

EXTRACT OF AGENDA AND MINUTES PERTAINING TO REGULATORY, COMPLIANCE AND RISK MANAGEMENT OF 113TH BOARD MEETING HELD ON WEDNESDAY, JANUARY 24, 2024 AT 12:40 P.M. AT THE BOARD ROOM, 25TH FLOOR, P. J. TOWERS, DALAL STREET, FORT MUMBAI - 400 001

EXTRACT OF AGENDA

To take note of the disclosures made by the Clearing Corporation in accordance with regulation 33 of SECC Regulations, 2018

Agenda Category: Noting

Presented By:	Authorized By:	Approved By:
Saumya Bajpai Company Secretary	Anand Shaha Chief Regulatory & Compliance Officer	Vaishali Babu MD & CEO

As per the provisions of Regulation 33 of the SEBI (SECC) Regulations, 2018 the disclosure requirements and corporate governance norms specified for a listed company shall apply to a clearing corporation.

The Corporate Governance norms for listed companies was modified with effect from January 1, 2016 pursuant to the replacement of Listing Agreement with SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Accordingly, the Company updates the following documents on its website:

- Shareholding pattern
- Quarterly and Annual financial statements
- Intimation of Board Meeting
- Outcome of Board Meeting
- Announcement of Annual General Meeting
- Outcome of Annual General Meeting
- Policy on Material Subsidiaries
- Familiarization program for Independent Directors
- Whistle Blower Policy
- Policy on Related Party Transactions
- Annual Report
- Code of Conduct for Directors
- Extract of agenda and minutes pertaining to Regulatory, Compliance and Risk Management

Further, the Company submits a quarterly Compliance Report on Corporate Governance to SEBI.

The Board is requested to take note of the same.

EXTRACT OF MINUTES

NOTING OF THE DISCLOSURES MADE BY THE CLEARING CORPORATION IN ACCORDANCE WITH REGULATION 33 OF SECC REGULATIONS, 2018

The Board was informed that pursuant to regulation 33 of the SECC Regulations, 2018, the disclosure requirements and corporate governance norms specified for a listed company shall apply to a Clearing corporation.

The Board was further informed that Corporate Governance norms for listed companies were modified with effect from January 1, 2016 pursuant to the replacement of Listing Agreement with SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Accordingly, the Company updates the following documents on its website:

- Shareholding pattern
- Quarterly and Annual financial statements
- Intimation of Board Meeting
- Outcome of Board Meeting
- Announcement of Annual General Meeting
- Outcome of Annual General Meeting
- Policy on Material Subsidiaries
- Familiarization program for Independent Directors
- Whistle Blower Policy
- Policy on Related Party Transactions
- Annual Report
- Code of Conduct for Directors
- Extract of agenda and minutes pertaining to Regulatory, Compliance and Risk Management

Further, the Company submits a quarterly Compliance Report on Corporate Governance to SEBI.

The Board took note of the same.

EXTRACT OF AGENDA

To consider and note the submission of prescribed disclosures under Procedure for monitoring Code of Ethics for Directors (Procedure) for the quarter ended December 31, 2023

Agenda Category: Noting

Presented By:	Authorized By:	Approved By:
Saumya Bajpai Company Secretary	Anand Shaha Chief Regulatory & Compliance Officer	Vaishshali Babu MD & CEO

The Code of Ethics for Directors is prescribed under Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018 notified on October 03, 2018. The procedure for monitoring Code of Ethics for Directors (Procedure) (for group companies) prescribes following disclosures:

1. Declaration of Beneficial Interest in Form A to be submitted by Director/s as per Clause 7 of the Code as and Clause 4 of the Procedure
2. Dealing in Securities by Directors in Form D (Part 1 & Part 2) to be submitted by Director/s as per Clause 5(a) and 5(b) of the Code

In view of the above, the summary of disclosures received from the Directors for the quarter ended June 2023 is placed for noting as follows:

SN	Name	Form/s
1.	Dr. Hemant Kumar Manuj	Form A
		Form D (Part 1 & Part 2)
2.	Dr. Medha Tapiawala	Form A
		Form D (Part 1 & Part 2)
3.	Mr. Vikas Gadre	Form A
		Form D (Part 1 & Part 2)
4.	Ms. Hemalatha Sivasubramanian	Form A
		Form D (Part 1 & Part 2)
5.	Mr. Arun Mehta	Form A
		Form D (Part 1 & Part 2)
6.	Ms. Kamala Kantharaj	Form A
		Form D (Part 1 & Part 2)
7.	Mr. Sameer Patil	Form A
		Form D (Part 1 & Part 2)
8.	Ms. Devika Shah	Form A
		Form D (Part 1 & Part 2)

EXTRACT OF MINUTES

NOTING OF SUBMISSION OF PRESCRIBED DISCLOSURES UNDER PROCEDURE FOR MONITORING CODE OF ETHICS FOR DIRECTORS (PROCEDURE) FOR THE QUARTER ENDED DECEMBER 31, 2023

The Board noted that the Code of Ethics for Directors was prescribed under Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018 notified on October 03, 2018. The procedure for monitoring Code of Ethics for Directors (Procedure) (for group companies) prescribes following disclosures:

1. Declaration of Beneficial Interest in Form A to be submitted by Director/s as per Clause 7 of the Code as and Clause 4 of the Procedure
2. Dealing in Securities by Directors in Form D (Part 1 & Part 2) to be submitted by Director/s as per Clause 5(a) and 5(b) of the Code

In view of the above, the summary of disclosures received from the Directors for the quarter ended December 31, 2023 was noted by the Board as follows:

SN	Name	Form/s
1.	Dr. Hemant Kumar Manuj	Form A
		Form D (Part 1 & Part 2)
2.	Dr. Medha Tapiawala	Form A
		Form D (Part 1 & Part 2)
3.	Mr. Vikas Gadre	Form A
		Form D (Part 1 & Part 2)
4.	Ms. Hemalatha Sivasubramanian	Form A
		Form D (Part 1 & Part 2)
5.	Mr. Arun Mehta	Form A
		Form D (Part 1 & Part 2)
6.	Ms. Kamala Kantharaj	Form A
		Form D (Part 1 & Part 2)
7.	Mr. Sameer Patil	Form A
		Form D (Part 1 & Part 2)
8.	Ms. Devika Shah	Form A
		Form D (Part 1 & Part 2)

EXTRACT OF AGENDA

Indian Clearing Corporation Limited

Confidential

Date: January 17, 2024

Board Meeting Dated: January 24, 2024


Agenda Category: Noting

Item Details

Department : Internal Audit (IA)

Subject : To consider and to take note of Compliance Certificate and Exception Report for the period October 1, 2023 to December 31, 2023.

Approved By:



Vaishali Babu
Managing Director & CEO

Prepared by:



Ashish Dubey
Associate Manager

Authorised By:



Dev Bhul
Head Internal Audit

BACKGROUND:

The compliance audit for the period October 1, 2023 to December 31, 2023 was carried out through Legatrix system. The task-wise major compliances are activated in Legatrix and these compliances are updated by the performer of respective department on regular basis. The periodic compliances are verified by the Internal Audit team.

Proposal

1. There are 101 compliances which were due for the given period. Department wise summary is as follows:
2. There is no non-compliance observed during October 1, 2023 to December 31, 2023

SN	Department	No. of Compliances	Complied	Delayed/ Non-Compliance
1	Accounts	63	63	0
2	Corporate Secreterial	22	22	0
3	Human Resource	9	9	0
4	Post trade	7	7	0

Indian Clearing Corporation Limited

Confidential

Date: January 17, 2024

Board Meeting Dated: January 24, 2024

SN	Department	No. of Compliances	Complied	Delayed/ Non-Compliance
	Total	101	101	0

The Department-wise and Act-wise compliances is as per **Annexure A and Annexure B**.

Recommendation:

The Board is requested to note the same.

