Member Extranet & Class Collateral system user guide for upload of Client Collateral Allocation details

Version 1.0

April 2022



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| **Version No** | **Created / Modified on** |
| 1.0 | 28 April, 2022 |

**Introduction**

In view of SEBI  [Circular Ref No SEBI/HO/MRD2/DCAP/P/CIR/202 dated November 23, 2021](https://www.sebi.gov.in/legal/circulars/nov-2021/segregation-and-monitoring-of-collateral-at-client-level-extension-of-timeline_54149.html), for availing collateral limits in case of Cash and Cash equivalent collateral, CMs will be required to provide client level collateral allocation details to ICCL through File upload/API facility. Members can refer below circular links for format/process details.

1. **Collateral Allocation File Format Circular link:**

<https://www.bseindia.com/markets/MarketInfo/DispNewNoticesCirculars.aspx?page=20211109-52>

1. **API Facility Circular link:**

<https://www.bseindia.com/markets/MarketInfo/DispNewNoticesCirculars.aspx?page=20220124-38>

1. ***Collateral Allocation file upload through Member Extranet Module***

* **LOGIN**

Users can log on to Member Extranet system using the existing username and password provided by User trdops team.

***Coordinates of user trdops team for requesting new password & resetting password***

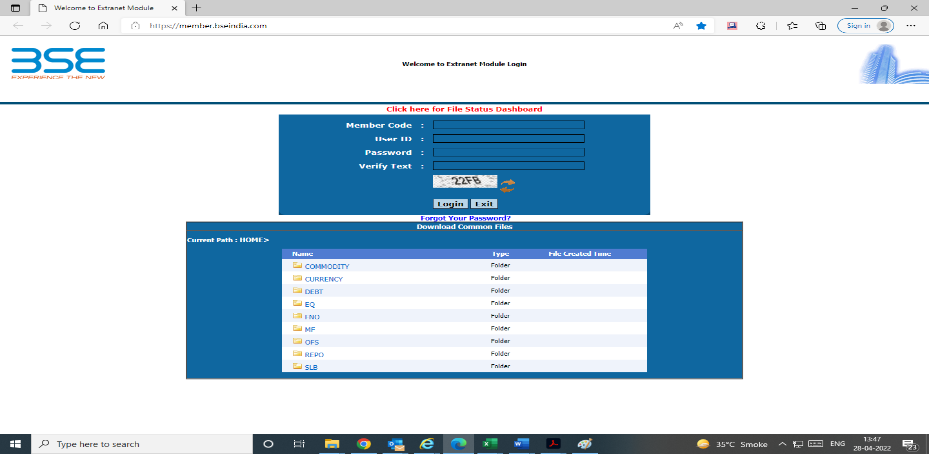
Email- [user.trdops@bseindia.com](mailto:user.trdops@bseindia.com);

Contact No: 22728215/8005

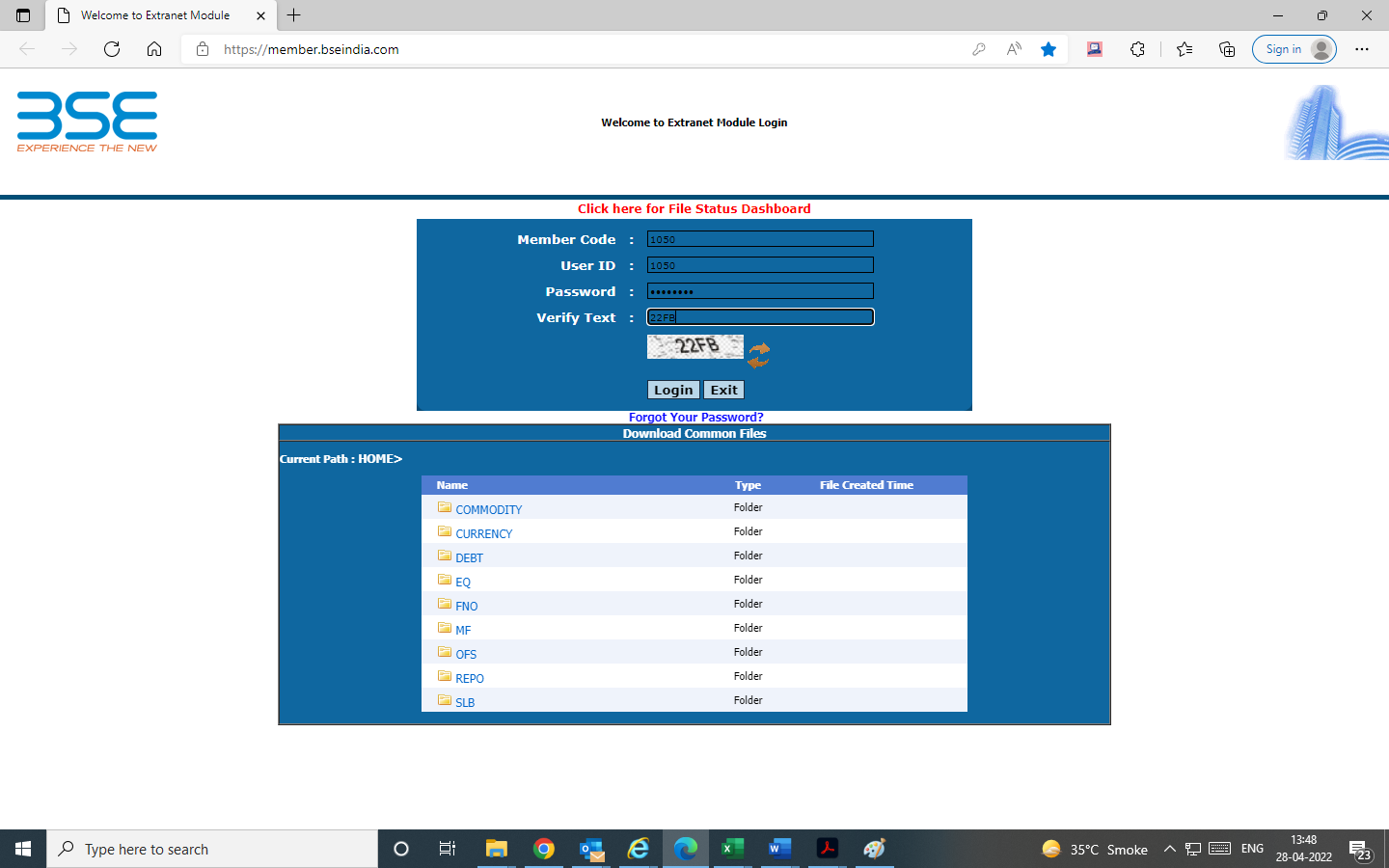
* **Member Extranet Interface access steps**
* Click Internet Explorer
* Connect to the below URL

<https://member.bseindia.com>

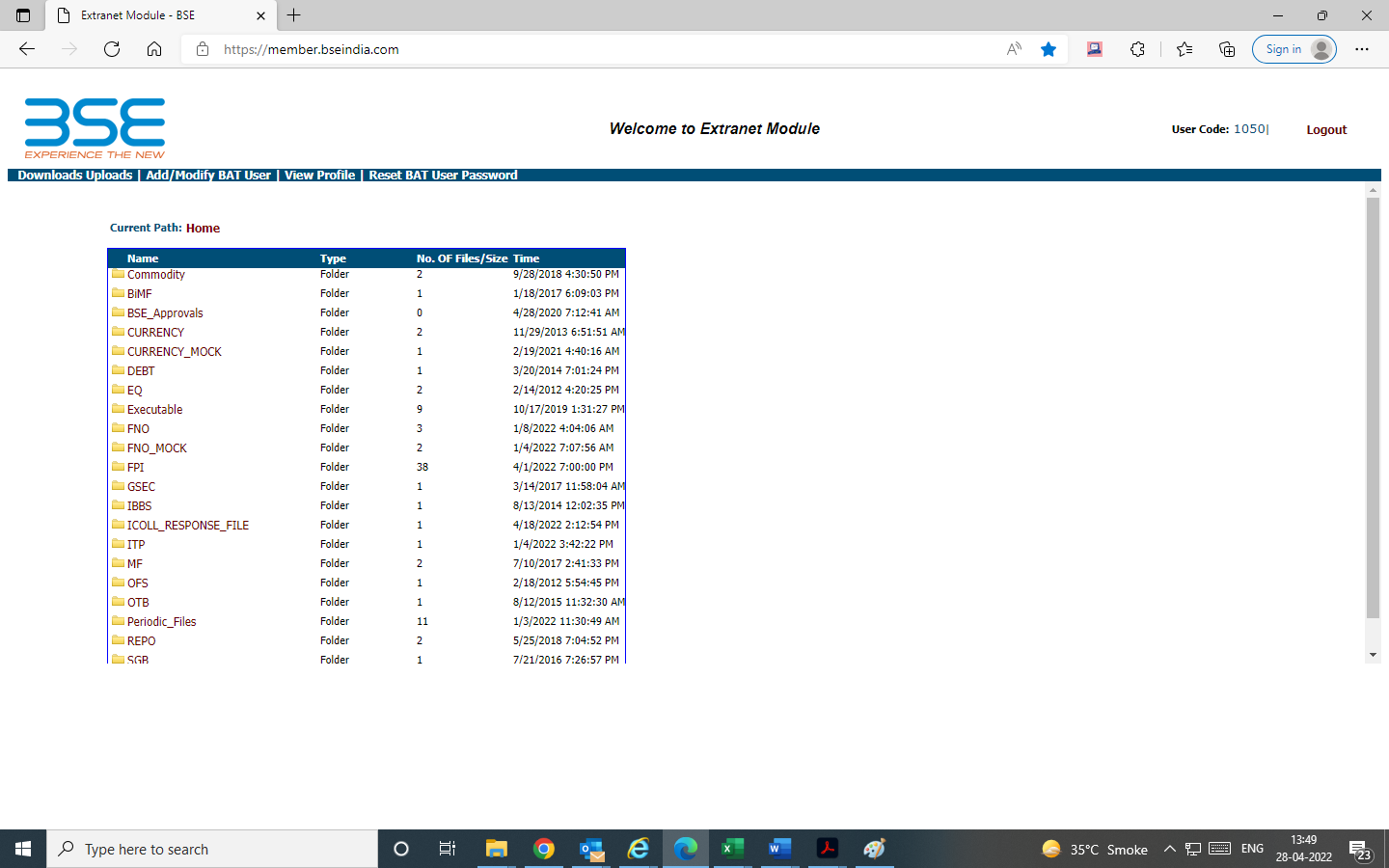
* Below login screen will be displayed



* Type the username and password in the relevant fields along with captcha verification & login.

