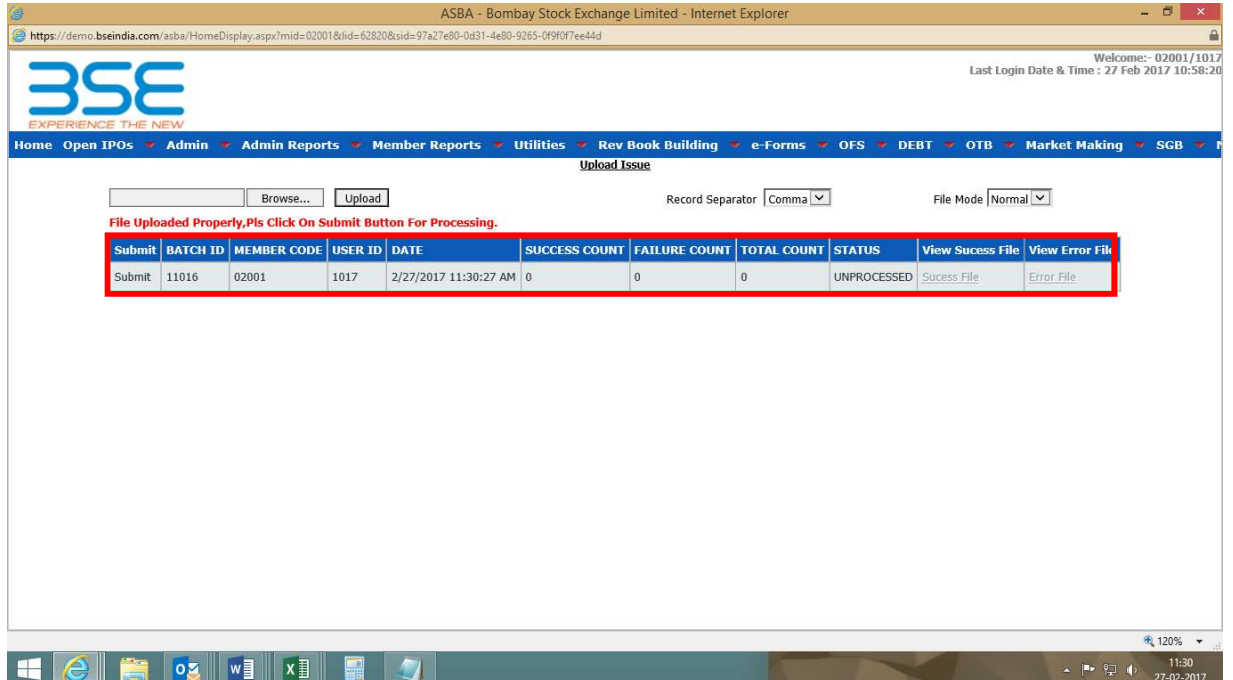
Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 10:58:20

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB

Upload Issue

C:\Users\merlene.thomas Browse... **Upload** Record Separator Comma File Mode Normal

- 6) Uploaded details would be displayed on the screen, as given below:



ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 10:58:20

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB

Upload Issue

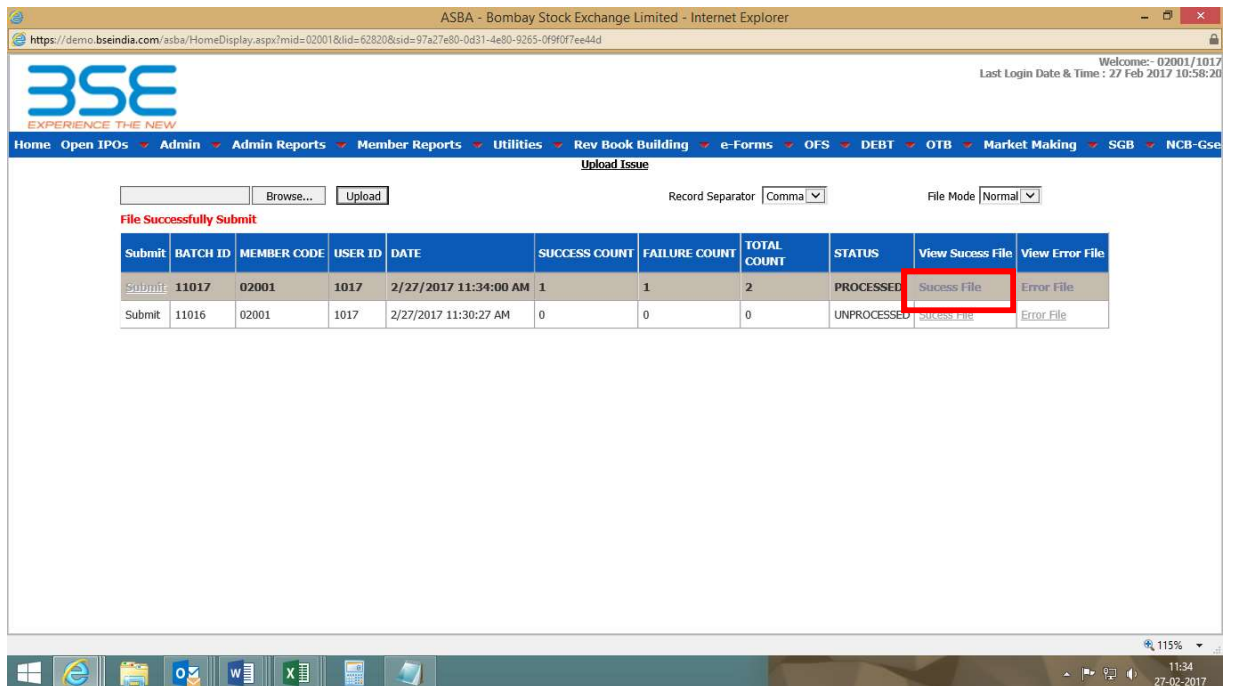
Browse... Upload Record Separator Comma File Mode Normal

File Uploaded Properly,Pls Click On Submit Button For Processing.