Sr.No	Department	Name Of Legislation	Total
1	Accounts	BSE- The International Financial Services Centres Authority (Market Infrastructure Institutions) Regulations, 2021	1
2		Employees Family Pension Scheme 1971	3
3		ICC-EDLIS, 1976	3
4		ICC-Profession tax Act	3
5		Indian Stamp Act, 1899 and Indian Stamp (Collection of Stamp Duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019	6
6		Internal Compliance- Gratuity Act	1
7		The Central Goods and Service Tax Act, 2017 and The Central Goods and Service Tax Rules, 2017	6
8		The Companies Act, 2013 and The Micro, Small and Medium Enterprises Development Act, 2006 and The Specified Companies (Furnishing of Information about Payment to Micro and Small Enterprise Suppliers) Order, 2019	1
9		The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	15
10		The Income Tax Act, 1961 & The Income Tax Rules, 1962	22
11		The Payment of Gratuity Act, 1972 & The Payment of Gratuity Maharashtra Rules, 1972	2
	Human Resource	ICC-Bombay Shop and Establishment Act, 1948	1
12		The Maharashtra Shops and Establishments (Regulation of Employment and Conditions of Service) Act, 2017 & The Maharashtra Shops and Establishments (Regulation of Employment and Conditions of Service) Rules, 2018	1
13		The Maharashtra State Tax on Professions , Trades, Callings and Employments Act, 1975 & The Maharashtra State Tax on Profession, Trades, Callings and Employments Rules, 1975	1
14		The Maternity Benefit Act, 1961 & The Maharashtra Maternity Benefit Rules, 1965	2
15		The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 & The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Rules, 2013	4
16	Post Trade	ICC-RBI internal compliance	3
17		ICC-SEBI internal compliance	3

Sr.No	Department	Name Of Legislation	Total
18		The Securities Contracts (Regulation) Act, 1956 and The Securities Contracts (Regulation) Rules, 1957 and The Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018	1
19	Secretarial/Regulatory	Companies Act, 2013 & Chapter XIV The Companies (Inspection, Investigation and Inquiry) Rules, 2014	1
20		Companies Act, 2013 & Companies (Accounts) Rules, 2014	1
21		Companies Act, 2013 & Companies (Appointment and Qualification of Directors) Rules, 2014	1
22		Companies Act, 2013 & Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014	1
23		Companies Act, 2013 & Companies (Management and Administration) Rules, 2014	6
24		Companies Act, 2013 & Companies (Meetings of Board and its Powers) Rules, 2014	2
25		Companies Act, 2013 & SS-1 Secretarial Standard on Meetings of the Board of Directors	2
26		Companies Act, 2013 & SS-2 Secretarial Standard on General Meetings	1
27		ICC-SEBI internal compliance	1
28		SEBI (SEBI/HO/MRD-SE/P/OW/2022/50119/1 Dated September 27, 2022 (Filing of Information by Market Infrastructure Institutions (MIIs)	1
29		Securities and Exchange Board of India (Procedural norms on Recognitions, Ownership and Governance for Stock Exchanges and Clearing Corporations), dated December 13, 2012	3
30		The Securities and Exchange Board of India Act, 1992 and the SEBI Circular no. SEBI/HO/MRD/DRMNP/CIR/P/2019/60 Net worth Requirements for Clearing Corporations in International Financial Services Centre (IFSC) Dated April 26, 2019	1
31		The Securities Contracts (Regulation) Act, 1956 and The Securities Contracts (Regulation) Rules, 1957 and The Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018	1
	Total		101

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
1	Accounts	Deduction of TDS at the time of payment to contractors and sub-contractors	Deduct an amount equal to a" (i) 1% where the payment is being made or credit is being given to an individual or a Hindu undivided family; (ii) 2% where the payment is being made or credit is being given to a person other than an individual or a Hindu undivided family,	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied (reported late)	07-Oct-23	06-Oct-23
2	Accounts	Deduction of TDS at the time of payment for salaries	Deduct income tax on the amount payable at the average rate of income tax computed on the rates in force for the financial year in which payment is made	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied (reported late)	07-Oct-23	04-Oct-23
3	Accounts	Commission or brokerage	Deduct income-tax thereon at the rate of 5% at the time of credit of the income to the account of the payee or at the time of payment of the income in cash or by the issue of a cheque or draft or by any other mode, whichever is earlier	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied (reported late)	07-Oct-23	06-Oct-23
4	Accounts	Deduction of Tax from income by way of rent	Deduct income-tax, at the time of credit of the income to the account of the payee or at the time of payment thereof in cash or by the issue of a cheque or draft or by any other mode, whichever is earlier, at the rate of: (a) 2% for the use of any machinery or plant or equipment;	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied (reported late)	07-Oct-23	06-Oct-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
5	Accounts	Fees for professional or technical services	Deduct an amount equal to 2% of such sum in case of fees for technical services (not being a professional services, or royalty where such royalty is in the nature of consideration for sale, distribution or exhibition of cinematographic films and 10% of such sum in other cases	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied (reported late)	07-Oct-23	06-Oct-23
6	Accounts	Time of payment of TDS from payment of interest other than interest on securities every month	Pay in Form INS 281 on or before seven days from the end of the month in which the deduction is made; or income-tax is due under sub-section (1A) of section 192	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied (reported late)	07-Oct-23	06-Oct-23
7	Accounts	Return on stamp duty	Submit a return of stamp-duty collected on various transactions to the State Government including details of defaulters in the Form appended to these rules on a monthly basis to be furnished manually or electronically within seven days of the succeeding month	Indian Stamp Act, 1899 and Indian Stamp (Collection of Stamp Duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019	Complied (reported late)	07-Oct-23	05-Oct-23
8	Secretarial/Regulatory	Submission of monthly development report / quarterly development report	Submit the required information in the enclosed format within 10 days from the close of each month from the month of January 2023 onwards.	Securities and Exchange Board of India (Procedural norms on Recognitions, Ownership and Governance for Stock Exchanges and Clearing Corporations), dated December 13, 2012	Complied	10-Oct-23	09-Oct-23
9	Accounts	Furnishing Monthly return of outward supplies in Form GSTR 1	Furnish details of outward supplies of goods or services or both in FORM GSTR-1 electronically subject to such conditions and restrictions and] in such form and manner as per the Government Notifications issued from time to time	The Central Goods and Service Tax Act, 2017 and The Central Goods and Service Tax Rules, 2017	Complied	11-Oct-23	09-Oct-23