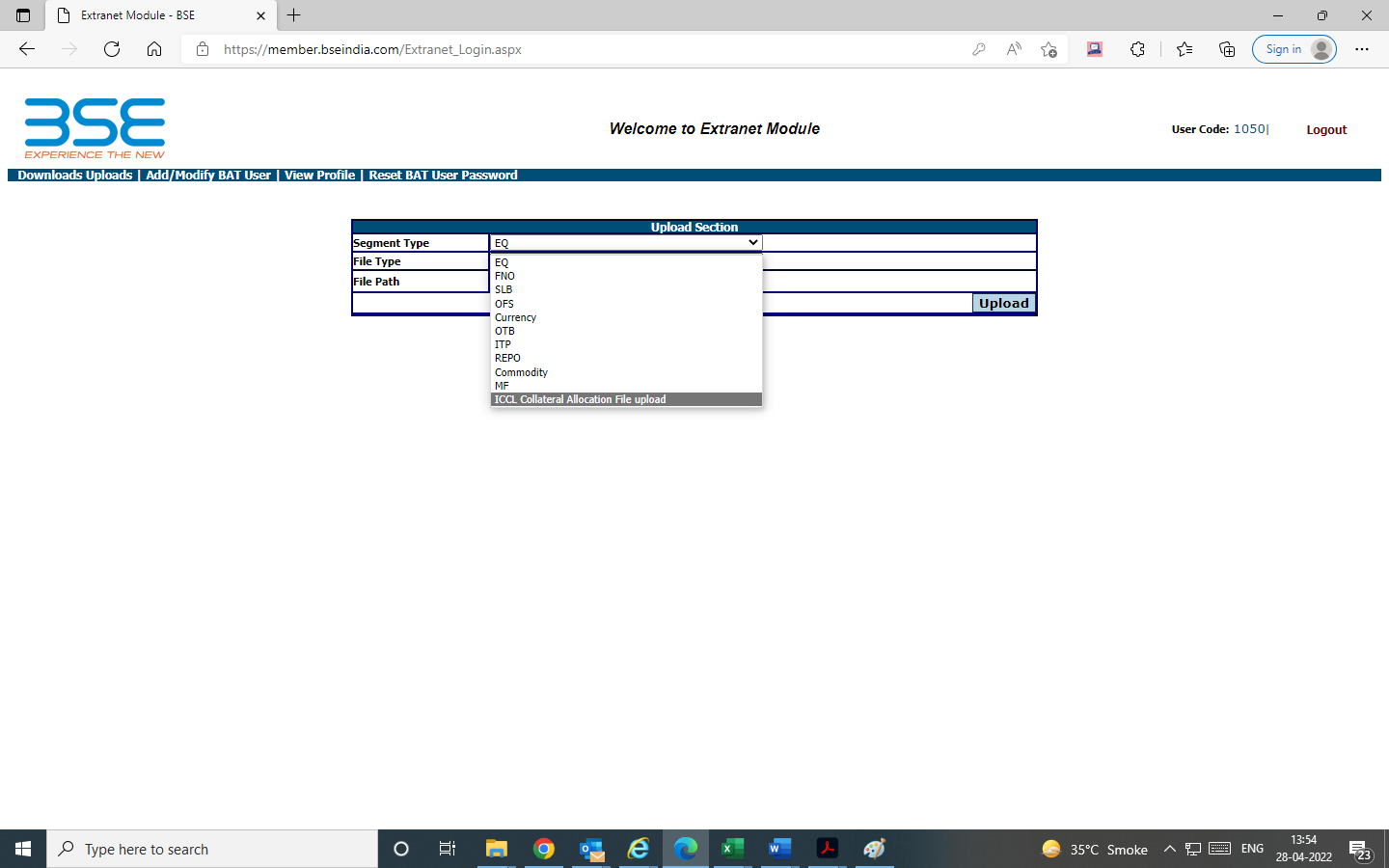


* Main Extranet interface screen as shown in below figure will be displayed
* Click on ‘uploads” for upload of client collateral allocation file. **The cut-off time for upload of collateral allocation file will be till 8:00 pm.**

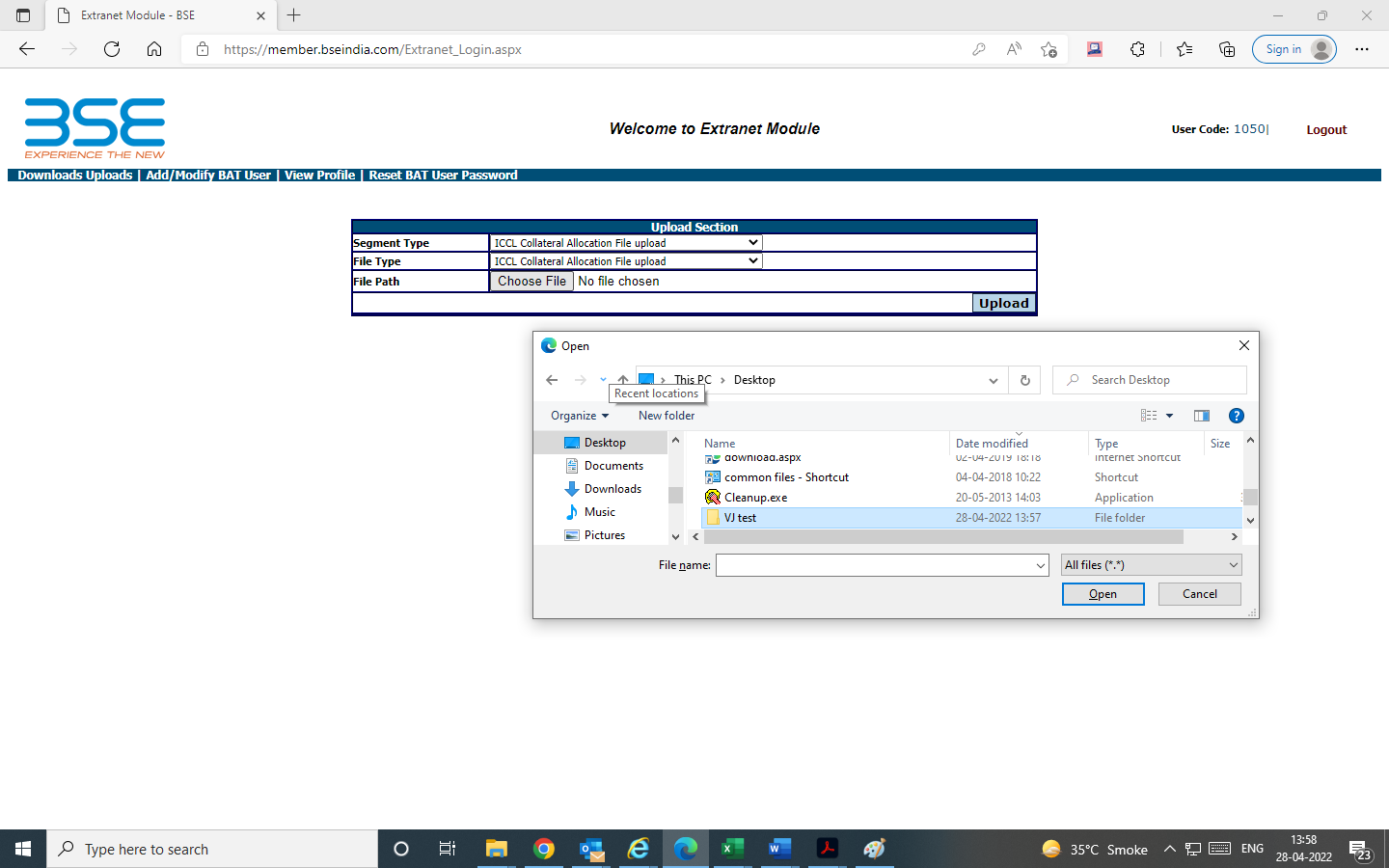


* In segment type field --🡪 select ICCL Collateral allocation file upload. Sample upload file attached