Submit	BATCH ID	MEMBER CODE	USER ID	DATE	SUCCESS COUNT	FAILURE COUNT	TOTAL COUNT	STATUS	View Success File	View Error File
Submit	11016	02001	1017	2/27/2017 11:30:27 AM	0	0	0	UNPROCESSED	Success File	Error File

- 7) Uploaded details should be submitted for further processing. If details entered are as per the prescribed format then records would be accepted else records would be rejected.

- 8) Accepted records would be displayed in file named as “Success File”, as highlighted below. Click on this link to download Success File.



ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 10:58:20

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gse

Upload Issue

Browse... Upload Record Separator Comma File Mode Normal

File Successfully Submit

Submit	BATCH ID	MEMBER CODE	USER ID	DATE	SUCCESS COUNT	FAILURE COUNT	TOTAL COUNT	STATUS	View Success File	View Error File
Submit	11017	02001	1017	2/27/2017 11:34:00 AM	1	1	2	PROCESSED	Success File	Error File
Submit	11016	02001	1017	2/27/2017 11:30:27 AM	0	0	0	UNPROCESSED	Success File	Error File

- 9) Rejected records would be part of Error file, as highlighted below. Click on this link to download Error File. Rejection reason will also be displayed.



ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 10:58:20

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gse

Upload Issue

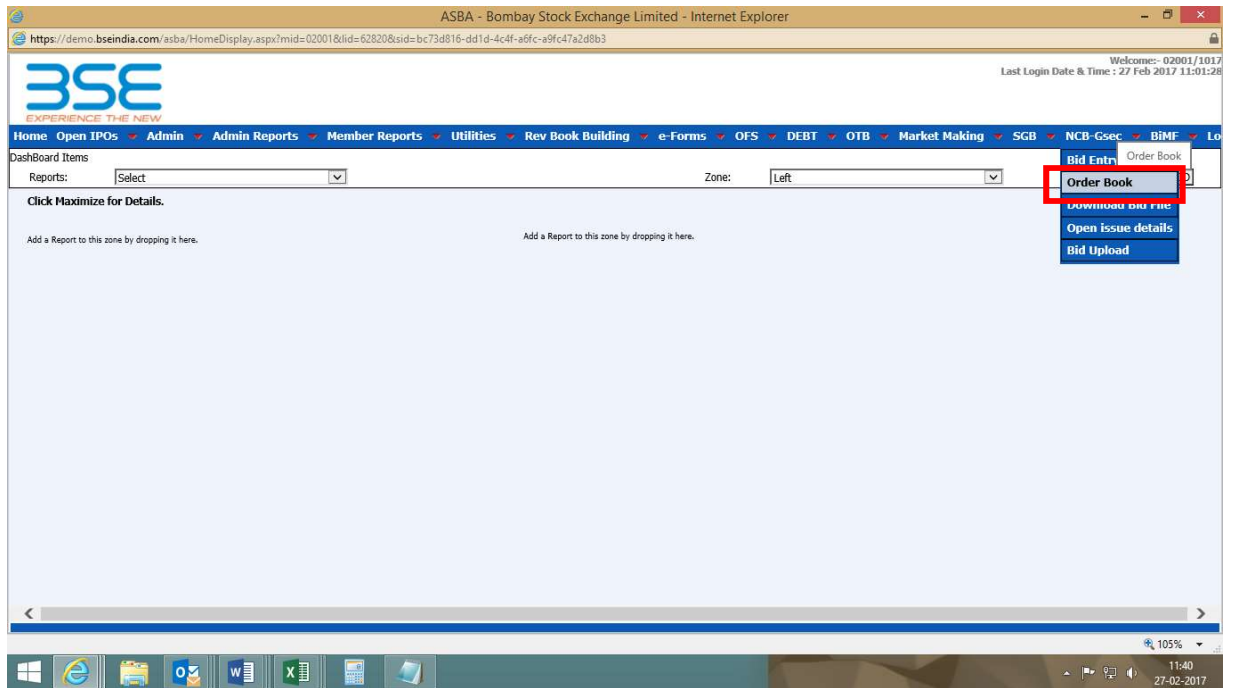
Browse... Upload Record Separator Comma File Mode Normal

File Successfully Submit

Submit	BATCH ID	MEMBER CODE	USER ID	DATE	SUCCESS COUNT	FAILURE COUNT	TOTAL COUNT	STATUS	View Success File	View Error File
Submit	11017	02001	1017	2/27/2017 11:34:00 AM	1	1	2	PROCESSED	Success File	Error File
Submit	11016	02001	1017	2/27/2017 11:30:27 AM	0	0	0	UNPROCESSED	Success File	Error File

F. ORDER BOOK

- 1) Bids submitted and their respective status can be viewed through menu named as Order Book



- 2) Screen is as given below

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 10:58:20

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gse

Order Book - (GSEC)

Issue Type* Symbol*

Client Code User

Order Status

Bid Id

Show

https://demo.bseindia.com/asba/GSEC/GSEC_OrderBook_View.aspx?mid=02001&lid=62820&sid=97a27e80-0d31-4e80-9265-0f9f07ee44d

115%


11:37
27-02-2017

3) Based on the selection of filter fields, bids would be displayed

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=bc73d816-dd1d-4c4f-a9fc-a9fc47a2d8b3

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 11:01:26

 **BSE**
EXPERIENCE THE NEW

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Order Book - (GSEC)