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Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
10	Accounts	Payment of contribution to Employees' Provident Fund Organization	Deposit the various contributions along with the administrative charges within 15 days of the close of every month either by net banking or at any designated branch of State Bank of India for each employee employed directly or through contractor	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	14-Oct-23	11-Oct-23
11	Accounts	Deduction of employee's contribution paid towards Employees Provident Fund from salary/wages	Deduct the employee's contribution paid towards Employees Provident Fund.	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	14-Oct-23	11-Oct-23
12	Accounts	Obtainment of Audit report	Obtain an audit report in Form 3CA along with the statements of particulars in Form 3 CD every year	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	14-Oct-23	27-Sep-23
13	Secretarial/ Regulatory	Disclosure of shareholding	Disclose to the Board, in the format specified by the Board, their shareholding pattern on a quarterly basis within 15 days from the end of each quarter, including therein the following: (a) the names of the ten largest shareholders along with the number and percentage of shares held by them; (b) the names of the shareholders falling under Reg 17 and 18 who had acquired shares in that quarter	The Securities Contracts (Regulation) Act, 1956 and The Securities Contracts (Regulation) Rules, 1957 and The Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018	Complied (reported late)	14-Oct-23	13-Oct-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
14	Secretarial/ Regulatory	Certificate by Managing Director	Review the net worth requirement and ensure that the net worth does not fall below the prescribed threshold. A certificate to this effect, as signed by the Managing Director of the Clearing Corporation, shall be submitted to SEBI within 15 days from the end of every quarter.	The Securities and Exchange Board of India Act, 1992 and the SEBI Circular no. SEBI/HO/MRD/DRMNP/CIR/P/2019/60 Net worth Requirements for Clearing Corporations in International Financial Services Centre (IFSC) Dated April 26, 2019	Complied (reported late)	14-Oct-23	13-Oct-23
15	Accounts	Filing of Form GSTR 3B	Pay tax and file a return in Form GSTR 3B as per the Government Notifications issued from time to time	The Central Goods and Service Tax Act, 2017 and The Central Goods and Service Tax Rules, 2017	Complied	20-Oct-23	20-Oct-23
16	Accounts	Online Filing of monthly returns	File online monthly returns as prescribed by the Employee's Provident Fund Organization within the specified time.	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Oct-23	19-Oct-23
17	Accounts	Monthly return	Submit online monthly returns in format IW-1	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Oct-23	19-Oct-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
18	Accounts	Consolidated Return	Send to the Commissioner, within fifteen days of the commencement of the Scheme, a consolidated return in such form as the Commissioner may specify of the employees required or entitled to become members of the Fund showing the [basic wage, retaining allowance (if any) and dearness allowance including the cash value of any food concession] paid to each of such employees	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Oct-23	19-Oct-23
19	Accounts	Pension Fund Return	Maintain accounts in relation to the amounts contributed to the Family Pension Fund and send a return to the Commissioner within fifteen days of the close of each month	Employees Family Pension Scheme 1971	Complied	25-Oct-23	19-Oct-23
20	Accounts	Transferring the stamp duty to the state	Transfer the stamp-duty collected in the account of concerned State Government with the Reserve Bank of India or any scheduled commercial bank, as informed to the collecting agent by the Reserve Bank of India or the concerned State Government.	Indian Stamp Act, 1899 and Indian Stamp (Collection of Stamp Duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019	Complied	31-Oct-23	18-Oct-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
21	Accounts	Submission of Quarterly Statement in respect of TDS on Salary electronically	Submit electronically a quarterly statement to the Director General of Income-tax or to such person authorised by the Director General of Income-tax in Form 24Q on or before the following due dates: i) For the quarter ending on 30th June by 31st July ii) For the quarter ending on 30th Sept by 31st Oct iii) For the quarter ending on 31st Dec by 31st Jan iv) For the quarter ending on 31st March by 31st May of the financial year immediately following the financial year in which deduction is made	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	31-Oct-23	23-Oct-23
22	Accounts	Filing of half yearly return	File a return as per MSME Form I by 31st October for the period from April to September and by 30th April for the period from October to March	The Companies Act, 2013 and The Micro, Small and Medium Enterprises Development Act, 2006 and The Specified Companies (Furnishing of Information about Payment to Micro and Small Enterprise Suppliers) Order, 2019	Complied (reported late)	31-Oct-23	30-Oct-23
23	Accounts	Submission of Quarterly TDS Return for payment other than salaries	Submit electronically quarterly statement of deduction of tax at source in Form 26Q on respective due dates	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	31-Oct-23	27-Oct-23
24	Accounts	Deduction of TDS at the time of payment to contractors and sub-contractors	Deduct an amount equal to a" (i) 1% where the payment is being made or credit is being given to an individual or a Hindu undivided family; (ii) 2% where the payment is being made or credit is being given to a person other than an individual or a Hindu undivided family,	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Nov-23	07-Nov-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
25	Accounts	Deduction of TDS at the time of payment for salaries	Deduct income tax on the amount payable at the average rate of income tax computed on the rates in force for the financial year in which payment is made	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Nov-23	07-Nov-23
26	Accounts	Commission or brokerage	Deduct income-tax thereon at the rate of 5% at the time of credit of the income to the account of the payee or at the time of payment of the income in cash or by the issue of a cheque or draft or by any other mode, whichever is earlier	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Nov-23	07-Nov-23
27	Accounts	Deduction of Tax from income by way of rent	Deduct income-tax, at the time of credit of the income to the account of the payee or at the time of payment thereof in cash or by the issue of a cheque or draft or by any other mode, whichever is earlier, at the rate of: (a) 2% for the use of any machinery or plant or equipment; and (b) 10% for the use of any land or building (including factory building) or land appurtenant to a building (including factory building) or furniture or fittings	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Nov-23	07-Nov-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
28	Accounts	Fees for professional or technical services	Deduct an amount equal to 2% of such sum in case of fees for technical services (not being a professional services, or royalty where such royalty is in the nature of consideration for sale, distribution or exhibition of cinematographic films and 10% of such sum in other cases, as income-tax on income comprised therein, at the time of credit of such sum to the account of the payee or at the time of payment thereof in cash or by issue of a cheque or draft or by any other mode, whichever is earlier	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Nov-23	07-Nov-23
29	Accounts	Time of payment of TDS from payment of interest other than interest on securities every month	Pay in Form INS 281 on or before seven days from the end of the month in which the deduction is made; or income-tax is due under sub-section (1A) of section 192	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Nov-23	07-Nov-23
30	Accounts	Return on stamp duty	Submit a return of stamp-duty collected on various transactions to the State Government including details of defaulters in the Form appended to these rules on a monthly basis to be furnished manually or electronically within seven days of the succeeding month	Indian Stamp Act, 1899 and Indian Stamp (Collection of Stamp Duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019	Complied	07-Nov-23	26-Oct-23
31	Secretarial/Regulatory	Submission of monthly development report / quarterly development report	Submit the required information in the enclosed format within 10 days from the close of each month from the month of January 2023 onwards.	Securities and Exchange Board of India (Procedural norms on Recognitions, Ownership and Governance for Stock Exchanges and Clearing Corporations), dated December 13, 2012	Complied	10-Nov-23	09-Nov-23