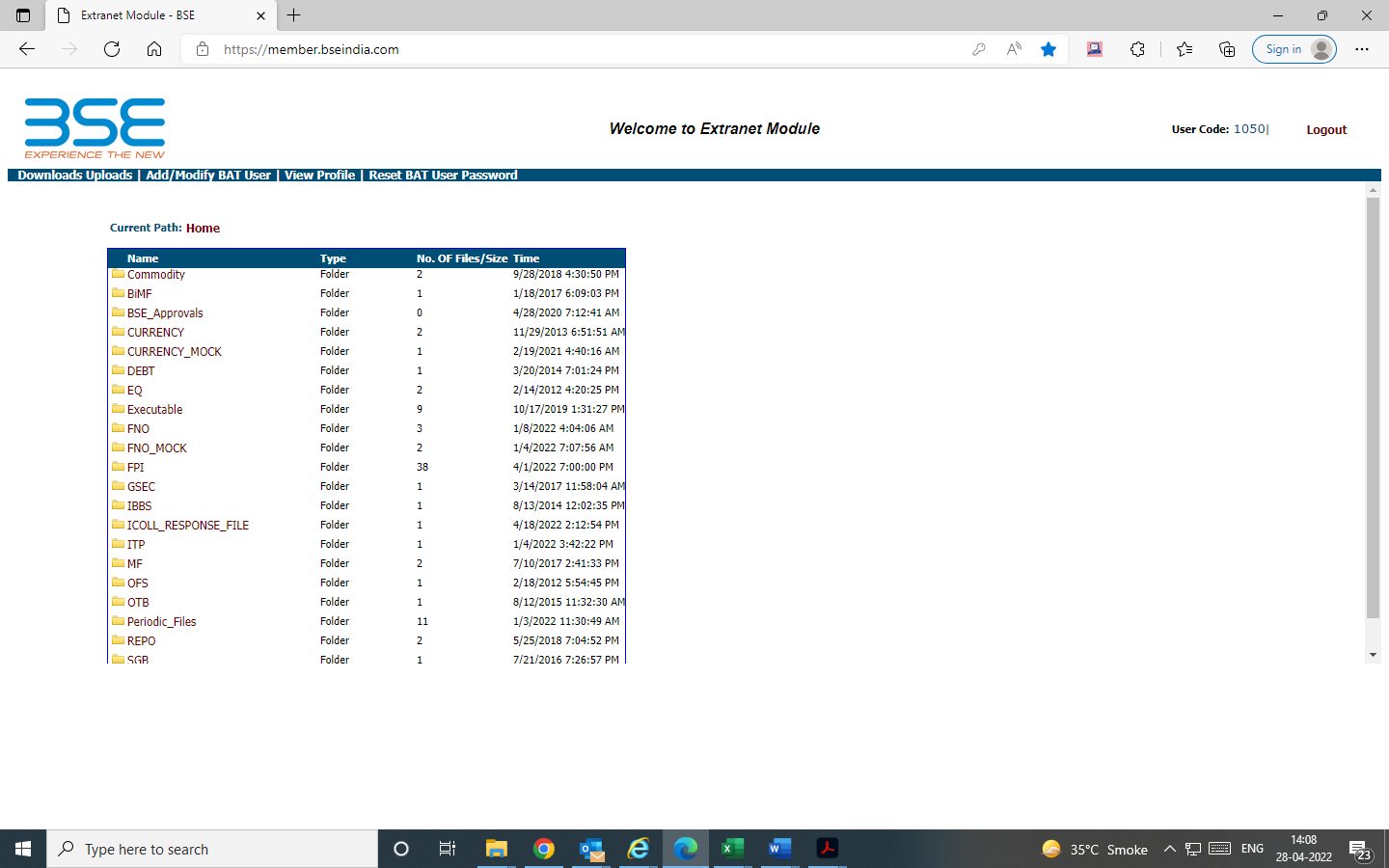




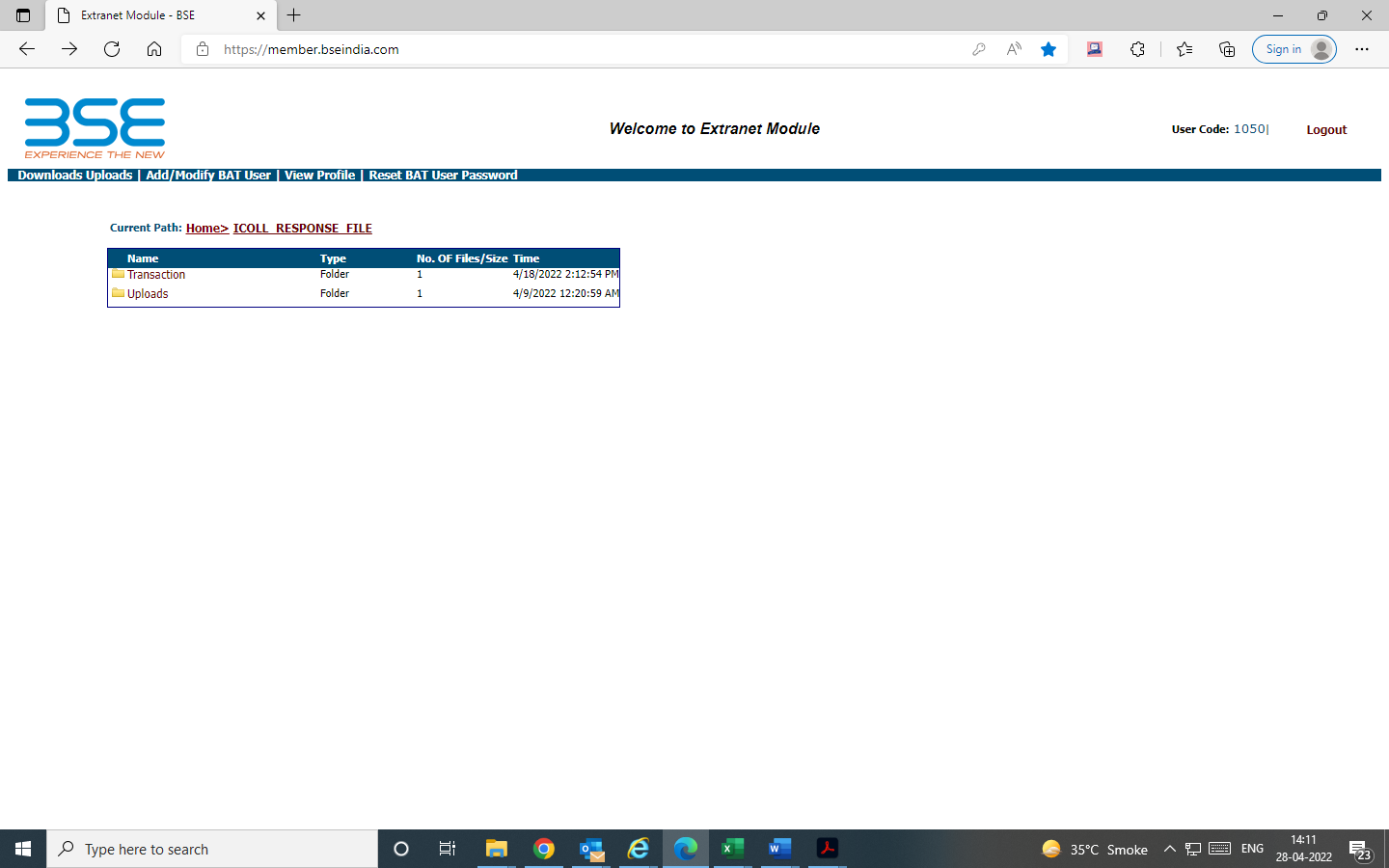
* Browse & Select file to be uploaded from client machine and click on upload as per the stipulated format. Refer the link provided in point No 1 above for file format.

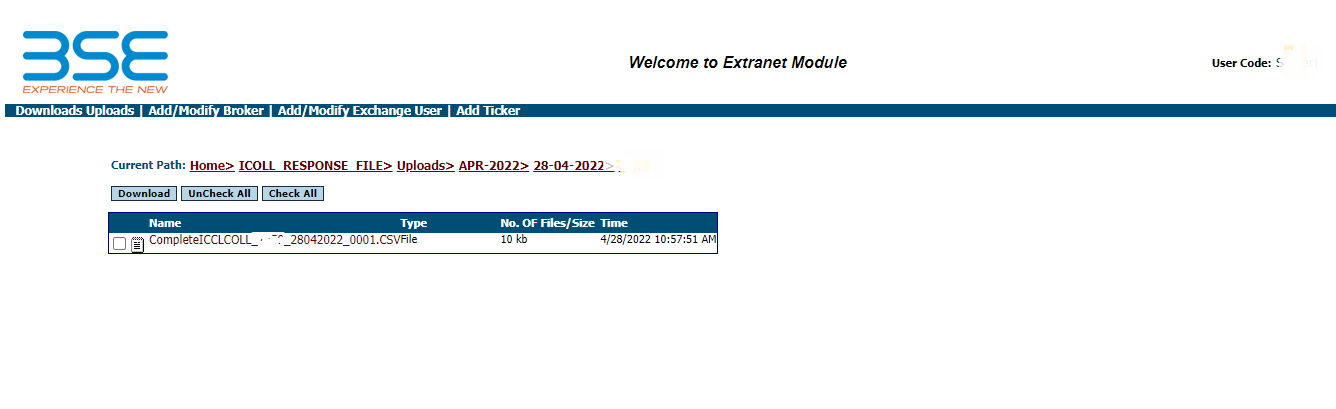
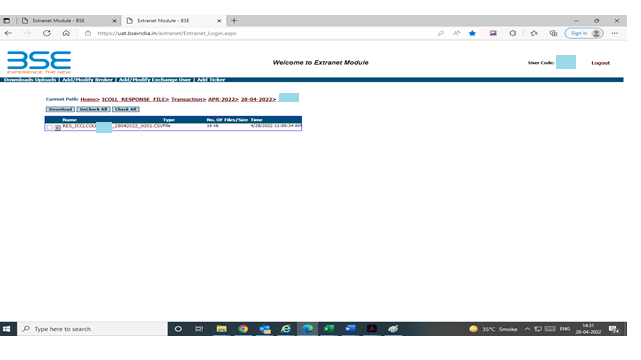


* Post upload of file, click on downloads -🡪 **select ICCL response file** to view details of file uploaded & response.



* Select Uploads---month---date to check details of successful file upload. Complete log will appear in file name
* Select Transaction---month---date to check details of response file.



* Upload file log
* ****Response File log

1. ***Collateral Allocation details through API Facility***

* For availing API facility, Members will be required to provide member code details along with IP details to [ops@icclindia.com](mailto:ops@icclindia.com)
* Post confirmation from ops team on successful IP whitelisting, API call can be initiated by Members.
* API response file will be available for member’s download on member extranet portal in downloads section-🡪 ICOLL Response file

***API URLs***

[https://classapi.bseindia.com/api/Authorization/Authorize](https://ind01.safelinks.protection.outlook.com/?url=https%3A%2F%2Fclassapi.bseindia.com%2Fapi%2FAuthorization%2FAuthorize&data=05%7C01%7Cvijayeta.gurao%40icclindia.com%7C9ce5d5c93000404039b908da2861ed29%7C673d78df71354df68573dba39180888d%7C0%7C0%7C637866698301323829%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=Pmt9%2BLxm4IZQp%2F6gHZ3EDymyLqs0%2BSDLX%2FuOBniq1AM%3D&reserved=0)

[https://classapi.bseindia.com/api/ClientBifurcation/ClientBifurcationImport](https://ind01.safelinks.protection.outlook.com/?url=https%3A%2F%2Fclassapi.bseindia.com%2Fapi%2FClientBifurcation%2FClientBifurcationImport&data=05%7C01%7Cvijayeta.gurao%40icclindia.com%7C9ce5d5c93000404039b908da2861ed29%7C673d78df71354df68573dba39180888d%7C0%7C0%7C637866698301323829%7CUnknown%7CTWFpbGZsb3d8eyJWIjoiMC4wLjAwMDAiLCJQIjoiV2luMzIiLCJBTiI6Ik1haWwiLCJXVCI6Mn0%3D%7C3000%7C%7C%7C&sdata=llNr9tkLcBQ2AaxscVG9iV%2BWtaTstiX9rdf7hcqm43A%3D&reserved=0)

Sample message structure & API version attached for reference.



Post upload of Collateral allocation file & API initiation, members can view the status of Collateral allocation details on ICCL Collateral system

1. ***ICCL Class Collateral Interface***

ICCL Collateral system (CLASS) is a web interface provided to member brokers for cash collateral enhancements, Release of collaterals, transfer facility, viewing/download of various member reports i.e., Holding statement, EPN reports, client collateral allocation reports etc.

* **LOGIN**

Users can log on to ICCL Collateral system (CLASS) using the username and password provided by User trdops team.

***Coordinates of user trdops team for requesting new password & resetting password***

Email- [user.trdops@bseindia.com](mailto:user.trdops@bseindia.com);

Contact No: 22728215/8005

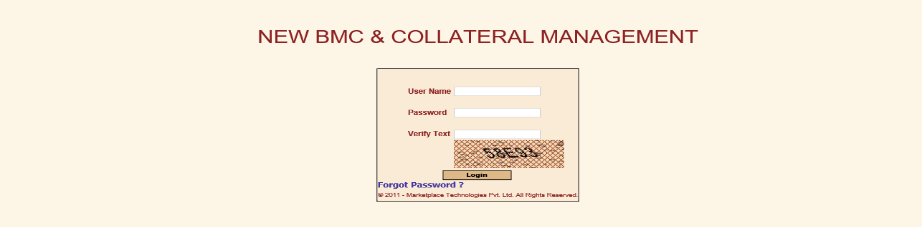
**Collateral Interface access steps**

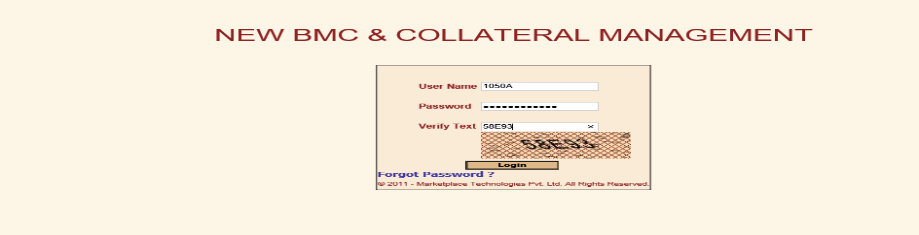
* Click Internet Explorer
* Connect to the below URL for application access in Equity cash/Equity derivatives/Currency Derivatives/Commodity Derivatives/SLBS segments.

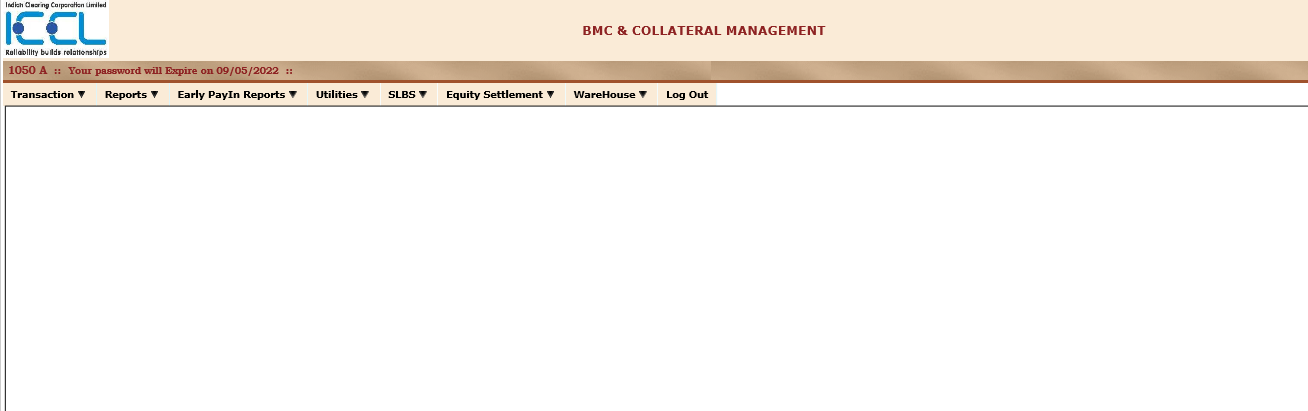
<https://classseg.bseindia.com/Application/AppLogin/Login.aspx> ***(NEW Collateral link)***