Issue Type* Symbol*

Client Code User

Order Status

Bid Id

Show Export

BID ID	SYMBOL	LOGIN NAME	CLIENT CODE	PAN	ISSUE TYPE	QUANTITY	FACE VALUE	BID AMOUNT	RATE FOR BLOCKING AMOUNT	AMOUNT FOR BLOCKING	AMOUNT BLOCKING STATUS	DEPOSITORY	DP ID	CLIENT ID	DEPOSITORY STATUS	RUE STATUS	BID STATUS	BID ENTRY TIME	BID MODIFY TIME	ALLOTTED UNITS	NON-COMPETITIVE ISSUE PRICE	CLICK HERE TO MODIFY	CLICK HERE TO PRINT	CLICK HERE TO PRINT
321	706G52046	1017	ITUU89768	ITUU89768	G-SEC	100	100.00	10000.00	106.35	10635.00	Pending	CDSL	0	1234567890123456	Pending	Pending	NEW	27 Feb 2017 11:34:04				MODIFY	CANCEL	PRINT
320	706G52046	1017	FRGY78983	FRGY78983	G-SEC	100	100.00	10000.00	106.35	10635.00	Pending	NSDL	IN234111	12333333	Pending	Pending	NEW	27 Feb 2017 11:06:03		0.0000		MODIFY	CANCEL	PRINT
160	706G52046	1017	MKUVT6787Y	MKUVT6787Y	G-SEC	4858500	100.00	48585000.00	106.35	516701475.00	Rejected	NSDL	IN121212	12333333	Pending	Pending	COLLateral Insufficient	21 Feb 2017 15:12:08		0.0000		MODIFY	CANCEL	PRINT
159	706G52046	1017	MKLU09087U	MKLU09087U	G-SEC	1200	100.00	120000.00	106.35	127620.00	Blocked	NSDL	IN123123	12312312	Pending	Pending	NEW	21 Feb 2017 14:43:54		0.0000		MODIFY	CANCEL	PRINT
158	706G52046	1017	OSJUY8261P	OSJUY8261P	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Pending	Pending	NEW	21 Feb 2017 14:36:31				MODIFY	CANCEL	PRINT
157	706G52046	1017	LSJUY8261P	LSJUY8261P	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Pending	Pending	NEW	21 Feb 2017 14:34:59				MODIFY	CANCEL	PRINT
154	706G52046	1017	YYHHU8908U	YYHHU8908U	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Verified	No Allotment	NEW	20 Feb 2017 18:25:44		0.0000	102.7700	MODIFY	CANCEL	PRINT

https://demo.bseindia.com/asba/GSEC/GSEC_OrderBook_View.aspx?mid=02001&lid=62820&sid=bc73d816-dd1d-4c4f-a9fc-a9fc47a2d8b3

85%

11:41
27-02-2017

4) In addition to member and client details, some of the important details displayed in order book are as given below,

a) **QUANTITY (Units):** Quantity at which bidding took place.

- b) **FACE VALUE:** Face Value of Bond.
- c) **BID AMOUNT:** QUANTITY * FACE VALUE
- d) **RATE FOR BLOCKING AMOUNT & AMOUNT FOR BLOCKING:** This is the rate & amount at which Investor collateral shall be blocked and debited by Clearing Corporation as per timings published i.e. post bid entry cut-off is over. Trading Member's has to keep sufficient collateral once Bid is submitted to Exchange.
- e) **AMOUNT BLOCKING STATUS:** Following status would be displayed:
 - i) Pending: signifies collateral is pending to be blocked.
 - ii) Blocked: signifies that collateral equivalent to value mentioned in AMOUNT FOR BLOCKING has been blocked.
 - iii) Rejected: signifies collateral blocking failed due to insufficient collateral.
- f) **DEPOSITORY STATUS:** It displays the demat account verification status provided by investor such as DEPOSITORY NAME, PAN, DP ID, CLIENT ID/ BENEFICIARY ID at the time of Bid submission.
 - i) Pending: signifies depository verification is pending for the bid.
 - ii) Verified: signifies depository verification was successful for the bid.
 - iii) Mismatch: signifies a mismatch was found during depository verification. Reason of mismatch shall be available to trading member.
- g) **RBI STATUS:** Once Exchange receives allotment details from RBI following status shall be updated:
 - i) Pending: signifies allotment process is pending.
 - ii) Allotted: signifies unit has been allotted to that bid.
 - iii) Not allotted: signifies No allotment has been provided to the bid.
- h) **BID STATUS:** Following status would be displayed when Bid is submitted to Exchange.
 - i) NEW: signifies a new bid.
 - ii) MOD: signifies a modified bid.
 - iii) CXL: signifies a cancelled bid.
- i) **BID ENTRY TIME** and **BID MODIFY TIME** would be displayed
- j) **ALLOTTED UNITS:** Post allotment by Exchange, No. of units allotted to investor would be displayed

k) **NON-COMPETITIVE ISSUE PRICE:** Post allotment by Exchange the cut-off price at which units were allotted would be displayed.

5) Provision to Print **Transaction Registration Slip** has also been provided

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=bc73d816-dd1d-4c4f-a9fc-a9fc47a2d8b3

Welcome: 02001/1017
Last Login Date & Time : 27 Feb 2017 11:01:28

Order Book - (GSEC)

Issue Type: G-SEC Symbol: All Client Code: User: All Order Status: All Bid Id: Show Export

BID ID	SYMBOL	LOGIN NAME	CLIENT CODE	PAN	ISSUE TYPE	QUANTITY	FACE VALUE	BID AMOUNT	RATE FOR BLOCKING AMOUNT	AMOUNT FOR BLOCKING	AMOUNT BLOCKING STATUS	DEPOSITORY	DP ID	CLIENT ID	DEPOSITORY STATUS	RBI STATUS	BID STATUS	BID ENTRY TIME	BID MODIFY TIME	ALLOTTED UNITS	NON-COMPETITIVE ISSUE PRICE	CLICK HERE TO MODIFY	CLICK HERE TO CANCEL	CLICK HERE TO PRINT
321	706GS2046	1017	IIUJ8976B	IIUJ8976B	G-SEC	100	100.00	10000.00	106.35	10635.00	Pending	CDSL	0	1234567890123456	Pending	Pending	NEW	27 Feb 2017 11:34:04				MODIFY	CANCEL	PRINT
320	706GS2046	1017	FTRG7898J	FTRG7898J	G-SEC	100	100.00	10000.00	106.35	10635.00	Pending	NSDL	IN234111	12333333	Pending	Pending	NEW	27 Feb 2017 11:06:03		0.0000		MODIFY	CANCEL	PRINT
160	706GS2046	1017	MKJY7678Y	MKJY7678Y	G-SEC	4858500	100.00	485850000.00	106.35	516701475.00	Rejected	NSDL	IN121212	12333333	Pending	Pending	CIL Collateral Insufficient	21 Feb 2017 15:12:08		0.0000		MODIFY	CANCEL	PRINT
159	706GS2046	1017	MKJY9087U	MKJY9087U	G-SEC	1200	100.00	120000.00	106.35	127620.00	Blocked	NSDL	IN121212	12312312	Pending	Pending	NEW	21 Feb 2017 14:43:54		0.0000		MODIFY	CANCEL	PRINT
158	706GS2046	1017	OSJY8261P	OSJY8261P	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Pending	Pending	NEW	21 Feb 2017 14:36:31				MODIFY	CANCEL	PRINT
157	706GS2046	1017	LSJY8261P	LSJY8261P	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Pending	Pending	NEW	21 Feb 2017 14:34:59				MODIFY	CANCEL	PRINT
154	706GS2046	1017	YHHU8908U	YHHU8908U	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Verified	No Allotment	NEW	20 Feb 2017 18:25:44		0.0000	102.7700	MODIFY	CANCEL	PRINT