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Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
32	Accounts	Furnishing Monthly return of outward supplies in Form GSTR 1	Furnish details of outward supplies of goods or services or both in FORM GSTR-1 electronically subject to such conditions and restrictions and] in such form and manner as per the Government Notifications issued from time to time	The Central Goods and Service Tax Act, 2017 and The Central Goods and Service Tax Rules, 2017	Complied (reported late)	11-Nov-23	10-Nov-23
33	Accounts	Payment of contribution to Employees' Provident Fund Organization	Deposit the various contributions along with the administrative charges within 15 days of the close of every month either by net banking or at any designated branch of State Bank of India for each employee employed directly or through contractor	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	15-Nov-23	13-Nov-23
34	Accounts	Deduction of employee's contribution paid towards Employees Provident Fund from salary/wages	Deduct the employee's contribution paid towards Employees Provident Fund.	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	15-Nov-23	13-Nov-23
35	Accounts	Filing of Form GSTR 3B	Pay tax and file a return in Form GSTR 3B as per the Government Notifications issued from time to time	The Central Goods and Service Tax Act, 2017 and The Central Goods and Service Tax Rules, 2017	Complied (reported late)	20-Nov-23	19-Nov-23
36	Accounts	Online Filing of monthly returns	File online monthly returns as prescribed by the Employee's Provident Fund Organization within the specified time.	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Nov-23	20-Nov-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
37	Accounts	Monthly return	Submit online monthly returns in format IW-1	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Nov-23	20-Nov-23
38	Accounts	Consolidated Return	Send to the Commissioner, within fifteen days of the commencement of the Scheme, a consolidated return in such form as the Commissioner may specify of the employees required or entitled to become members of the Fund showing the [basic wage, retaining allowance (if any) and dearness allowance including the cash value of any food concession] paid to each of such employees	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Nov-23	20-Nov-23
39	Accounts	Pension Fund Return	Maintain accounts in relation to the amounts contributed to the Family Pension Fund and send a return to the Commissioner within fifteen days of the close of each month	Employees Family Pension Scheme 1971	Complied	25-Nov-23	20-Nov-23
40	Accounts	Transferring the stamp duty to the state	Transfer the stamp-duty collected in the account of concerned State Government with the Reserve Bank of India or any scheduled commercial bank, as informed to the collecting agent by the Reserve Bank of India or the concerned State Government.	Indian Stamp Act, 1899 and Indian Stamp (Collection of Stamp Duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019	Complied	30-Nov-23	17-Nov-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
41	Accounts	Deduction of TDS at the time of payment to contractors and sub-contractors	Deduct an amount equal to a" (i) 1% where the payment is being made or credit is being given to an individual or a Hindu undivided family; (ii) 2% where the payment is being made or credit is being given to a person other than an individual or a Hindu undivided family,	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Dec-23	05-Dec-23
42	Accounts	Deduction of TDS at the time of payment for salaries	Deduct income tax on the amount payable at the average rate of income tax computed on the rates in force for the financial year in which payment is made	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Dec-23	05-Dec-23
43	Accounts	Commission or brokerage	Deduct income-tax thereon at the rate of 5% at the time of credit of the income to the account of the payee or at the time of payment of the income in cash or by the issue of a cheque or draft or by any other mode, whichever is earlier	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Dec-23	05-Dec-23
44	Accounts	Deduction of Tax from income by way of rent	Deduct income-tax, at the time of credit of the income to the account of the payee or at the time of payment thereof in cash or by the issue of a cheque or draft or by any other mode, whichever is earlier, at the rate of: (a) 2% for the use of any machinery or plant or equipment; and (b) 10% for the use of any land or building (including factory building) or land appurtenant to a building (including factory building) or furniture or fittings	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Dec-23	05-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
45	Accounts	Fees for professional or technical services	Deduct an amount equal to 2% of such sum in case of fees for technical services (not being a professional services, or royalty where such royalty is in the nature of consideration for sale, distribution or exhibition of cinematographic films and 10% of such sum in other cases, as income-tax on income comprised therein, at the time of credit of such sum to the account of the payee or at the time of payment thereof in cash or by issue of a cheque or draft or by any other mode, whichever is earlier	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Dec-23	05-Dec-23
46	Accounts	Time of payment of TDS from payment of interest other than interest on securities every month	Pay in Form INS 281 on or before seven days from the end of the month in which the deduction is made; or income-tax is due under sub-section (1A) of section 192	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied	07-Dec-23	05-Dec-23
47	Accounts	Return on stamp duty	Submit a return of stamp-duty collected on various transactions to the State Government including details of defaulters in the Form appended to these rules on a monthly basis to be furnished manually or electronically within seven days of the succeeding month	Indian Stamp Act, 1899 and Indian Stamp (Collection of Stamp Duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019	Complied	07-Dec-23	01-Dec-23
48	Secretarial/Regulatory	Submission of monthly development report / quarterly development report	Submit the required information in the enclosed format within 10 days from the close of each month from the month of January 2023 onwards.	Securities and Exchange Board of India (Procedural norms on Recognitions, Ownership and Governance for Stock Exchanges and Clearing Corporations), dated December 13, 2012	Complied	09-Dec-23	30-Nov-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
49	Accounts	Furnishing Monthly return of outward supplies in Form GSTR 1	Furnish details of outward supplies of goods or services or both in FORM GSTR-1 electronically subject to such conditions and restrictions and] in such form and manner as per the Government Notifications issued from time to time	The Central Goods and Service Tax Act, 2017 and The Central Goods and Service Tax Rules, 2017	Complied	11-Dec-23	08-Dec-23
50	Accounts	Payment of contribution to Employees' Provident Fund Organization	Deposit the various contributions along with the administrative charges within 15 days of the close of every month either by net banking or at any designated branch of State Bank of India for each employee employed directly or through contractor	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	15-Dec-23	12-Dec-23
51	Accounts	Deduction of employee's contribution paid towards Employees Provident Fund from salary/wages	Deduct the employee's contribution paid towards Employees Provident Fund.	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	15-Dec-23	12-Dec-23
52	Accounts	Payment of advance tax	Pay tax in advance during any financial year, in respect of the total income which would be chargeable to tax for the assessment year immediately following that financial year	The Income Tax Act, 1961 & The Income Tax Rules, 1962	Complied (reported late)	15-Dec-23	14-Dec-23
53	Accounts	Filing of Form GSTR 3B	Pay tax and file a return in Form GSTR 3B as per the Government Notifications issued from time to time	The Central Goods and Service Tax Act, 2017 and The Central Goods and Service Tax Rules, 2017	Complied	20-Dec-23	20-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
54	Human Resource	Provision for leave for worker who is employed for not less than 3 months in any year	Provide leave for a period of not more than 5 days, for every 60 days on which the worker has worked during the year	The Maharashtra Shops and Establishments (Regulation of Employment and Conditions of Service) Act, 2017 & The Maharashtra Shops and Establishments (Regulation of Employment and Conditions of Service) Rules, 2018	Complied	21-Dec-23	11-Dec-23
55	Accounts	Online Filing of monthly returns	File online monthly returns as prescribed by the Employee's Provident Fund Organization within the specified time.	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Dec-23	19-Dec-23
56	Accounts	Monthly return	Submit online monthly returns in format IW-1	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Dec-23	20-Dec-23
57	Accounts	Consolidated Return	Send to the Commissioner, within fifteen days of the commencement of the Scheme, a consolidated return in such form as the Commissioner may specify of the employees required or entitled to become members of the Fund showing the [basic wage, retaining allowance (if any) and dearness allowance including the cash value of any food concession] paid to each of such employees	The Employees Provident Funds and Miscellaneous Provisions Act, 1952 & The Employees Deposit-Linked Insurance Scheme, 1976 & The Employees Pension Scheme, 1995 and The Employees Provident Fund Scheme, 1952	Complied	25-Dec-23	20-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
58	Accounts	Pension Fund Return	Maintain accounts in relation to the amounts contributed to the Family Pension Fund and send a return to the Commissioner within fifteen days of the close of each month	Employees Family Pension Scheme 1971	Complied	25-Dec-23	20-Dec-23
59	Accounts	Transferring the stamp duty to the state	Transfer the stamp-duty collected in the account of concerned State Government with the Reserve Bank of India or any scheduled commercial bank, as informed to the collecting agent by the Reserve Bank of India or the concerned State Government.	Indian Stamp Act, 1899 and Indian Stamp (Collection of Stamp Duty through Stock Exchanges, Clearing Corporations and Depositories) Rules, 2019	Complied	30-Dec-23	15-Dec-23
60	Secretarial/Regulatory	Providing documents in case of Inquiry	Ensure: (a)to furnish in writing information or explanation; or (b) to produce such documents, within such reasonable time, as may be specified in the notice	Companies Act, 2013 & Chapter XIV The Companies (Inspection, Investigation and Inquiry) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23
61	Human Resource	Display of abstract of Act and Rules	Display the abstract of Rules in Form 9 in a conspicuous place in the language or languages of the locality	The Maternity Benefit Act, 1961 & The Maharashtra Maternity Benefit Rules, 1965	Complied	30-Dec-23	11-Dec-23
62	Human Resource	Preservation of books of accounts, registers etc	Preserve books of accounts, registers etc. relating to the activity of professions, trades, callings or employment for a period of 6 years	The Maharashtra State Tax on Professions , Trades, Callings and Employments Act, 1975 & The Maharashtra State Tax on Profession, Trades, Callings and Employments Rules, 1975	Complied	30-Dec-23	11-Dec-23
63	Accounts	Payment of gratuity	Pay the due amount of gratuity within 30 days from the date it becomes payable to the employee, net amount not exceeding 20 lakhs; and intimate the payment done to the Controlling Authority	The Payment of Gratuity Act, 1972 & The Payment of Gratuity Maharashtra Rules, 1972	Complied	30-Dec-23	18-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