* Existing application URL <https://class.bseindia.com> will be available for cash collateral enhancements in OFS/ITP/NCB-Gsec segment only.
* Below login screen will be displayed

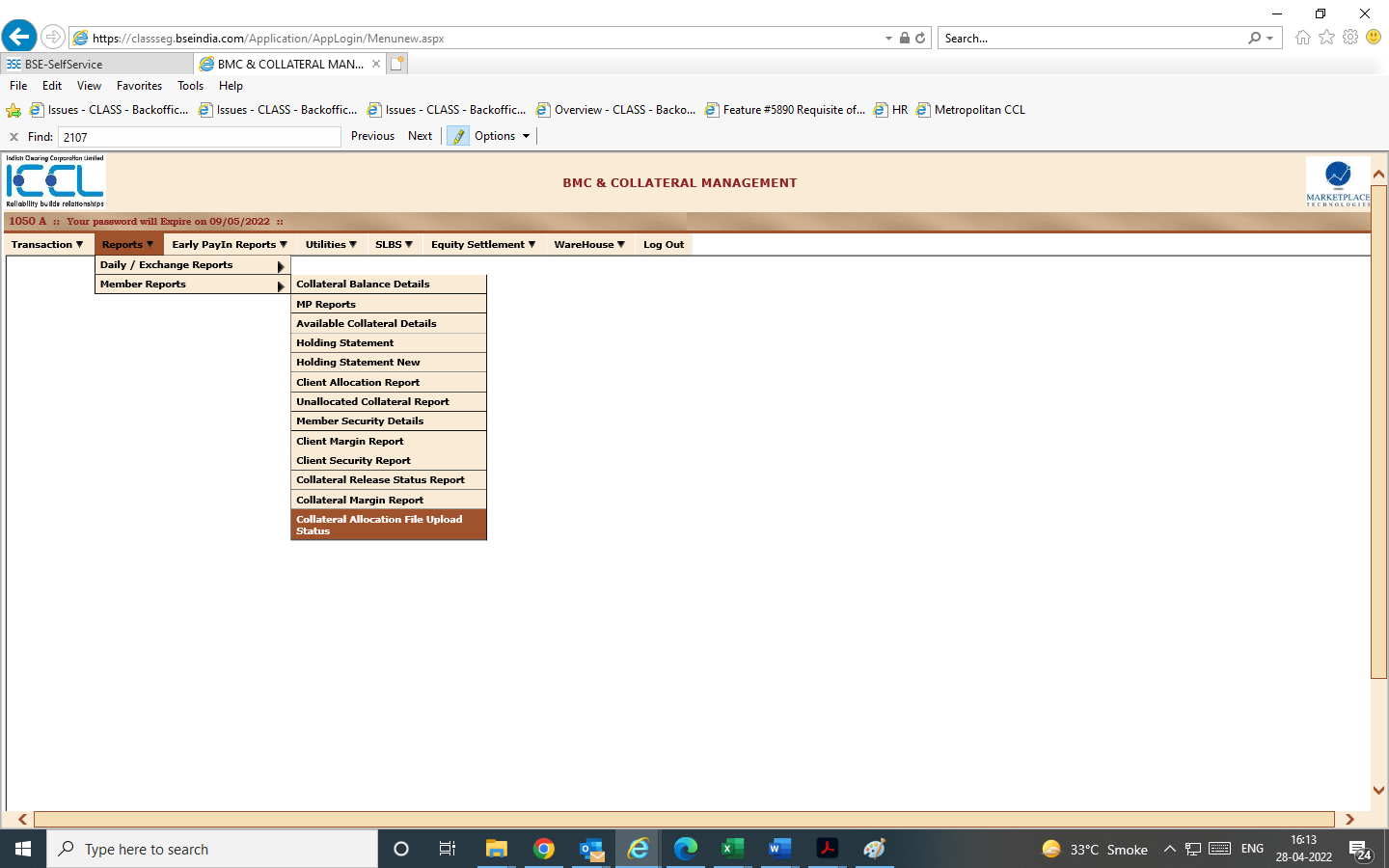
<https://classseg.bseindia.com/Application/AppLogin/Login.aspx>



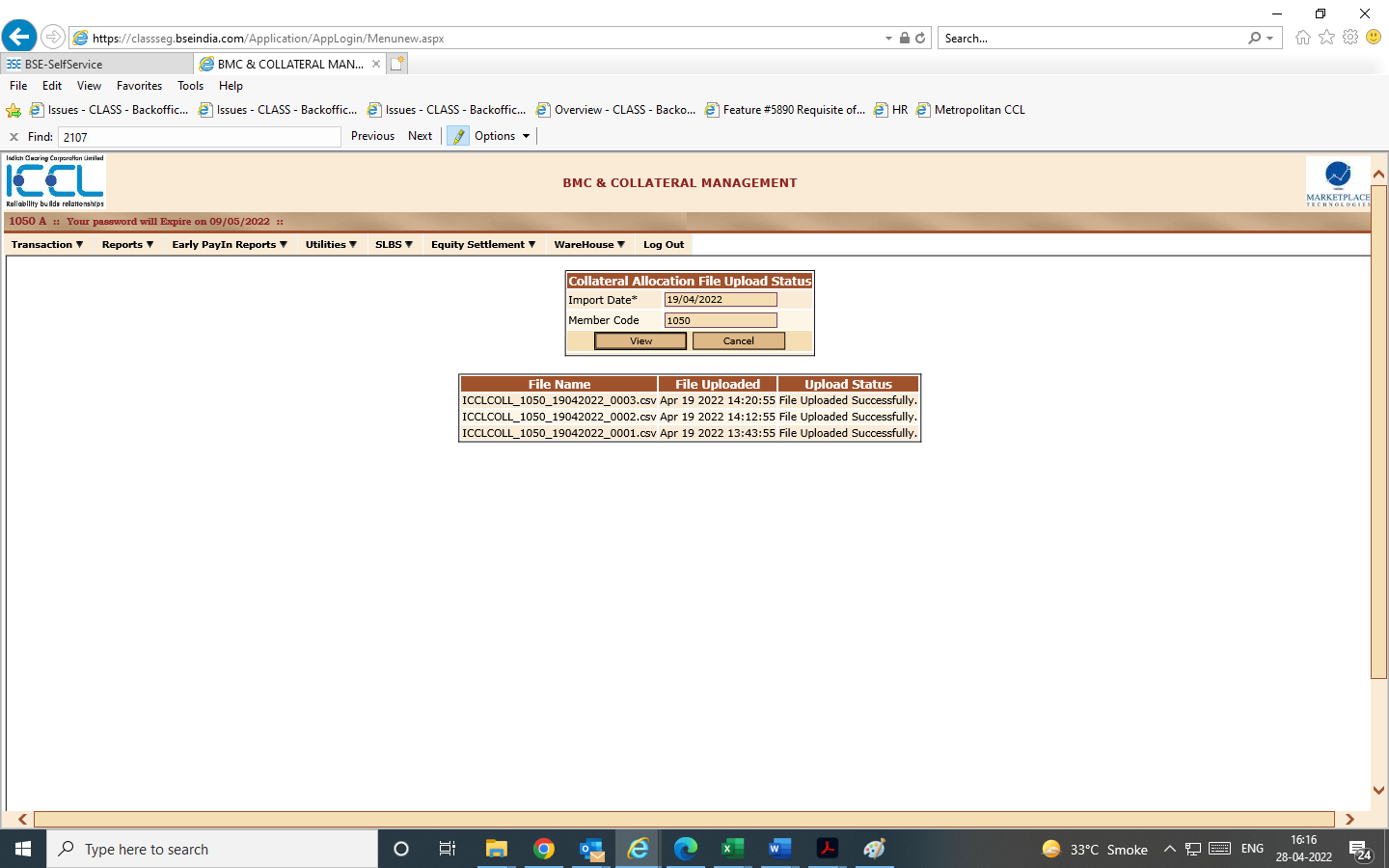
* Type the username and password in the relevant fields along with captcha verification & login.
* **Client Collateral related reference reports**
* Main Collateral interface screen as shown in below figure will be displayed



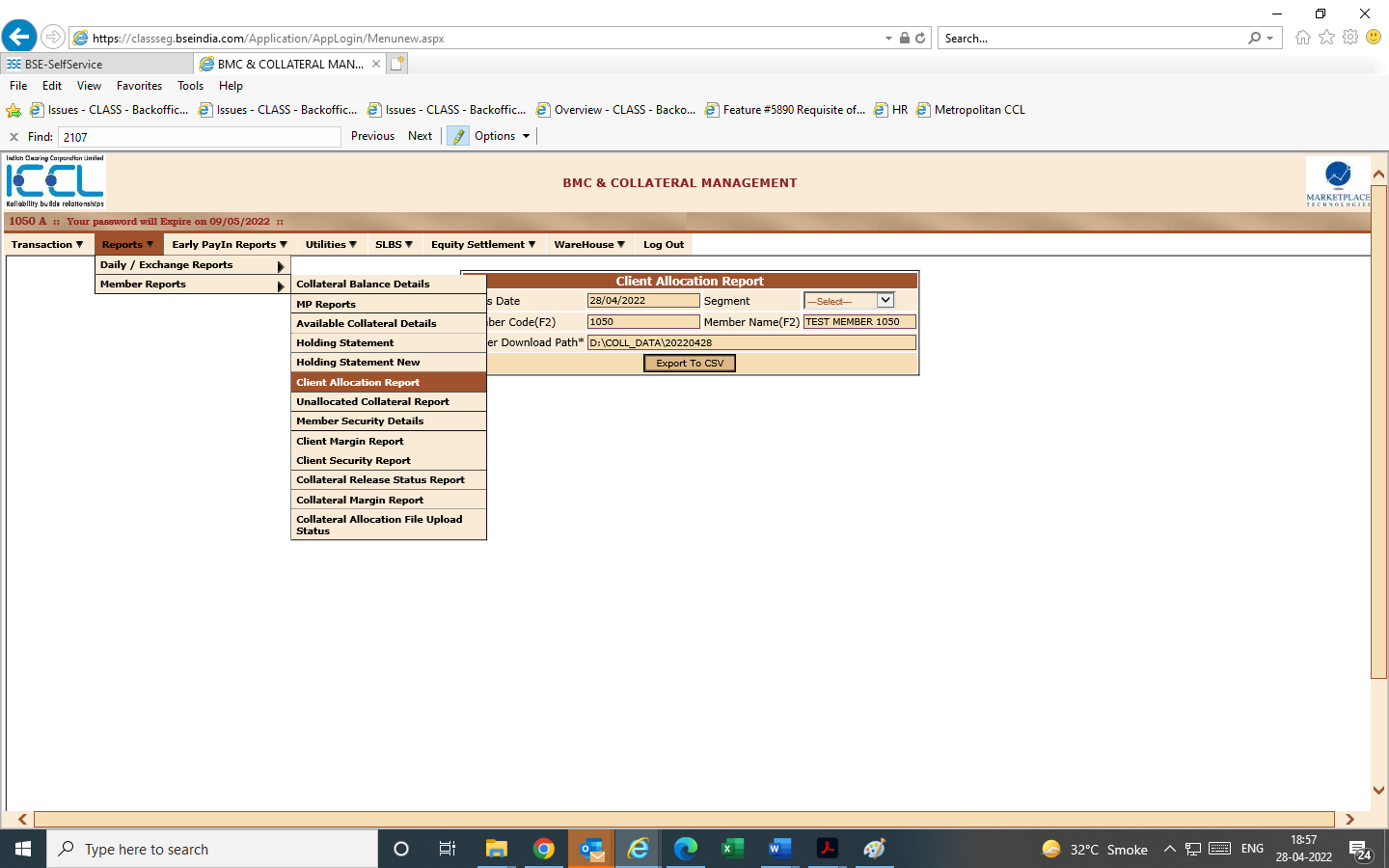
* Click on reports-🡪member reports-🡪 collateral file allocation file upload status to view status of collateral allocation details