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&sid=bc73d816-dd1d-4c4f-a9fc-a9fc47a2d8b3

Welcome: 02001/1017
Last Login Date & Time : 27 Feb 2017 11:01:28

BSE LTD
Transaction Registration Slip

SYMBOL : 706GS2046 ISSUE NAME : G-SEC
PAN : IIUJ8976B
CLIENT CODE : DP NAME : CDSL
DP ID : 0 CLIENT/BENF ID : 1234567890123456

Bid Id No.	Qty	Rate	Value	Order Status	Entry Date	Entry Time
321	100	100.00	10000.00	NEW	Feb 27 2017	11:34AM

Client Signature _____ Counter Signature _____
Date _____

Note :
Investor's demat account registration details shall be taken from depositories, as available. Confirmation of bids shall be subject to confirmation from RBI system based on guidelines published by RBI.

https://demo.bseindia.com/asba/GSEC/GSEC_Print_Order.aspx?Type=PRINT&id=321&DPTYPE=Pending&mid=02001&lid=62820&sid=bc73d816-dd1d-4c4f-a9fc-a9fc47a2d8b3

6) Provision to export order book details has also been provided

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=62820&cid=bc73d816-dd1d-4c4f-a6fc-a9fc47a2d8b3

Welcome: 02001/1017
Last Login Date & Time: 27 Feb 2017 11:01:28

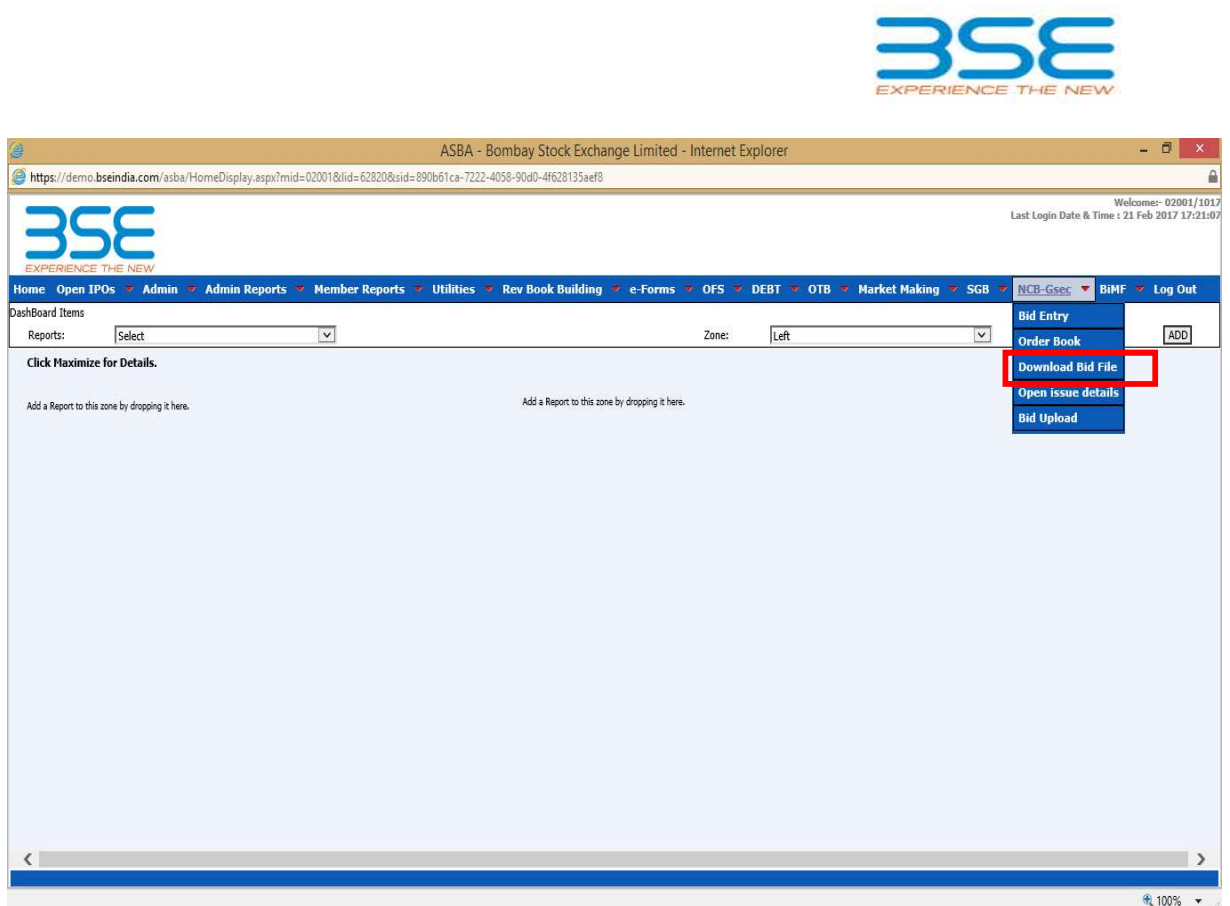
Order Book - (GSEC)