64	Accounts	Display about Gratuity Officer	Display conspicuously a notice at or near the main entrance of the establishment in bold letters in English and in a language understood by the majority of the employees specifying the name of the officer with designation authorized by the employer to rec	The Payment of Gratuity Act, 1972 & The Payment of Gratuity Maharashtra Rules, 1972	Complied	30-Dec-23	18-Dec-23
65	Human Resource	Constitution of Internal Complaints Committee	Constitute a committee to be known as Internal Complaints Committee, at all administrative units or offices consisting of members nominated by the employer as mentioned in the help text	The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 & The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Rules, 2013	Complied	30-Dec-23	11-Dec-23
66	Human Resource	Organizing workshops and awareness programmes	Organize workshops and awareness programmes at regular intervals for sensitizing the employees with the provisions of the Act and orientation programmes for the members of the internal Committee	The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 & The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Rules, 2013	Complied	30-Dec-23	11-Dec-23
67	Human Resource	Collection of Report of findings	Take the record of the findings from the Internal Committee or in case Local Committee within a period of 10 days from the date of completion of the inquiry and make is available to concerned parties	The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 & The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Rules, 2013	Complied	30-Dec-23	11-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
68	Human Resource	Action by employer or the District Officer	Ensure to: (i) Take action against the women or the person who has made the complaint including a written apology, warning, reprimand or censure, withholding of promotion, withholding of pay rise	The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013 & The Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Rules, 2013	Complied	30-Dec-23	11-Dec-23
69	Secretarial/Regulatory	Disclosure of interest by director	Disclose his concern or interest in any company or companies or bodies corporate, firms, or other association of individuals which shall include the shareholding by giving a notice in writing in Form MBP 1	Companies Act, 2013 & Companies (Meetings of Board and its Powers) Rules, 2014	Complied (reported late)	30-Dec-23	29-Dec-23
70	Secretarial/Regulatory	Certain contracts or arrangements to be entered only with the consent of Board of Directors	Obtain consent of Board of Directors given by a resolution at a meeting of the Board for entering into any contract or arrangement with a related party with respect to a" (a) sale, purchase or supply of any goods or materials; (b) selling or otherwise disposing of, or buying, property of any kind; (c) leasing of property of any kind; (d) availing or rendering of any services; (e) appointment of any agent for purchase or sale of goods, materials, services or property; (f) such related party's appointment to any office or place of profit in the company, its subsidiary company or associate company; and (g) underwriting the subscription of any securities or derivatives thereof, of the company	Companies Act, 2013 & Companies (Meetings of Board and its Powers) Rules, 2014	Complied (reported late)	30-Dec-23	29-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
71	Secretarial/ Regulatory	Return of appointment of a Managing Director, Whole time Director or Manager	File a return of appointment of a Managing Director, Whole Time Director or Manager within 60 days of the appointment, with the Registrar in Form No. MR.1 and ensure that the auditor or the Secretary of the company or where the company is not required to appointed a Secretary, a Secretary in whole-time practice certifies that the requirement of Schedule V have been complied with and incorporate the certificate in Form MR.1	Companies Act, 2013 & Companies (Appointment and Remuneration of Managerial Personnel) Rules, 2014	Complied (reported late)	30-Dec-23	28-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
72	Secretarial/ Regulatory	Register of directors and key managerial personnel	Keep at its registered office a register of its directors and key managerial personnel containing the following particulars: (a) Director Identification Number (b) present name and surname in full (c) any former name or surname in full (d) father's name, and spouse's name(if married) and surnames in full (e) date of birth (f) residential address (present as well as permanent) (g) nationality (including the nationality of origin, if different) (h) occupation (i) date of the board resolution in which the appointment was made (j) date of appointment and reappointment in the company (k) date of cessation of office and reasons therefore (l) office of director or key managerial personnel held or relinquished in any other body corporate (m) membership number of the Institute of Company Secretaries of India in case of Company Secretary (n) Permanent Account Number (mandatory for key managerial personnel if not having DIN). More specifically covered in help text	Companies Act, 2013 & Companies (Appointment and Qualification of Directors) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23
73	Secretarial/ Regulatory	Preservation and maintenance of books of accounts	Prepare and keep at the registered office books of accounts, other relevant books and financial statements for every financial year either physically or electronically for the preceding 8 financial years	Companies Act, 2013 & Companies (Accounts) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
74	Secretarial/ Regulatory	Including of index of names in register	Include an index of the names entered in the respective registers	Companies Act, 2013 & Companies (Management and Administration) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23
75	Secretarial/ Regulatory	Place of keeping and inspection of registers, returns etc	Ensure that the registers and indices maintained pursuant to Sec 88 and copies of returns prepared pursuant to Sec 92, should be open for inspection during business hours at the registered office of the company, at such reasonable time on every working day as the board may decide, by any member, debenture holder, other security holder or beneficial owner without payment of fee and by any other person on payment of such fee as may be specified in the articles of association of the company but not exceeding Rs. 50 for each inspection	Companies Act, 2013 & Companies (Management and Administration) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23
76	Secretarial/ Regulatory	Preservation of register of members	Preserve permanently the register of members along with the index	Companies Act, 2013 & Companies (Management and Administration) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23
77	Secretarial/ Regulatory	Keeping of register of members	Keep the register of members in the custody of the company secretary of the company or any other person authorized by the Board for its preservation	Companies Act, 2013 & Companies (Management and Administration) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23
78	Secretarial/ Regulatory	Preservation of copies of all annual returns	Preserve copies of all annual returns prepared under Sec 92 and copies of all certificates and documents required to be annexed thereto should be preserved for a period of 8 years from the date of filing with the Registrar	Companies Act, 2013 & Companies (Management and Administration) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
79	Secretarial/ Regulatory	Minutes books of the Board and committee meetings	Ensure that the minutes books of the Board and committee meetings should be preserved permanently and kept in the custody of the company secretary of the company or any director duly authorized by the Board for the purpose and should be kept in the registered office or such place as Board may decide	Companies Act, 2013 & Companies (Management and Administration) Rules, 2014	Complied (reported late)	30-Dec-23	27-Dec-23
80	Secretarial/ Regulatory	Preservation of Attendance records and registers	Ensure that the attendance register is preserved for a period of at least 8 financial years from the date of the last entry made in the register and may be destroyed thereafter with the approval of the Board	Companies Act, 2013 & SS-1 Secretarial Standard on Meetings of the Board of Directors	Complied (reported late)	30-Dec-23	27-Dec-23
81	Secretarial/ Regulatory	Preservation of Office copies of Notices, Agenda, Notes on Agenda and other related papers	Preserve Office copies of Notices, Agenda, Notes on Agenda and other related papers in good order in physical or in electronic form for as long as they remain current or for eight financial years, whichever is later and may be destroyed thereafter with the approval of the Board.	Companies Act, 2013 & SS-1 Secretarial Standard on Meetings of the Board of Directors	Complied (reported late)	30-Dec-23	27-Dec-23
82	Secretarial/ Regulatory	Preservation of Other relevant documents	Ensure that the Office copies of Notices, scrutinizer's report, and related papers should be preserved in good order in physical or in electronic form for as long as they remain current or for 8 financial years, whichever is later and may be destroyed thereafter with the approval of the Board	Companies Act, 2013 & SS-2 Secretarial Standard on General Meetings	Complied (reported late)	30-Dec-23	27-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
83	Human Resource	Maternity Leave with wages	Give maternity leave for a period of 26 weeks	The Maternity Benefit Act, 1961 & The Maharashtra Maternity Benefit Rules, 1965	Complied	30-Dec-23	11-Dec-23
84	Post Trade	Establishing a Fund to guarantee settlement of trades	Establish and maintain a Fund by whatever name called, for each segment, to guarantee the settlement of trades executed in respective segment of a recognised stock exchange	The Securities Contracts (Regulation) Act, 1956 and The Securities Contracts (Regulation) Rules, 1957 and The Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018	Complied	30-Dec-23	26-Dec-23
85	Post Trade	Letter to RBI	Monthly letter to RBI regarding balance in RTGS account of ICCL.	ICC-RBI interanal compliance	Complied	07-Oct-23	06-Oct-23
86	Secretarial/Regulatory	All the stock Exchanges Clearing Corporations & Depositories shall file information regarding Whistleblower complains within 10 days of end of each quarter in prescribed format	All the stock Exchanges Clearing Corporations & Depositories shall file information regarding Whistleblower complains within 10 days of end of each quarter in prescribed format	SEBI (SEBI/HO/MRD-SE/P/OW/2022/50119/1 Dated September 27, 2022 (Filing of Information by Market Infrastructure Institutions (MIIs)	Complied (reported late)	10-Oct-23	05-Oct-23
87	Accounts	monthly return	"Insurance Fund Return [The Employees Deposit Link Insurance Scheme 1976]"	ICC-EDLIS, 1976	Complied	25-Oct-23	19-Oct-23
88	Post Trade	Daily Reports	Daily report pertaining to settlement of trades on ICDM platform.	ICC-SEBI internal compliance	Complied	30-Oct-23	04-Oct-23
89	Accounts	Submission of Form III	Submission of Form III Return-cum-Challan to [Profession Tax Department]	ICC-Profession tax Act	Complied	31-Oct-23	25-Oct-23
90	Post Trade	Letter to RBI	Monthly letter to RBI regarding balance in RTGS account of ICCL.	ICC-RBI interanal compliance	Complied (reported late)	07-Nov-23	06-Nov-23
91	Accounts	monthly return	"Insurance Fund Return [The Employees Deposit Link Insurance Scheme 1976]"	ICC-EDLIS, 1976	Complied	25-Nov-23	20-Nov-23