* Select import date & click on view for details



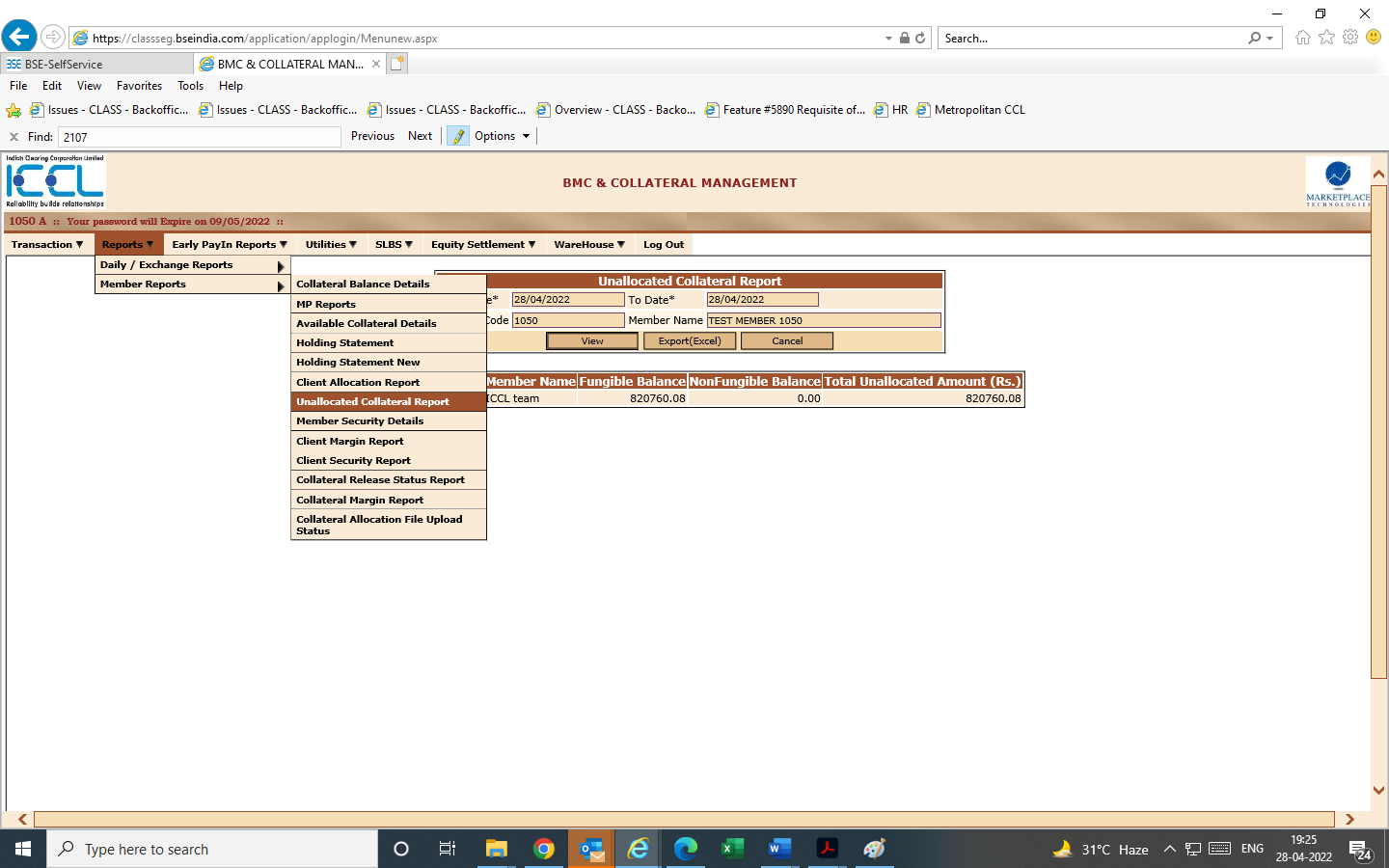
* Member can additionally download (export to csv) client collateral allocations details by selecting Client allocation report for the requisite segment & date.



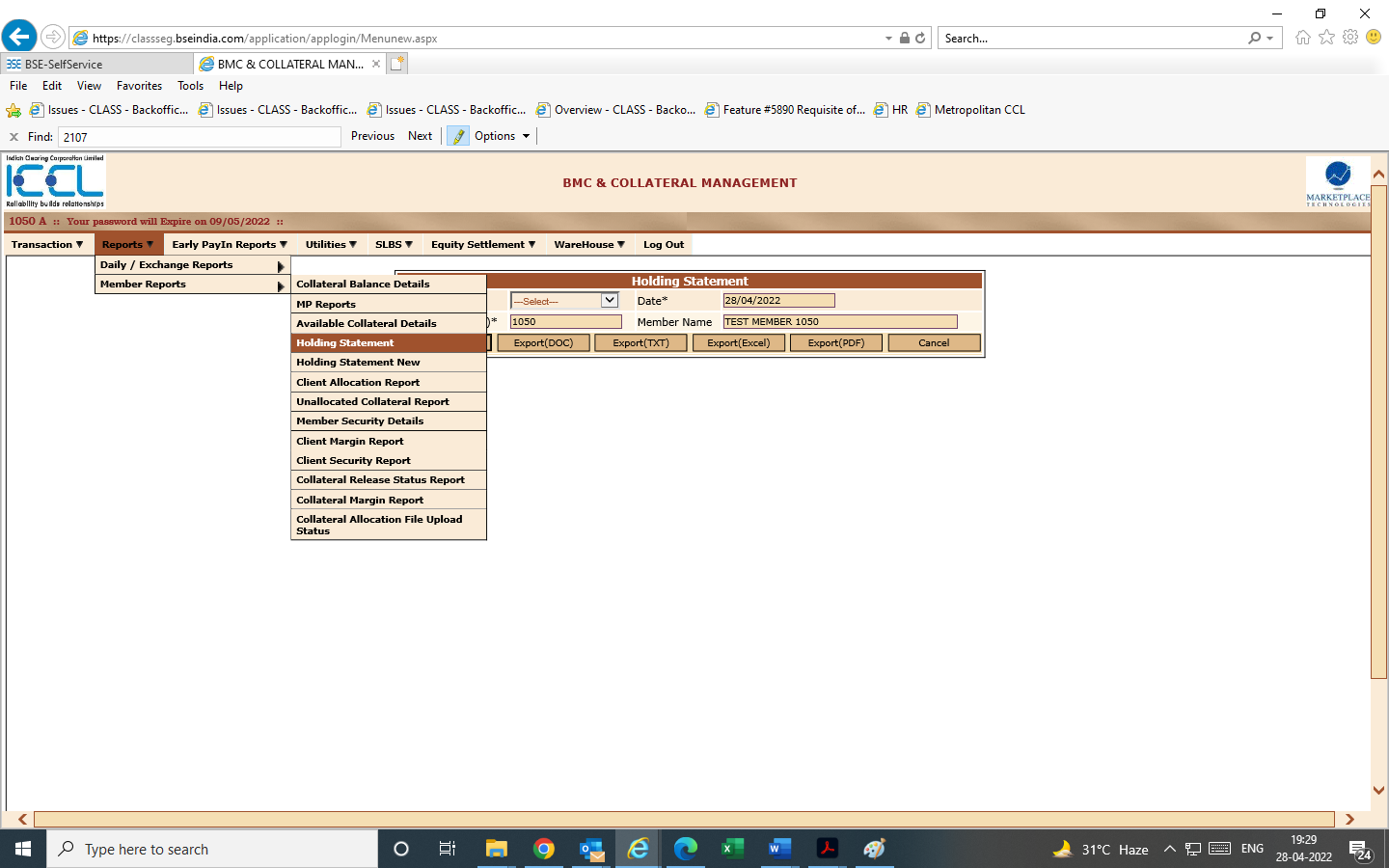
Below report will be downloaded giving details of client allocation

|  |  |
| --- | --- |
| **Sr. No** | **Field Name** |
| 1 | Date |
| 2 | Segment |
| 3 | Clearing Member Code |
| 4 | BSE Trading Member Code |
| 5 | Trading Member Code as received from Depository System |
| 6 | Client Code |
| 7 | CP Code |
| 8 | Total Pledged Quantity |
| 9 | Non-Cash Equivalent Collateral after haircut |
| 10 | Total Commodity Collateral |
| 11 | Allocated Cash/Cash Equivalent Collateral |
| 12 | Total Collateral |
| 13 | Idle Non-cash equivalent collateral |
| 14 | Idle Commodity Collateral |

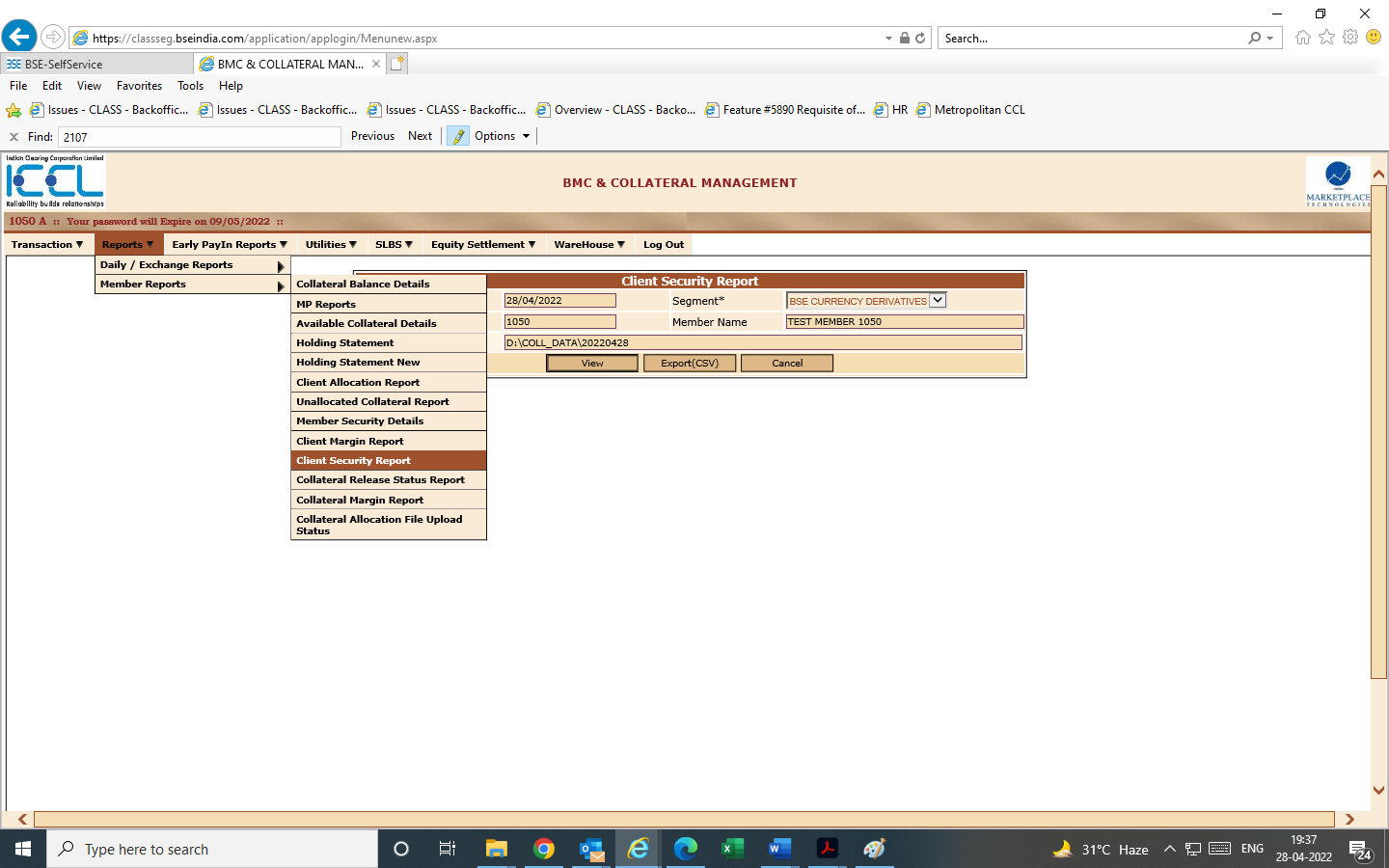
* Member can select unallocated collateral report to view unallocated collateral report details through the below menu