Issue Type: G-SEC Symbol: All
Client Code: User: All
Order Status: All
Bid Id:
Show **Export**

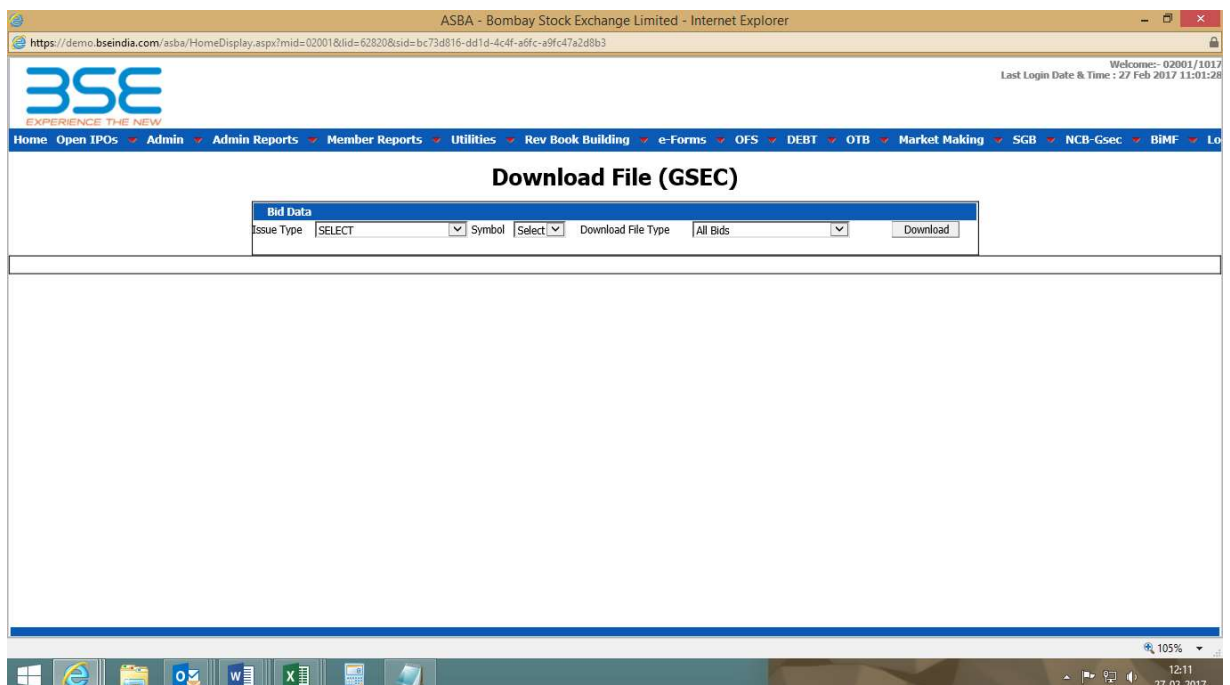
BID ID	SYMBOL	LOGIN NAME	CLIENT CODE	PAN	ISSUE TYPE	QUANTITY	FACE VALUE	BID AMOUNT	RATE FOR BLOCKING AMOUNT	AMOUNT FOR BLOCKING	AMOUNT BLOCKING STATUS	DEPOSITORY	DP ID	CLIENT ID	DEPOSITORY STATUS	RUE STATUS	BID STATUS	BID ENTRY TIME	BID MODIFY TIME	ALLOTTED UNITS	NON-COMPETITIVE ISSUE PRICE	CLICK HERE TO MODIFY	CLICK HERE TO CANCEL	CLICK HERE TO PRINT
321	706G52046	1017	IIUU8976B	IIUU8976B	G-SEC	100	100.00	10000.00	106.35	10635.00	Pending	CDSL	0	1234567890123456	Pending	Pending	NEW	27 Feb 2017 11:34:04				MODIFY	CANCEL	PRINT
320	706G52046	1017	FRGY7898J	FRGY7898J	G-SEC	100	100.00	10000.00	106.35	10635.00	Pending	NSDL	IN234111	12333333	Pending	Pending	NEW	27 Feb 2017 11:06:03		0.0000		MODIFY	CANCEL	PRINT
160	706G52046	1017	MKUVT6787Y	MKUVT6787Y	G-SEC	4858500	100.00	485850000.00	106.35	516701475.00	Rejected	NSDL	IN121212	12333333	Pending	Pending	COLLATERAL INSUFFICIENT	21 Feb 2017 15:12:08		0.0000		MODIFY	CANCEL	PRINT
159	706G52046	1017	MKLU09087U	MKLU09087U	G-SEC	1200	100.00	120000.00	106.35	127620.00	Blocked	NSDL	IN123123	12312312	Pending	Pending	NEW	21 Feb 2017 14:43:54		0.0000		MODIFY	CANCEL	PRINT
158	706G52046	1017	OSJUY8261P	OSJUY8261P	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Pending	Pending	NEW	21 Feb 2017 14:36:31				MODIFY	CANCEL	PRINT
157	706G52046	1017	LSJUY8261P	LSJUY8261P	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Pending	Pending	NEW	21 Feb 2017 14:34:59				MODIFY	CANCEL	PRINT
154	706G52046	1017	YYHHU8908U	YYHHU8908U	G-SEC	100	100.00	10000.00	106.35	10635.00	Blocked	CDSL	0	1234567890123456	Verified	No Allotment	NEW	20 Feb 2017 18:25:44		0.0000	102.7700	MODIFY	CANCEL	PRINT

G. DOWNLOAD BID FILE

- 1) Reports will be available for download through below link



2) Screen is as given below:



3) Based on issue type, symbol and download file type, details would be available for download

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=628208&sid=bc73d816-dd1d-4c4f-a6fc-a9fc47a2d8b3

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 11:01:28

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BIMF Lo

Download File (GSEC)