BSE - INTERNAL

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Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
92	Accounts	Submission of Form III	Submission of Form III Return-cum-Challan to [Profession Tax Department]	ICC-Profession tax Act	Complied	30-Nov-23	06-Nov-23
93	Post Trade	Daily Reports	Daily report pertaining to settlement of trades on ICDM platform.	ICC-SEBI internal compliance	Complied	30-Nov-23	03-Nov-23
94	Post Trade	Letter to RBI	Monthly letter to RBI regarding balance in RTGS account of ICCL.	ICC-RBI interanal compliance	Complied	07-Dec-23	07-Dec-23
95	Accounts	monthly return	"Insurance Fund Return [The Employees Deposit Link Insurance Scheme 1976]"	ICC-EDLIS, 1976	Complied	25-Dec-23	20-Dec-23
96	Accounts	Submission of Form III	Submission of Form III Return-cum-Challan to [Profession Tax Department]	ICC-Profession tax Act	Complied	30-Dec-23	15-Dec-23
97	Post Trade	Daily Reports	Daily report pertaining to settlement of trades on ICDM platform.	ICC-SEBI internal compliance	Complied	30-Dec-23	07-Dec-23
98	Accounts	Maintenance of books of accounts and records by recognised clearing corporation	Maintain and preserve the prescribed books of account and documents referred in rule 14 of the rules, and such other records as may be specified by the Authority from time to time, in electronic retrival form for a minimum period of twenty years.	BSE- The International Financial Services Centres Authority (Market Infrastructure Institutions) Regulations, 2021	Complied (reported late)	30-Dec-23	30-Dec-23
99	Accounts	Annual contribution towards Gratuity	Contribution toward Gratuity Fund based on actuarial value, in the case of an assessee being a company which is required to furnish a report referred to in section 92E	Internal Compliance- Gratuity Act	Complied	30-Dec-23	18-Dec-23
100	Secretarial/Regulatory	Make or amend the rules	ICCL will require to make or amend its rules or bye laws as and when directed by Securities and Exchange Board of India (SEBI).U/s 23G of SCRA, 1956	ICC-SEBI internal compliance	Complied (reported late)	30-Dec-23	27-Dec-23

Sr.No	Department	Title	Task	Name Of Legislation	Status	Due date	Compliance Date
101	Human Resource	Employee termination	The 90 days' notice in writing or salary in lieu of such notice is required to be given to employee in case an employer wants to terminate the services of any employee. Bombay Shop and Establishment Act, 1948	ICC-Bombay Shop and Establishment Act, 1948	Complied	30-Dec-23	11-Dec-23

EXTRACT OF MINUTES

**NOTING OF COMPLIANCE CERTIFICATE AND EXCEPTION REPORT FOR THE PERIOD
OCTOBER 1, 2023 TO DECEMBER 31, 2023**

The Compliance Certificate and Exception Report pertains to all laws applicable to the Company and the report mentions the person responsible department wise. The said report was circulated along with the agenda.

The Board took note of the Compliance Certificate and Exception Report for the period October 1, 2023, to December 31, 2023

EXTRACT OF AGENDA

To note utilization of funds to Prime Minister's National Relief Fund for spending CSR obligation for the FY 2023 - 24

Agenda Category: Noting

Prepared By

Approved By:

Saumya Bajpai
Company Secretary

Vaishali Babu
Managing Director & CEO

Pursuant to the Committee's recommendation, the Board at its meeting held on October 27, 2023 had approved contribution of an amount of Rs. 85,68,667 (Rupees Eighty five lakhs sixty eight thousand six hundred and sixty seven) to Prime Minister's National Relief Fund towards CSR activities of the Company for the financial year 2023 - 24.

Further, in compliance with The Companies (Corporate Social Responsibility Policy) Rules, 2014, the Board is hereby requested to note that, company has paid Rs. 85,68,667/- (Rupees Eighty five lakhs sixty eight thousand six hundred and sixty seven) towards its CSR contribution for FY 2023-24 to Prime Minister's National Relief Fund by issuing Demand Draft bearing no. 504299 dated January 03, 2024.

A Certificate by Mr. Nimeshkumar Mistry, the Chief Financial Officer of the Company, regarding the utilization of the Funds so disbursed has been attached as Annexure.

The Board is requested to take note of the same.

EXTRACT OF MINUTES

NOTING OF UTILIZATION OF FUNDS TO PRIME MINISTER'S NATIONAL RELIEF FUND FOR SPENDING CSR OBLIGATION FOR THE FY 2023 - 24

The Board noted that pursuant to the Committee's recommendation, the Board at its meeting held on October 27, 2023 had approved contribution of an amount of Rs. 85,68,667 (Rupees Eighty five lakhs sixty eight thousand six hundred and sixty seven) to Prime Minister's National Relief Fund towards CSR activities of the Company for the financial year 2023 - 24.

Further, in compliance with The Companies (Corporate Social Responsibility Policy) Rules, 2014, the Board was requested to note that, company had paid Rs. 85,68,667/- (Rupees Eighty five lakhs sixty eight thousand six hundred and sixty seven) towards its CSR contribution for FY 2023-24 to Prime Minister's National Relief Fund by issuing Demand Draft bearing no. 504299 dated January 03, 2024.

A Certificate by Mr. Nimeshkumar Mistry, the Chief Financial Officer of the Company, regarding submission of Demand Draft to Prime Minister's National Relief Fund for end utilization of CSR contribution was circulated along with the agenda.

The Board took note of the above

EXTRACT OF AGENDA

To take note of Certificate of Compliance on Corporate Governance Report for the quarter ended December 31, 2023

Corporate Governance Report

Name of Entity: Indian Clearing Corporation Limited

Quarter ending: December 31, 2023

I. Composition of Board of Directors												
Title (Mr / Ms)	Name of the Director	PAN & DIN	Category (Chairperson /Executive/ Non-Executive/independent/ Nominee)	Initial date of appointment	Date of Re-Appointment	Date of cessation	Tenure (from the date of appointment) (Refer regulation 25(2))	Date of birth	No of directorships in all listed entities including this listed entity* (Refer Regulation 17A(1) of Listing Regulations)	No of independent directorships in all listed entities including this listed entity* (Refer proviso to Regulation 17A(1) of Listing Regulations)	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity* (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity* (Refer Regulation 26(1) of Listing Regulations)
Mr.	Hemant Kumar Manuj	DIN: 08246131	Chairperson and Independent	August 25, 2021	-	-	2 years and 4 month	September 29, 1967	1	1	1	Nil
Ms.	Medha Tapiawala	DIN: 09277265	Independent	August 25, 2021	-	-	2 years and 4 months	August 24, 1968	1	1	Nil	Nil
Mr.	Vikas Gadre	DIN: 06746818	Independent	October 14, 2022	-	-	1 year 2.5 months	December 11, 1951	1	1	1	Nil
Ms.	Hemalatha Sivasubramanian	DIN: 10071530	Independent	May 17, 2023	-	-	7.5 months	March 05, 1969	1	1	Nil	Nil
Mr.	Arun Mehta	DIN: 00073422	Independent	August 11, 2023	-	-	4.5 months	March 19, 1962	1	1	1	1