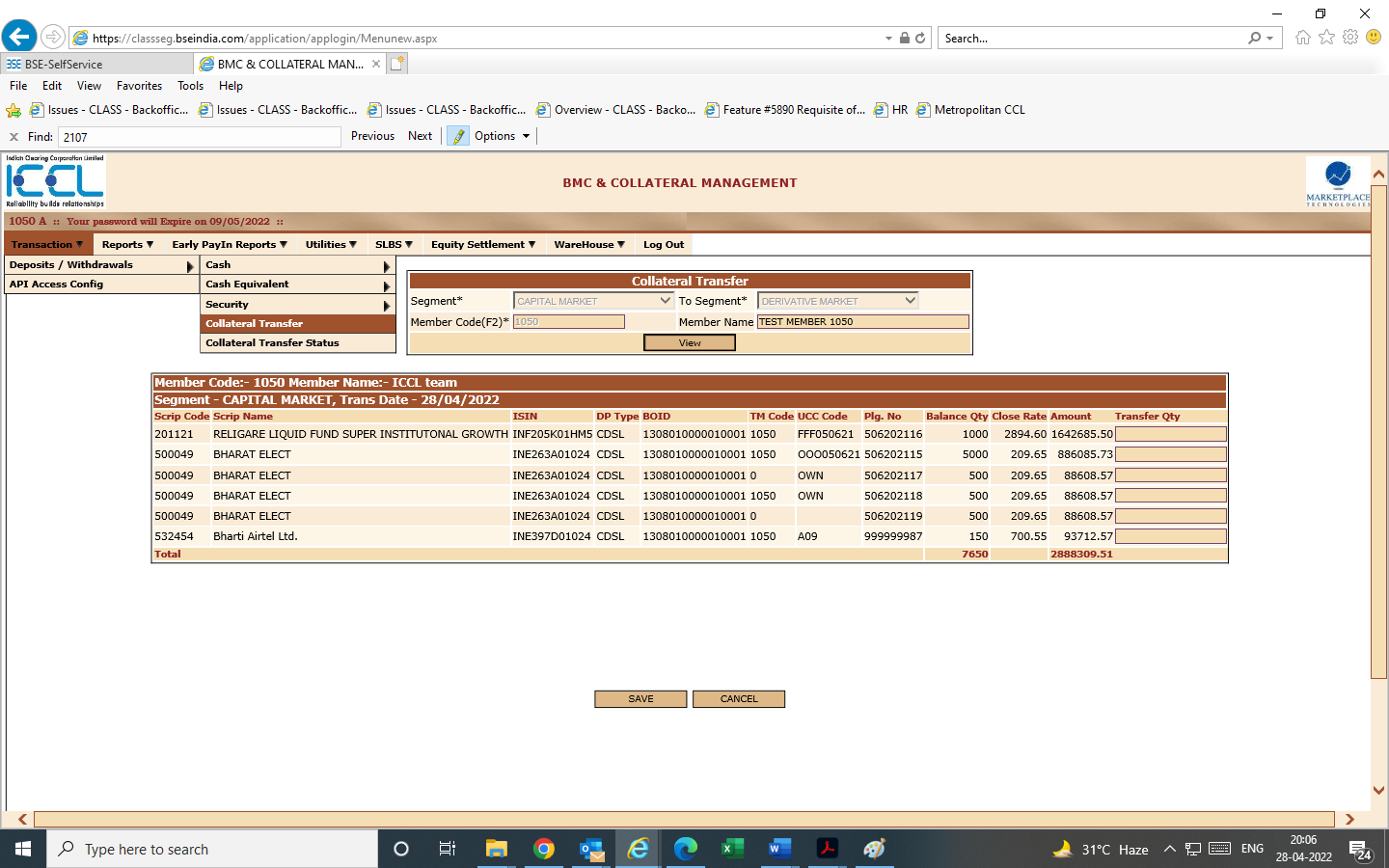
* Members are required to select Holding statement option for viewing/download segment wise holding segment details.
* Members are required to select Holding statement new report option for viewing/download common pool holding statement details.



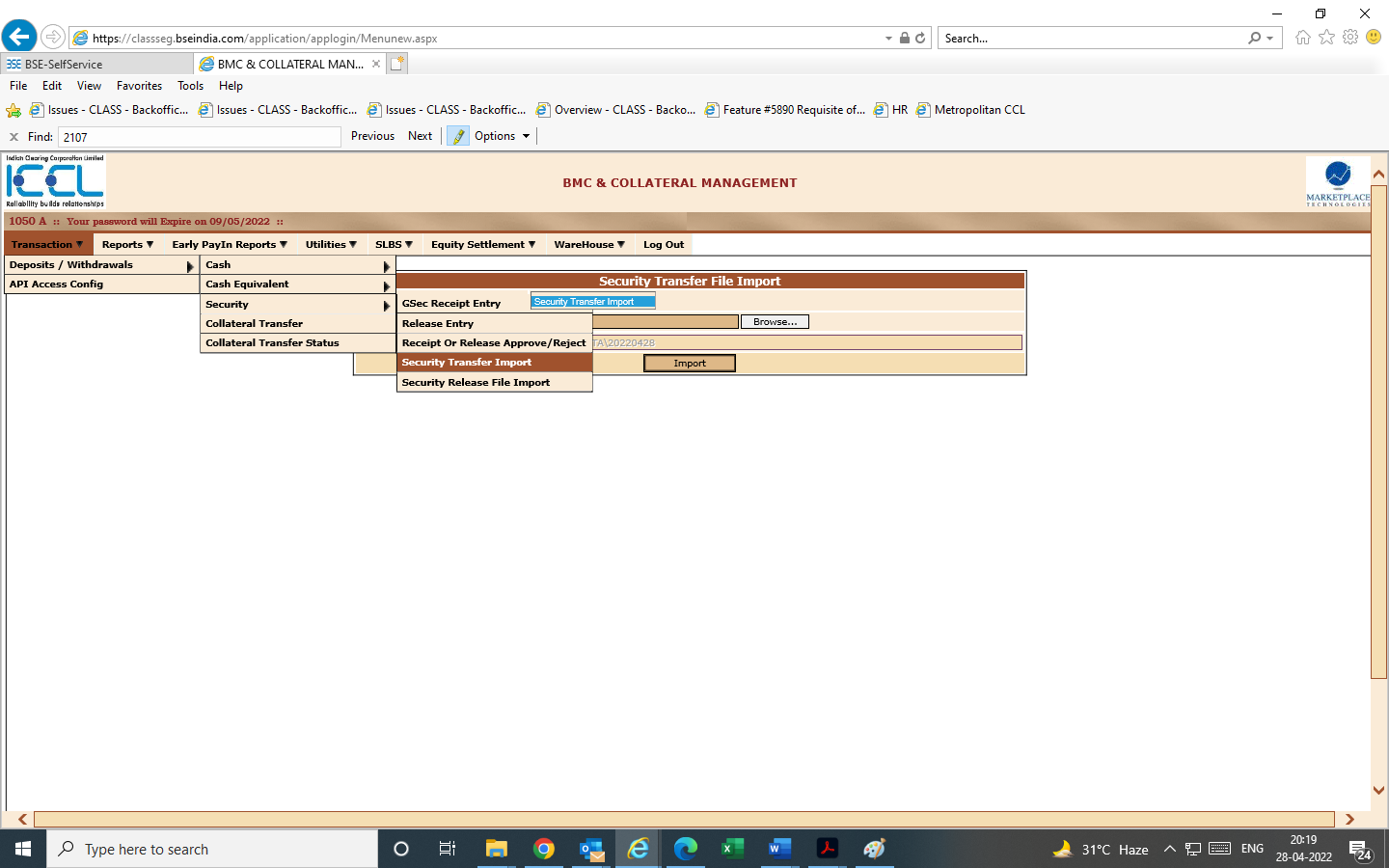
* Re-pledged security details report can be viewed/downloaded by selecting option client security report under member reports section



* **Collateral Transfer report screens**
* Members can avail security transfer through below screen-based option. Transaction-🡪 deposit/withdrawal🡪collateral transfer-🡪Input details & save.
* Cash & cash equivalent transfer details can be availed through ICOLL file upload. Action flag to be T in Sr. No 15



* File upload facility will also be available for inter segment security transfer. Refer below menu screen. Members can select & import the file as per below stipulated format.

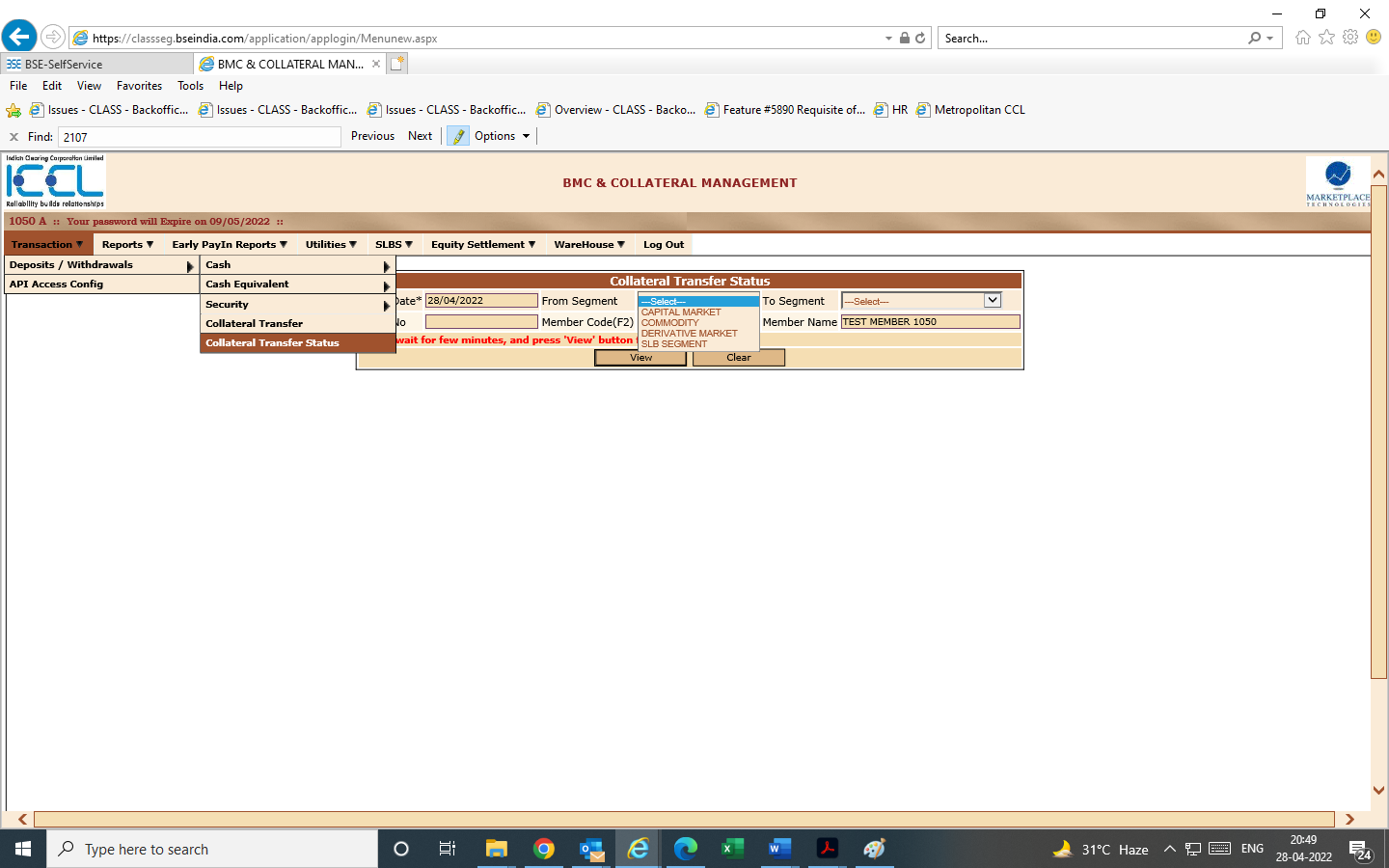


* Below collateral transfer status report will provide status of collateral transfer. Members to add the requisite details