Bid Data		SELECT	Symbol	Select	Download File Type	All Bids	Download
Issue Type	All						
	T-BILL						
	G-SEC						

105%

12:13
27-02-2017

4) Various reports available are All bids, Confirmed Bid for RBI reporting and Allocation report

ASBA - Bombay Stock Exchange Limited - Internet Explorer

https://demo.bseindia.com/asba/HomeDisplay.aspx?mid=02001&lid=628208&sid=bc73d816-dd1d-4c4f-a6fc-a9fc47a2d8b3

Welcome:- 02001/1017
Last Login Date & Time : 27 Feb 2017 11:01:28

Home Open IPOs Admin Admin Reports Member Reports Utilities Rev Book Building e-Forms OFS DEBT OTB Market Making SGB NCB-Gsec BIMF Lo

Download File (GSEC)

Bid Data		SELECT	Symbol	Select	Download File Type	All Bids Confirmed Bid for RBI reporting Allocation report	Download
Issue Type	All						
	T-BILL						
	G-SEC						

105%

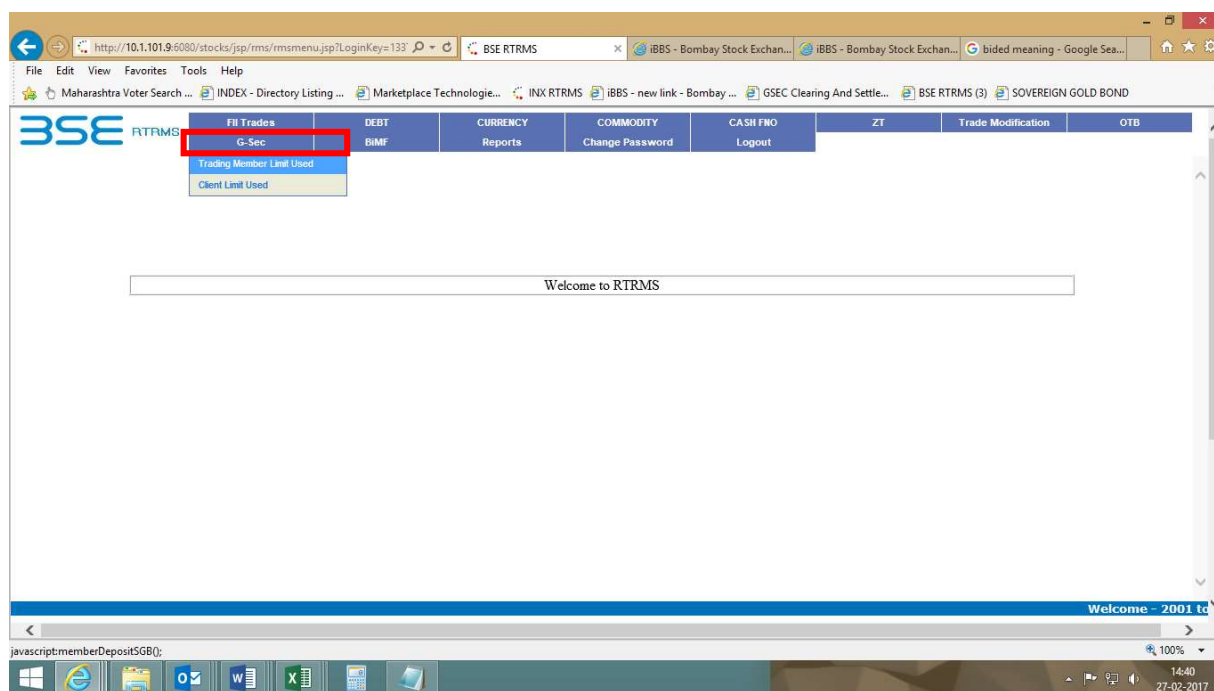
12:14
27-02-2017

a) All Bid = This report shall reflect all Bid placed by trading member along with Bid Status, RBI Status, Depository Process status. This report has header information.

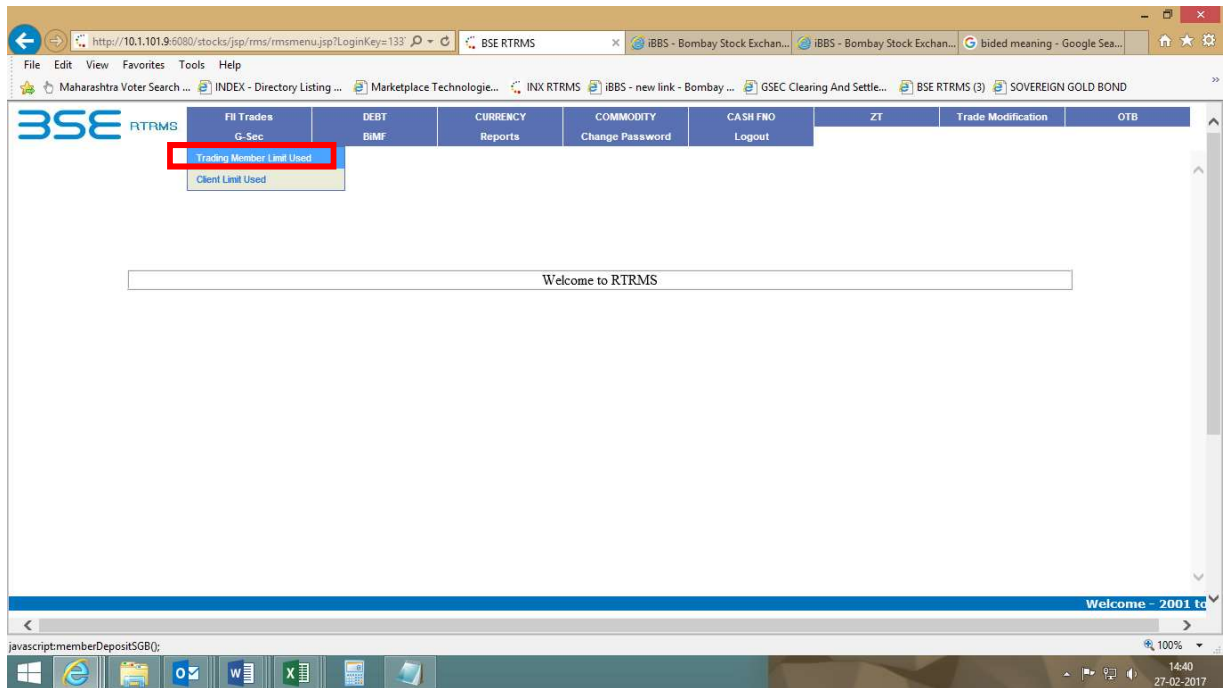
- b) Confirmed Bid for RBI reporting = All valid Bids having status RBI status 'Pending' shall appear in this report and shall be considered for reporting to RBI by Exchange.
 - c) Allocation report = This report shall be generated only for Bids which has received allotment 'Bid Status (Allotted)' along with Units Allotted , Non-competitive cut-off price and Broken Period Interest as applicable shall be displayed.
- 5) Trading member can calculate final value of Bid amount based on (Unit allotted * Non-competitive cut-off rate) + Broker period Interest as per values available in report.