Mr.	Sameer Patil	DIN: 08103042	Non-executive	February 12, 2020	September 07, 2023	-	-	March 13, 1974	1	Nil	Nil	Nil
Ms.	Kamala Kantharaj	DIN: 07917801	Non-executive	August 23, 2023	-	-	-	July 22, 1965	1	Nil	1	Nil
Ms.	Devika Shah	DIN: 07980301	Executive	January 01, 2018	January 01, 2021	December 31, 2023	-	October 31, 1963	1	Nil	Nil	Nil

Whether regular Chairperson appointed - Yes

Whether Chairperson is related to managing director or CEO - No

* Includes Directorship, Membership and Chairmanship in Indian Clearing Corporation Limited, an unlisted public company

II. Composition of Committees					
Name of Committee	Whether regular chairperson appointed	Name of Committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee)	Date of Appointment	Date of Cessation
Audit Committee	Yes	<ol style="list-style-type: none"> Mr. Arun Mehta Mr. Hemant Kumar Manuj Mr. Vikas Gadre Ms. Kamala Kantharaj 	Public Interest Director – Chairperson of the Committee Public Interest Director Public Interest Director Non- Independent Director	October 10, 2023 October 4, 2021 June 18, 2023 October 10, 2023	
Nomination & Remuneration Committee	Yes	<ol style="list-style-type: none"> Mr. Vikas Gadre Mr. Hemant Kumar Manuj Ms. Medha Tapiawala Ms. Hemalatha Sivasubramanian 	Public Interest Director - Chairperson of the Committee Public Interest Director Public Interest Director Public Interest Director	October 19, 2022 October 4, 2021 October 4, 2021 June 18, 2023	
Risk Management Committee	Yes	<ol style="list-style-type: none"> Mr. Hemant Kumar Manuj Mr. Vikas Gadre Mr. Arun Mehta 	Public Interest Director – Chairperson of the Committee Public Interest Director Public Interest Director	October 4, 2021 October 19, 2022 October 10, 2023	

		4. Mr. Ajit Ranade 5. Mr. Kausick Saha	Independent External Person Independent External Person	July 24, 2013 October 17, 2016	
Regulatory Oversight Committee	Yes	1. Ms. Hemalatha Sivasubramanian 2. Mr. Hemant Kumar Manuj 3. Mr. Vikas Gadre 4. Mr. Arun Mehta 5. Ms. Jyoti Sharma	Public Interest Director – Chairperson of the Committee Public Interest Director Public Interest Director Public Interest Director Independent External Person	June 18, 2023 October 4, 2021 October 19, 2022 October 10, 2023 April 21, 2023	
Member and Core Settlement Guarantee Fund Committee	Yes	1. Ms. Medha Tapiawala 2. Mr. Arun Mehta 3. Ms. Hemalatha Sivasubramanian 4. Ms. Devika Shah	Public Interest Director – Chairperson of the Committee Public Interest Director Public Interest Director Managing Director and Chief Executive Officer	October 4, 2021 October 10, 2023 June 18, 2023 January 17, 2018	
Standing Committee on Technology	Yes	1. Mr. Vikas Gadre 2. Mr. Hemant Kumar Manuj 3. Ms. Medha Tapiawala 4. Mr. Pravir Vohra 5. Mr. Alok Kumar	Public Interest Director – Chairman of the Committee Public Interest Director Public Interest Director Independent External Person Independent External Person	October 19, 2022 June 18, 2023 October 4, 2021 April 23, 2013 April 23, 2013	

I. Meeting of Board of Directors					
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of independent directors present*	Maximum gap between any two consecutive meetings in number of days
July 27, 2023 August 26, 2023	October 27, 2023 December 19, 2023	Yes Yes	8 8	5 5	62 days 53 days

I. Meetings of Committees – Audit Committee					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors present*	Number of Independent Directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
October 27, 2023	Yes	4	3	July 27, 2023	92 days

II. Meetings of Committees – Nomination & Remuneration Committee					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors/IEP present*	Number of Independent Directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
October 26, 2023	Yes	4	4	July 04, 2023	61 days
December 19, 2023	Yes	4	4	July 16, 2023 July 26, 2023 August 26, 2023	54 days

III. Meetings of Committees – Risk Management Committee					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors/IEP present*	Number of Independent Directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
October 26, 2023	Yes	5	3	July 26, 2023	92 days

IV. Meetings of Committees – Regulatory Oversight Committee					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors/IEP present*	Number of Independent Directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
October 26, 2023	Yes	5	4	July 26, 2023	92 days

V. Meetings of Committees – Member and Core Settlement Guarantee Fund Committee					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors/IEP/KMP present*	Number of Independent Directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
October 26, 2023	Yes	4	3	July 26, 2023	92 days

VI. Meetings of Committees – Standing Committee on Technology					
Date(s) of Meeting of the Committee in the relevant quarter	Whether requirement of Quorum met (details)*	Number of Directors/IEP present*	Number of Independent Directors present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
October 26,2023	Yes	5	3	July 26, 2023	92 days

II. Related Party Transactions

Subject	Compliance status (Yes/No/NA) refer note below
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	Yes

III. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 and Securities Contract (Regulation) (Stock Exchange and Clearing Corporations) Regulations, 2018
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015 and Securities Contract (Regulation) (Stock Exchange and Clearing Corporations) Regulations, 2018:
 - a. Audit Committee
 - b. Nomination & Remuneration Committee
 - c. Stakeholders' Relationship Committee - NA
 - d. Risk Management Committee
 - e. Regulatory Oversight Committee
 - f. Member and Core Settlement Guarantee Fund Committee
 - g. Standing Committee on Technology
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015, Securities Contract (Regulation) (Stock Exchange and Clearing Corporations) Regulations, 2018 and Companies Act, 2013, read with its applicable rules.
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015, Securities Contract (Regulation) (Stock Exchange and Clearing Corporations) Regulations, 2018 and Companies Act, 2013, read with its applicable rules.
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here.

EXTRACT OF MINUTES

**NOTING OF CERTIFICATE OF COMPLIANCE ON CORPORATE GOVERNANCE REPORT
FOR THE QUARTER ENDED DECEMBER 31, 2023**

The Board took note of the Certificate of Compliance on Corporate Governance Report for the quarter ended December 31, 2023 which was submitted to SEBI on January 10, 2024

EXTRACT OF AGENDA

To take note of the six-monthly Risk Certificate submitted to SEBI

Agenda Category: Noting

Presented By:

Authorized By:

Approved By:

Saumya Bajpai
Company Secretary

Anand Shaha
Chief Regulatory & Compliance Officer

Vaishali Babu
MD & CEO

The Board is requested to note that SEBI had vide its letter no. MRD/DMS/3278/04 dated February 17, 2004, asked Stock Exchanges to submit six monthly certificate which shall contain the details pertaining to Risk management systems, Computer Systems and Risk Management process flow. Further, the certificate is required to be placed before the Governing Board before forwarding the same to SEBI.

The said certificate is to be submitted to SEBI on a six-monthly basis by July 15 of each year for certificate as on June 30 and by January 15 for certificate as on December 31. In view of the above, the certificate was submitted to SEBI on January 09, 2023 for certificate as on December 31, 2023.

The six-monthly risk certificate is enclosed as Annexure for the perusal of the Board.