***File format & sample file for security transfer/security release***

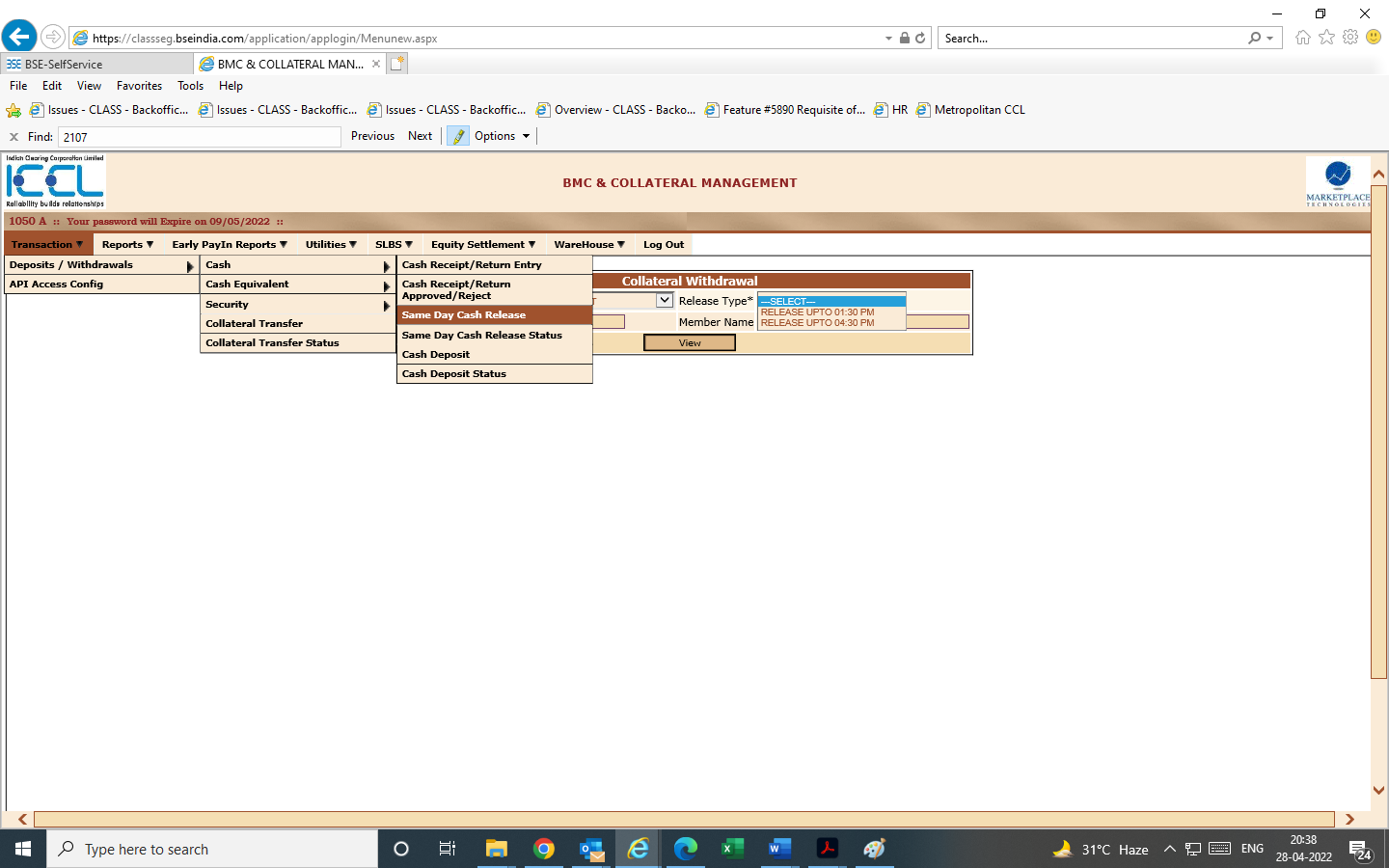


* Members can view collateral transfer status details through collateral transfer status report. Post import of security release file, a successful pop-up message will be displayed along with download of response file.

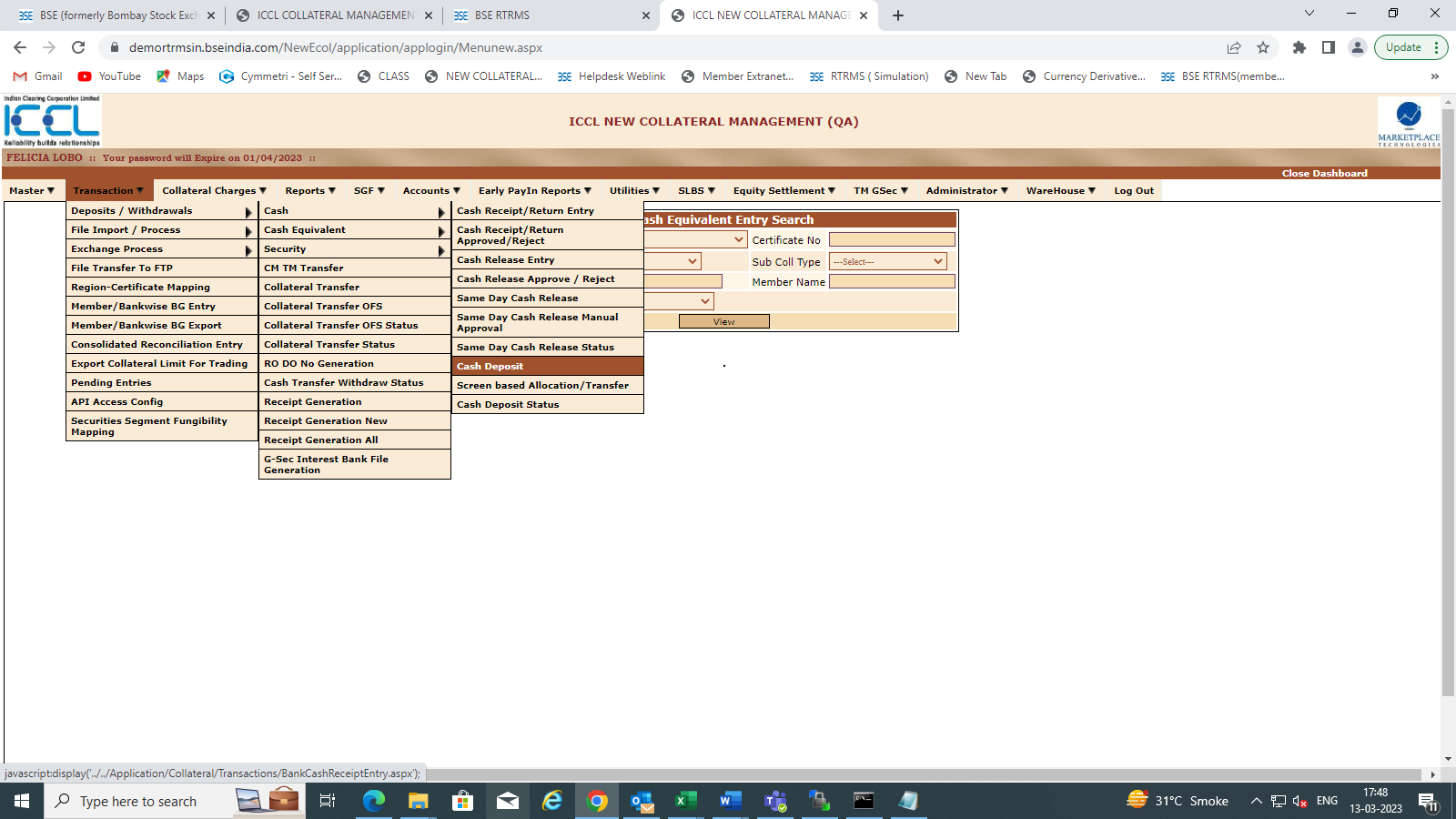


* **Collateral Release report screens**
* Respective collateral type withdrawal request can be provided in Collateral system by selecting the respective collateral type i.e.,

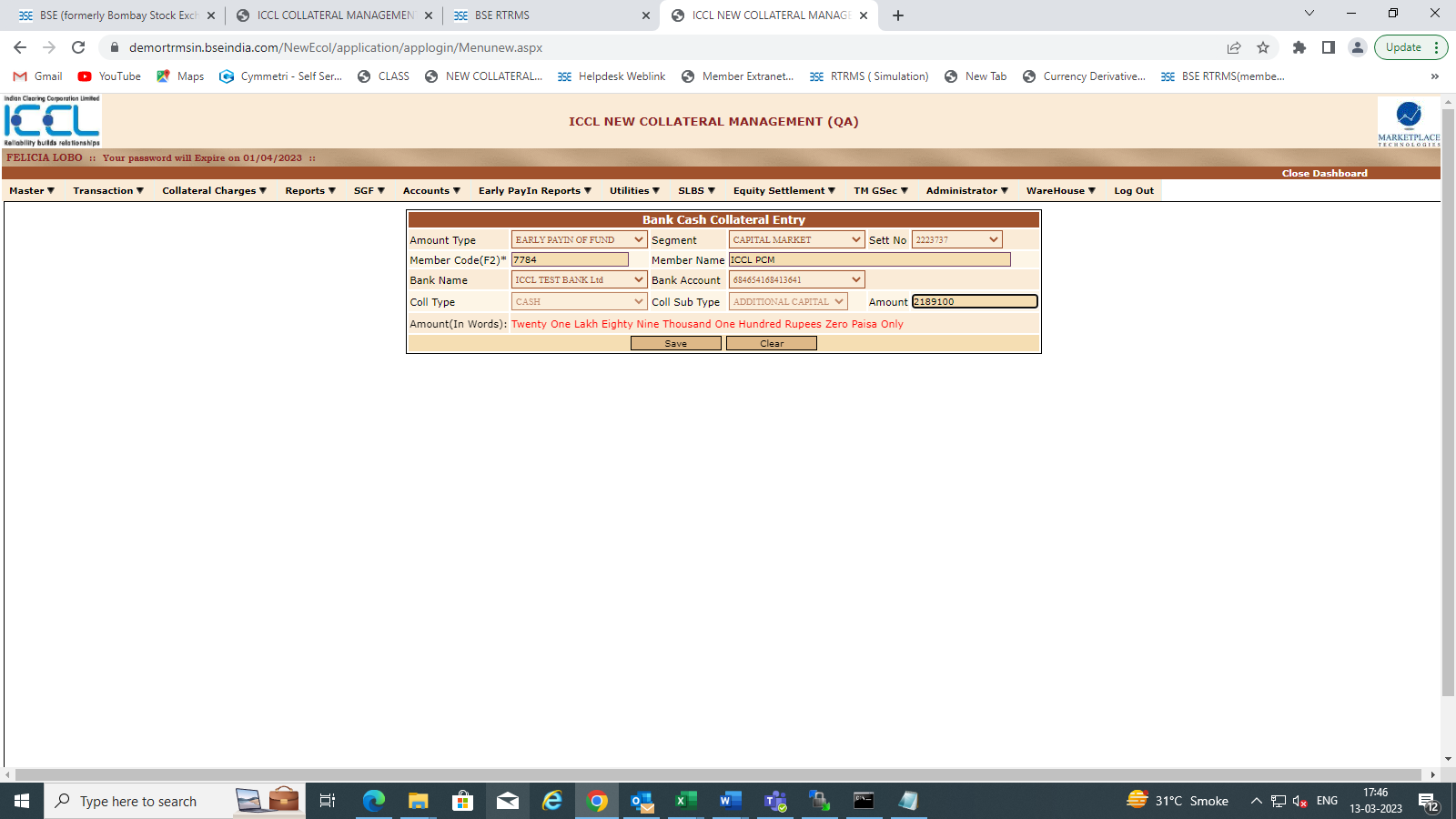
1. Cash (same day cash release- release time to be selected)
2. Cash Equivalent
3. Security (security release through screen based & file import)- File format provided above for security release & response. Post import of security release file a successful pop-up message will be displayed along with download of response file.