H. COLLATERAL BLOCKING OF BIDS PLACED

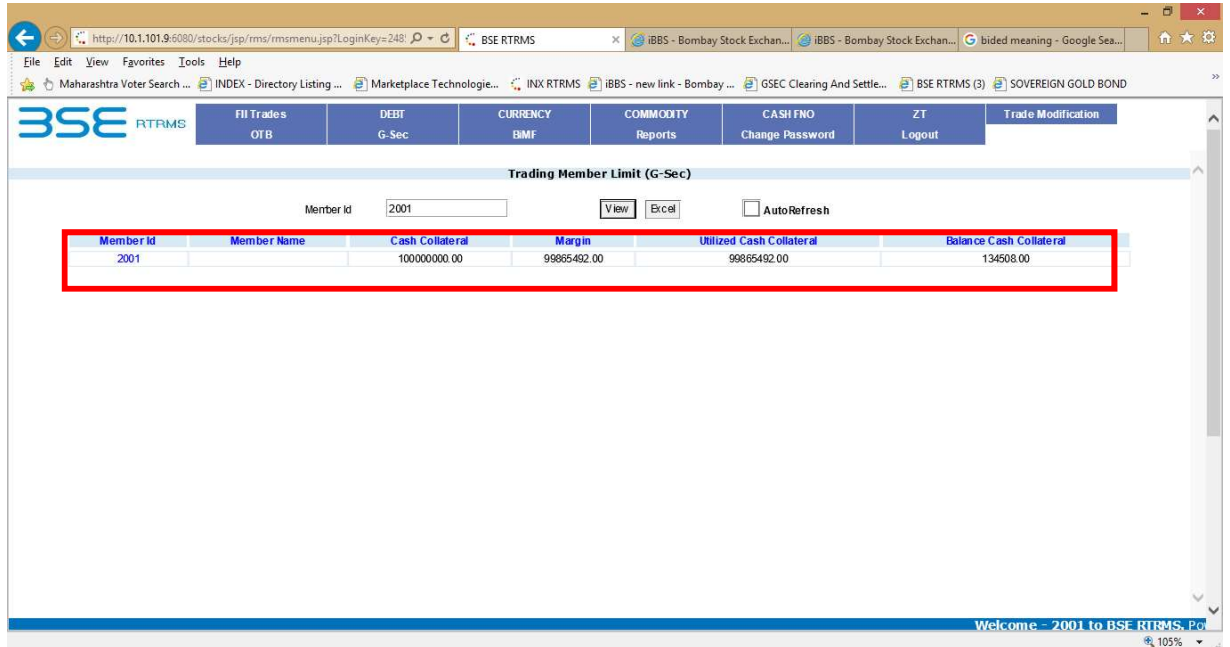
- 1) On Auction day (i.e. T Day) before reporting bids to RBI, Exchange will block collateral equivalent to Collateral Blocking Amount (i.e. QUANTITY * RATE FOR BLOCKING AMOUNT).
- 2) For a respective member, if equivalent amount exists as collateral then bid will be accepted else rejected with reason as Collateral Insufficient.
- 3) Only these accepted bids will be considered for RBI Allocation process.
- 4) Members can view their collateral blocked status through their existing RTRMS Login under menu NCB G-Sec.



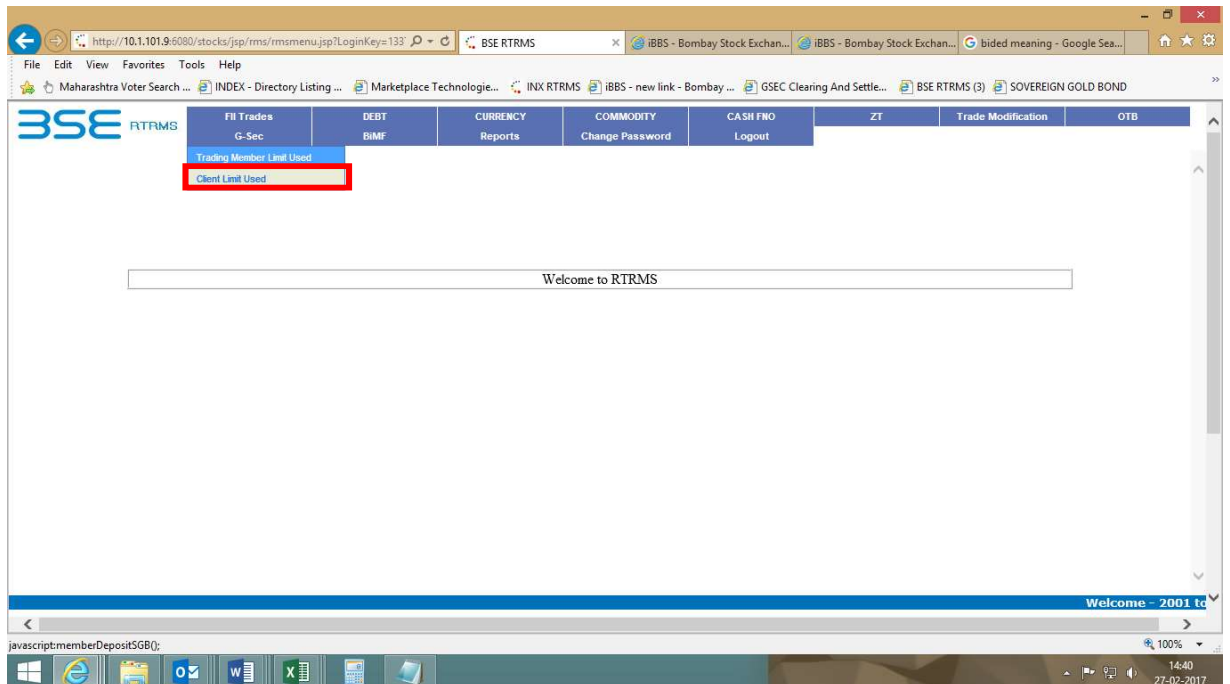
- 5) Go to sub-menu “Trading Member Limit Used” for collateral utilization at member level



