

| Sr. No. | SEBI Registration No | Ctg. No. | Member Name | Date of Action | Defaulter / Expelled | Remarks |
|---------|----------------------|----------|---|----------------|----------------------|--|
| 1 | INB010807930 | 461 | Maresh Kothari Share & Stock Brokers Pvt.Ltd. | 25/09/2012 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 2 | INB010690734 | 3078 | Prime Broking Co. (India) Ltd. | 18/10/2013 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 3 | INB010806835 | 3123 | Parsoli Corporation LTD. | 12/03/2014 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 4 | INB011282531 | 3063 | Unicon Securities Pvt. Ltd. | 10/09/2014 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 5 | INB011105138 | 167 | Kolar Sharex Pvt. Ltd. | 20/10/2014 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 6 | INB010770239 | 6519 | Kassa Finvest Pvt. Ltd | 22/05/2015 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| | | | | 05/07/2016 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 7 | INB010771237 | 6520 | Click2Trade Capital Ltd. | 08/07/2015 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 8 | INB010811736 | 3053 | Moongipa Investments Ltd. | 12/10/2015 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 9 | INB011465623 | 6542 | Orion Capital And Debt Market | 24/11/2015 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 10 | INB011458438 | 6501 | Dreams Broking Pvt. Ltd | 31/12/2015 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 11 | INB011443836 | 6496 | Royal International Shares Pvt. Ltd. | 13/04/2017 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 12 | INB010994933 | 421 | Manoj Javeri Stock Broking Pvt. Ltd. | 09/05/2017 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 13 | INF011490713 | 5156 | Mousumi Deb Roy (Goodluck Securities) | 09/05/2017 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |

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| 14 | INB011183432 | 6458 | Amrapali Aadya Trading & Investments Pvt. Ltd. | 13/10/2017 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 15 | INB011168631 | 3088 | Wealth Mantra Ltd. | 19/02/2018 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| | | | | 05/11/2019 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 16 | INB010876937 | 3181 | CPR Capital Services Ltd. | 06/03/2018 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| | | | | 05/11/2019 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 17 | INB011298636 | 6274 | Ficus Securities Pvt Ltd. | 06/03/2018 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 18 | INB011467330 | 6535 | F6 Finserve Pvt. Ltd. | 03/04/2018 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 19 | INZ230005035 | 6618 | Moneyflo Securities Pvt. Ltd. | 27/08/2018 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 20 | INZ000167037 | 3027 | Guinness Securities Limited | 08/05/2019 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 21 | INZ000184236 | 6482 | Raghukul Shares Indian Pvt Ltd. | 05/11/2019 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 22 | INB230769237 | 6698 | Allied Financial Services Pvt Ltd. | 05/11/2019 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 23 | INZ000186238 | 3147 | Fairwealth Securities Ltd | 16/01/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 24 | INZ000184733 | 3118 | BMA Wealth Creators Limited | 17/02/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 25 | INZ000010934 | 3272 | Vrise Securities Pvt. Ltd. | 17/02/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |

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| 26 | INZ000104638 | 6321 | KSBL Securities Limited | 03/03/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 27 | INZ000223137 | 3197 | Wellindia Securities Limited | 01/05/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 28 | INZ000214334 | 6685 | Quantum Global Securities Limited | 01/05/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 29 | INZ000191936 | 3208 | Vineet Securities Pvt. Ltd. | 04/05/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 30 | INZ000000430 | 6577 | Grovalue Securities Pvt. Ltd. | 04/05/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 31 | INB011056737 | 367 | Kaynet Capital Ltd. | 31/07/2020 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 32 | INZ000211434 | 3106 | Modex International Securities Ltd. | 18/09/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 33 | INZ000209430 | 6583 | Anee Securities Pvt. Ltd. | 18/09/2020 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 34 | INZ000172733 | 917 | Karvy Stock Broking Ltd. | 24/11/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 35 | INZ000200231 | 921 | Anugrah Stock & Broking Pvt. Ltd. | 27/11/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 36 | INZ000190335 | 6404 | Sumpoorna Portfolio Ltd. | 16/12/2020 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 37 | INZ000179538 | 444 | Action Financial Services (India) Ltd. | 22/01/2021 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 38 | INZ000266237 | 6564 | Bezel Stock Brokers Private Limited | 25/03/2021 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 39 | INZ000231731 | 3018 | Arcadia Share & Stock Brokers Private Limited | 04/07/2021 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |

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| 40 | INZ000206835 | 3121 | Destiny Securities Ltd. | 14/07/2021 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 41 | INZ000268735 | 6423 | Indovision Securities Limited | 12/10/2021 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 42 | INB010907930 | 903 | Omkam Capital Markets Pvt.Ltd. | 28/01/2022 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 43 | INZ000251131 | 6607 | C.M.Goenka Stock Brokers Pvt. Ltd. | 15/02/2022 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 44 | INZ000163232 | 6506 | Sunness Capital India Pvt. Ltd. | 24/04/2022 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |
| 45 | INZ000247038 | 6479 | Stampede Capital Ltd. | 23/08/2022 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 46 | INZ000303836 | 6780 | Shares Bazaar Private Limited | 30/12/2022 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 47 | INZ000207437 | 6725 | G.S.V. Securities Pvt Ltd | 15/03/2023 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 48 | INZ000101238 | 6628 | LFS Broking Pvt. Ltd. | 08/08/2023 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 49 | INZ000293836 | 6793 | Tradewings Solutions Limited | 28/08/2023 | Expelled | Expelled by the Clearing Corporation in terms of regulatory directives since the same is expelled by Exchange. |
| 50 | INZ000161234 | 3003 | Balance Equity Broking (India) Pvt. Ltd. | 31/10/2023 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 51 | INZ000292335 | 3293 | Maxgrowth Capital Private Limited | 23/10/2024 | Defaulter | Declared as a defaulter by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter by Exchange. |
| 52 | INZ000002139 | 3259 | Artha Vrddhi Securities Limited | 26/11/2024 | Defaulter & Expelled | Declared as a defaulter and expelled by the Clearing Corporation in terms of regulatory directives since the same is declared as a defaulter and expelled by Exchange. |