January 09, 2024

Mr. Susanta Kumar Sahoo
Deputy General Manager
Market Regulations Department
Securities and Exchange Board of India
SEBI Bhavan, Plot No. C4-A, 'G' Block
Bandra Kurla Complex, Bandra (East)
Mumbai-400 051

Subject: Risk Certificate for the period July 01, 2023 to December 31, 2023

Dear Sir,

This has reference to the SEBI letter no. MRD/DMS/3278/04 dated February 17, 2004, I, Sushant Majhi, Chief Risk Officer of Indian Clearing Corporation Limited (ICCL), certify that to the best of my knowledge and belief:

- a) The Risk Management System for equity cash segment inter-alia consisting of the margin systems, exposure monitoring systems, settlement system, capital adequacy maintenance systems etc., are in full compliance with circulars issued by SEBI. However, please note that the functionality pertaining to computation of Inter-CCP Margin is currently under development.
- b) Computer systems (software and hardware) of ICCL do not have flaws/bugs which can impair the risk management systems.
- c) Risk management process flows in respect of margins, exposures, settlement systems etc. have no flaws which can impair the effectiveness of the risk management systems.

The said certificate will be placed in the meeting of the Board of Directors scheduled on January 24, 2024.

Thanking You.

For Indian Clearing Corporation Limited


Sushant Majhi
Chief Risk Officer

EXTRACT OF MINUTES

NOTING OF THE SIX-MONTHLY RISK CERTIFICATE SUBMITTED TO SEBI

The Board noted that SEBI had vide its letter no. MRD/DMS/3278/04 dated February 17, 2004, asked Stock Exchanges to submit six monthly certificate which shall contain the details pertaining to Risk management systems, Computer Systems and Risk Management process flow. Further, the certificate was required to be placed before the Governing Board.

The said certificate is to be submitted to SEBI on a six-monthly basis by July 15 of each year for certificate as on June 30 and by January 15 for certificate as on December 31. In view of the above, the certificate was submitted to SEBI on January 09, 2024 for certificate as on December 31, 2023.

The six-monthly risk certificate was circulated along with the agenda for the perusal of the Board.

The Board took note of the same.

EXTRACT OF AGENDA

To approve reconstitution of Committees of the Company

Agenda Category: Approval

Presented By:	Authorized By:	Approved By:
Saumya Bajpai Company Secretary	Anand Shaha Chief Regulatory & Compliance Officer	Vaishshali Babu MD & CEO

The Board is requested to re-constitute Committees of the Company subsequent to end of tenure of Ms. Devika Shah and appointment of Ms. Vaishshali Babu as Managing Director & CEO of the Company.

The proposed revised constitution of the Committees vis-a-vis old Committee constitution is stated as follows:

Sr. No.	Name of the Committee	Old Committee Constitution	Proposed Committee Constitution	Category
Functional Committees as per SECC Regulations, 2018				
1.	Member and Core Settlement Guarantee Fund Committee	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Public Interest Director
		Ms. Hemalatha Sivasubramanian	Ms. Hemalatha Sivasubramanian	Public Interest Director
		Mr. Arun Mehta	Mr. Arun Mehta	Public Interest Director
		Ms. Devika Shah	Ms. Vaishshali Babu	Managing Director & CEO
2.	Corporate Social Responsibility Committee	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Public Interest Director
		Ms. Hemalatha Sivasubramanian	Ms. Hemalatha Sivasubramanian	Public Interest Director
		Mr. Sameer Patil	Mr. Sameer Patil	Non-Independent Director
		Ms. Devika Shah	Ms. Vaishshali Babu	Managing Director & CEO

The Board Members are requested to consider the above change in the constitution of the Committees of the Company and approve the same and pass the following resolution with or with without modification:

“RESOLVED THAT pursuant to the provisions of the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018, SEBI Circular no. SEBI/HO/MRD/DOP2DSA2/CIR/P/2019/13 dated January 10, 2019, Companies Act, 2013 and such other laws for the time being in force, the following composition of the Committees of the Company be and is hereby approved with effect from January 24, 2024:

Sr. No.	Name of the Committee	Old Committee Constitution	Proposed Committee Constitution	Category
Functional Committees as per SECC Regulations, 2018				
1.	Member and Core Settlement Guarantee Fund Committee	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Public Interest Director
		Ms. Hemalatha Sivasubramanian	Ms. Hemalatha Sivasubramanian	Public Interest Director
		Mr. Arun Mehta	Mr. Arun Mehta	Public Interest Director
		Ms. Devika Shah	Ms. Vaishali Babu	Managing Director & CEO
2.	Corporate Social Responsibility Committee	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Public Interest Director
		Ms. Hemalatha Sivasubramanian	Ms. Hemalatha Sivasubramanian	Public Interest Director
		Mr. Sameer Patil	Mr. Sameer Patil	Non-Independent Director
		Ms. Devika Shah	Ms. Vaishali Babu	Managing Director & CEO

RESOLVED FURTHER THAT the Chairman and Managing Director & CEO be and are hereby jointly authorized to constitute/ reconstitute Committees as and when required as per various applicable laws;

RESOLVED FURTHER THAT the Managing Director & CEO/ Company Secretary of the Company be and are hereby severally authorized to do all such acts, deeds and things as may be deemed necessary to give effect to the said resolution.”

EXTRACT OF MINUTES

APPROVAL FOR RECONSTITUTION OF COMMITTEES OF THE COMPANY

The Board was requested to re-constitute Committees of the Company subsequent to end of tenure of Ms. Devika Shah and appointment of Ms. Vaishshali Babu as Managing Director & CEO of the Company.

The proposed revised constitution of the Committees vis-a-vis old Committee constitution was stated as follows:

Sr. No.	Name of the Committee	Old Committee Constitution	Proposed Committee Constitution	Category
Functional Committees as per SECC Regulations, 2018				
1.	Member and Core Settlement Guarantee Fund Committee	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Public Interest Director
		Ms. Hemalatha Sivasubramanian	Ms. Hemalatha Sivasubramanian	Public Interest Director
		Mr. Arun Mehta	Mr. Arun Mehta	Public Interest Director
		Ms. Devika Shah	Ms. Vaishshali Babu	Managing Director & CEO
2.	Corporate Social Responsibility Committee	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Public Interest Director
		Ms. Hemalatha Sivasubramanian	Ms. Hemalatha Sivasubramanian	Public Interest Director
		Mr. Sameer Patil	Mr. Sameer Patil	Non-Independent Director
		Ms. Devika Shah	Ms. Vaishshali Babu	Managing Director & CEO

The Board Members considered the above change in the constitution of the Committees of the Company and approved the same and passed the following resolution unanimously:

“RESOLVED THAT pursuant to the provisions of the Securities Contracts (Regulation) (Stock Exchanges and Clearing Corporations) Regulations, 2018, SEBI Circular no. SEBI/HO/MRD/DOP2DSA2/CIR/P/2019/13 dated January 10, 2019, Companies Act, 2013 and such other laws for the time being in force, the following composition of the Committees of the Company be and is hereby approved with effect from January 24, 2024:

Sr. No.	Name of the Committee	Old Committee Constitution	Proposed Committee Constitution	Category
Functional Committees as per SECC Regulations, 2018				
1.	Member and Core Settlement Guarantee Fund Committee	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Public Interest Director
		Ms. Hemalatha Sivasubramanian	Ms. Hemalatha Sivasubramanian	Public Interest Director
		Mr. Arun Mehta	Mr. Arun Mehta	Public Interest Director
		Ms. Devika Shah	Ms. Vaishali Babu	Managing Director & CEO
2.	Corporate Social Responsibility Committee	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Dr. Medha Tapiawala <i>Chairperson of the Committee</i>	Public Interest Director
		Ms. Hemalatha Sivasubramanian	Ms. Hemalatha Sivasubramanian	Public Interest Director
		Mr. Sameer Patil	Mr. Sameer Patil	Non-Independent Director
		Ms. Devika Shah	Ms. Vaishali Babu	Managing Director & CEO



RESOLVED FURTHER THAT the Chairman and Managing Director & CEO be and are hereby jointly authorized to constitute/ reconstitute Committees as and when required as per various applicable laws;

RESOLVED FURTHER THAT the Managing Director & CEO/ Company Secretary of the Company be and are hereby severally authorized to do all such acts, deeds and things as may be deemed necessary to give effect to the said resolution.”