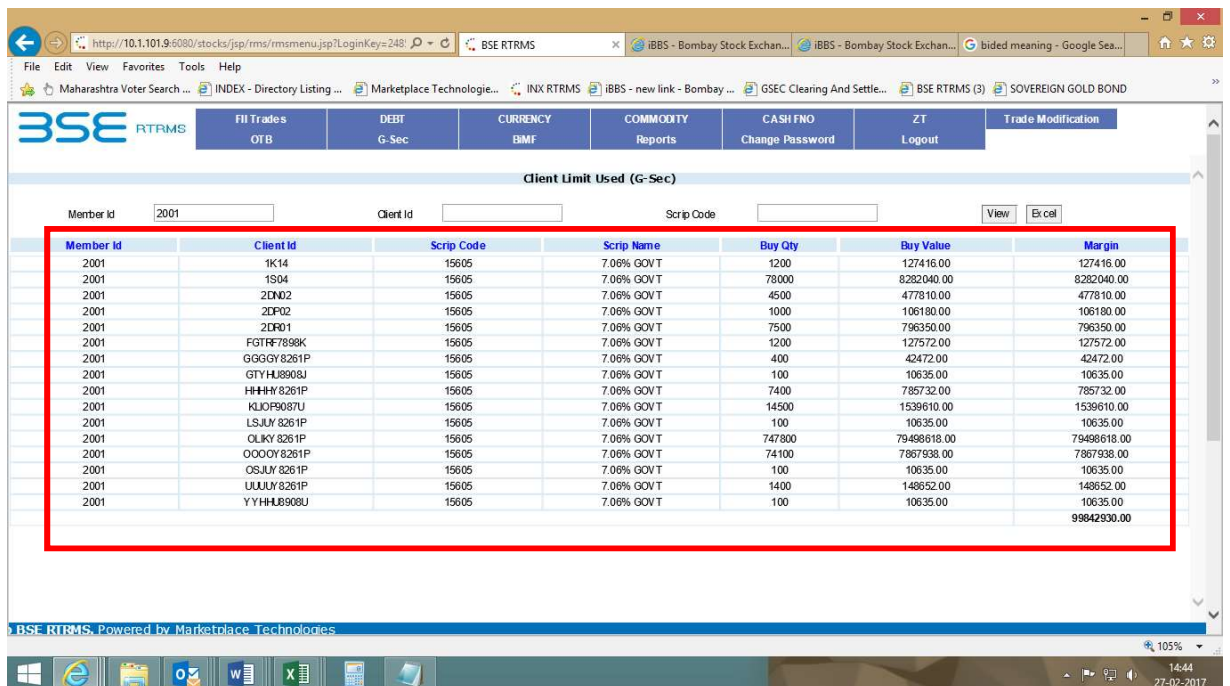
- 6) Screen is as given below



- 7) Go to sub-menu “Client Limit Used” for investor wise collateral which shall be blocked by Clearing Corporation post bid entry cut-off is over.



8) Screen is as given below



The screenshot shows the 'Client Limit Used (G-Sec)' page in the BSE RTRMS system. The page displays a table with columns: Member Id, Client Id, Scrip Code, Scrip Name, Buy Qty, Buy Value, and Margin. The table is filtered by Member Id 2001. A red box highlights the table content.

Member Id	Client Id	Scrip Code	Scrip Name	Buy Qty	Buy Value	Margin
2001	1K14	15605	7.06% GOV T	1200	127416.00	127416.00
2001	1S04	15605	7.06% GOV T	78000	8282040.00	8282040.00
2001	2DN02	15605	7.06% GOV T	4500	477810.00	477810.00
2001	2DP02	15605	7.06% GOV T	1000	106180.00	106180.00
2001	2DR01	15605	7.06% GOV T	7500	796350.00	796350.00
2001	FGTRF7898K	15605	7.06% GOV T	1200	127572.00	127572.00
2001	GGGGY8261P	15605	7.06% GOV T	400	42472.00	42472.00
2001	GTYYH8908U	15605	7.06% GOV T	100	10635.00	10635.00
2001	HHHHY8261P	15605	7.06% GOV T	7400	785732.00	785732.00
2001	KUOP9087U	15605	7.06% GOV T	14500	1539610.00	1539610.00
2001	LSJLY8261P	15605	7.06% GOV T	100	10635.00	10635.00
2001	OLJLY8261P	15605	7.06% GOV T	747800	79498618.00	79498618.00
2001	OOOY8261P	15605	7.06% GOV T	74100	7867938.00	7867938.00
2001	OSJLY8261P	15605	7.06% GOV T	100	10635.00	10635.00
2001	UUJLY8261P	15605	7.06% GOV T	1400	148652.00	148652.00
2001	YYHH8908U	15605	7.06% GOV T	100	10635.00	10635.00
						99842930.00

***** End of Document *****