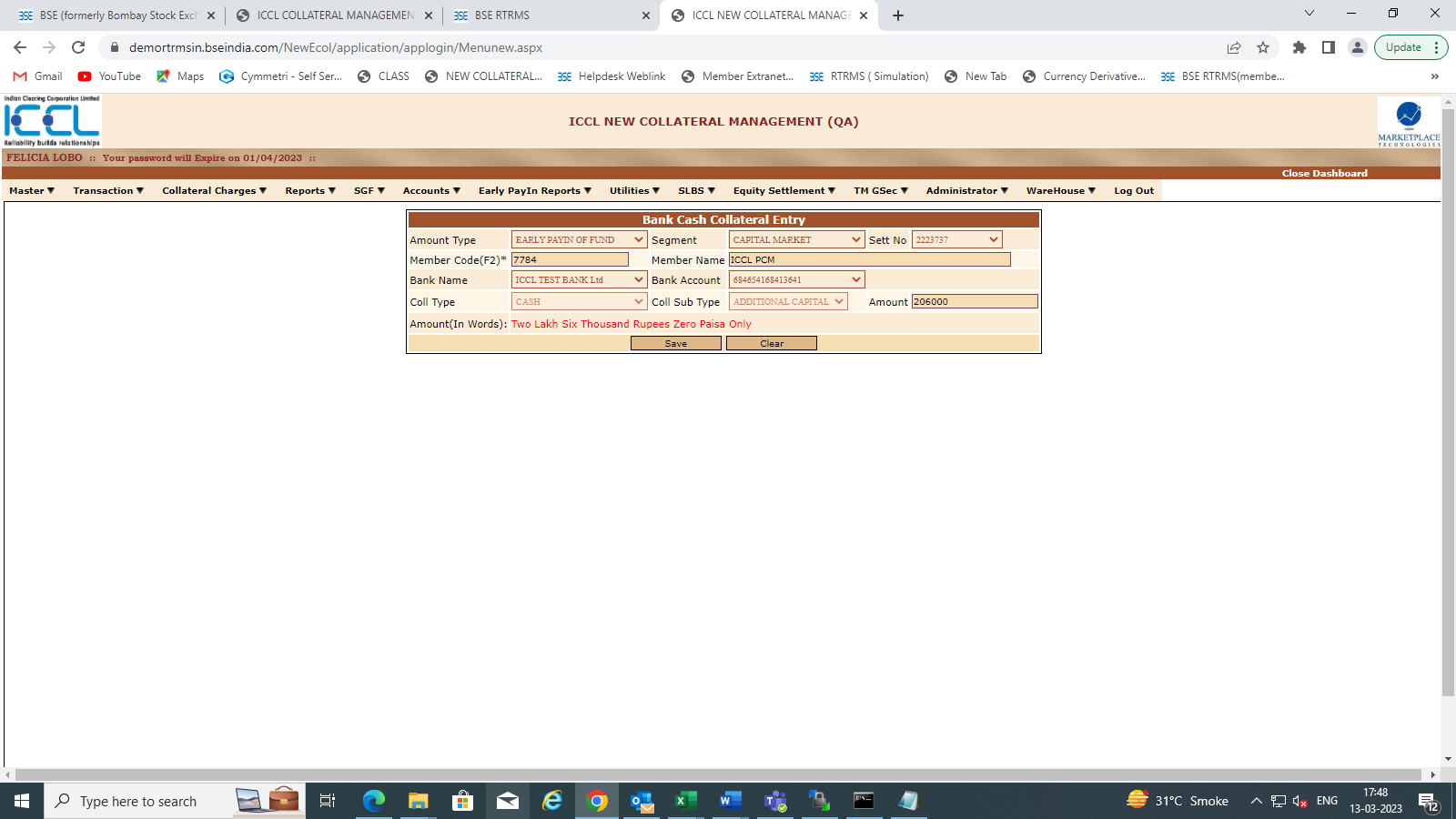


* **Early Payin of Funds**
* For EPF, Manual entry to be done in CLASS by clearing Member under below path:-



Requisite details to be updated by User before uploading EPF files under below screen.





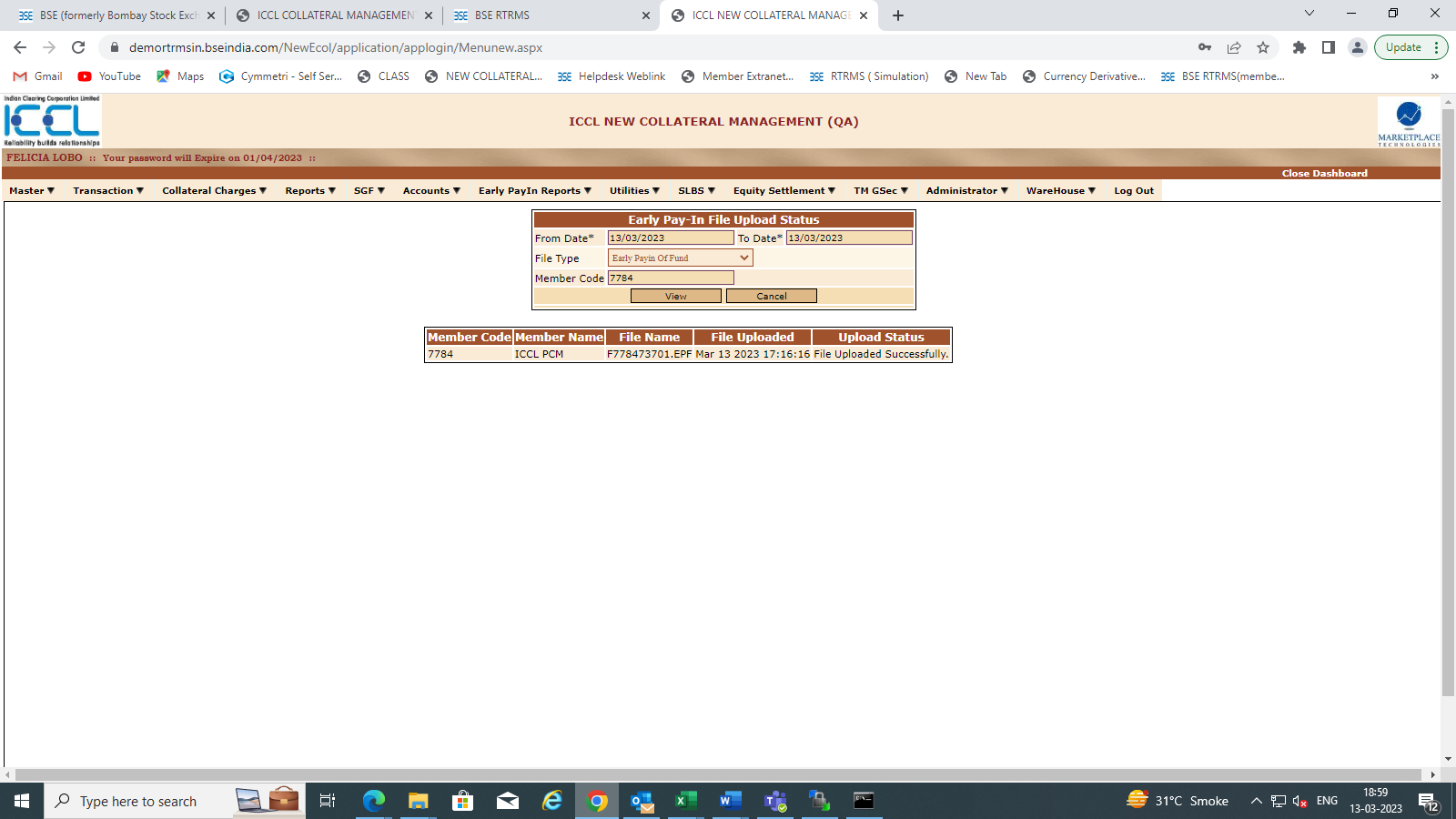
* EPF upload file format: -

After manual entry, CM needs to upload EPF file as per below format on the member extranet portal under upload section selecting EPF file type option.



* After Uploading files, user can check file upload status in Collateral Module under below path:-

Login to Class --- Early Pay-In Reports --- File Upload status --- Select File Type --- Early Payin of Fund



* User can view amount approved by bank and file uploaded in extranet for EPF under below path by providing basic required details.

A screenshot of a computer

Description automatically generated

* User can view effect in RTRMS also.

A screenshot of a computer

Description automatically generated

* Response file for EPF can be viewed on Member Extranet Module under below path Home-> EQ-> Transaction-> Current Month & Year-> Current Date.

A computer screen shot of a computer screen

Description automatically generated

* Early Payin of Funds Response file format.

|  |  |  |
| --- | --- | --- |
| File Name | **EPF Response File** |  |
| Nomenclature | ICCL\_EPF\_RES\_<Member Code>\_DDMMYYYY\_nn.csv |  |
| Path | Extranet |  |
| File type | csv |  |
|  |  |  |
| **Sr. No.** | **Field Name** | **Data type** |
| **1** | Clearing Member Code/Custodian code | VarChar (6) |
| **2** | TM Code/CP Code | VarChar (12) |
| **3** | Client Code | VarChar (12) |
| **4** | Batch Date | DD-MMM-YYYY |
| **5** | Batch number | Number |
| **6** | Number of records | Number |
| **7** | Scrip code | VarChar (12) |
| **8** | Settlement No | VarChar (10) |
| **9** | Financial year | VarChar (4) |
| **10** | EPF Amount | Number (15) (in INR) |
| **11** | Filler | Future use |
| **12** | Success/ Reject Flag | Varchar (1) |
| **13** | Uploaded Date & Time | date time |
| **14** | Error reason (as per existing validations) | Varchar (100) |

Member queries/clarifications can be escalated at [ops@icclindia.com](mailto:ops@icclindia.com)/collaterals@icclindia.com

\*\*\*end of document\*\*\*